

Parma International School of Theoretical Physics
8-13 September 2008, PARMA

GRAVITATION AND COSMOLOGY

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1. CLASSICAL BLACK HOLES AS DISSIPATIVE BRANES
2. EXPERIMENTAL TESTS OF RELATIVISTIC GRAVITY
3. GRAVITATIONAL WAVES FROM COSMIC (SUPER)STRINGS
4. GRAVITATIONAL WAVES FROM COALESCING BLACK HOLES
5. CHAOS AND SYMMETRY IN 'STRING COSMOLOGY'

1. CLASSICAL BLACK HOLES AS DISSIPATIVE BRANES

- AIM: DERIVE THE VALUE OF THE (SURFACE) SHEAR VISCOSITY OF BLACK HOLES:

$$\eta_{\text{BH}} = \frac{1}{16\pi G} \quad (\text{WITH } c=1)$$

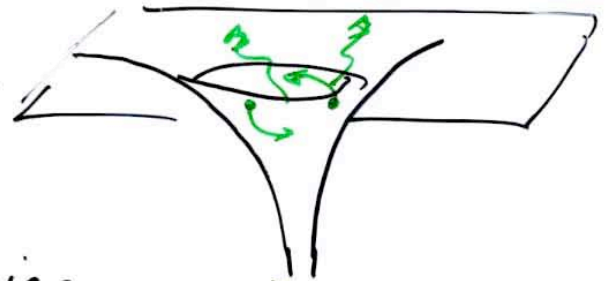
$$\Rightarrow \frac{\eta_{\text{BH}}}{S_{\text{BH}}} = \frac{1/(16\pi G)}{1/(4\pi\hbar G)} = \frac{\hbar}{4\pi}$$

ENTROPY DENSITY = $\frac{1}{4\pi\hbar G}$

← OF RECENT INTEREST IN CONNECTION WITH AdS/CFT (Kovtun, Son, Starinets)

- EVOLVING VIEWS OF BLACK HOLES

PASSIVE GRAVITATIONAL WELLS



PHYSICAL OBJECTS: GLOBAL DYNAMICS: $M, \vec{J}, Q, \delta M, M_{\text{irr}} \dots$

LOCAL DYNAMICS OF HORIZON: ~ 'MEMBRANE' WITH DISSIPATIVE PROPERTIES

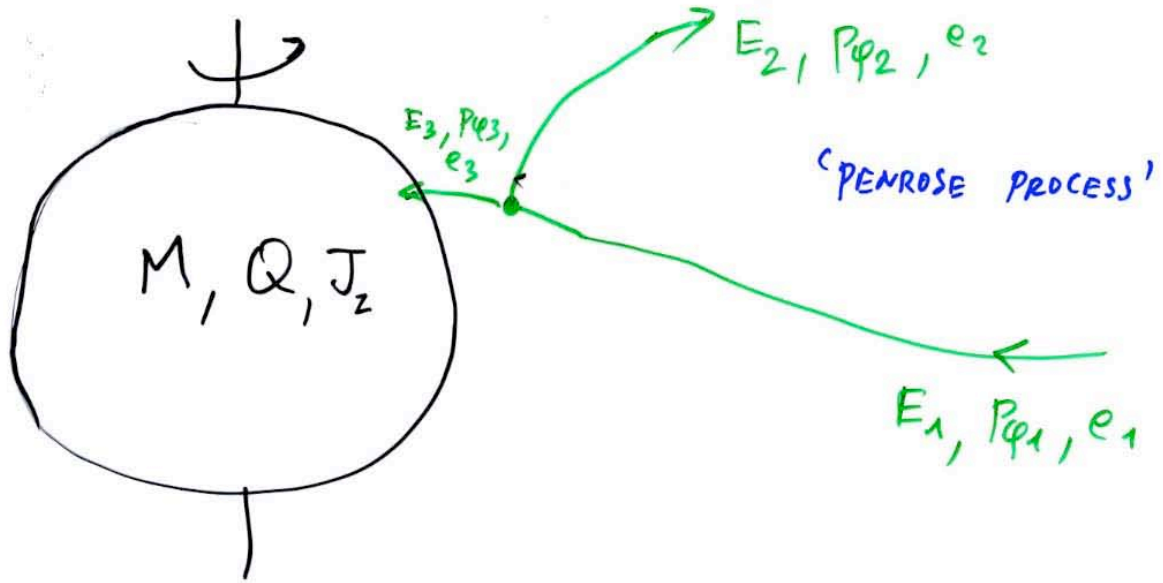


$$\text{RESISTIVITY} = 377 \text{ OHM} = 4\pi$$

$$\text{SHEAR VISCOSITY} = \frac{1}{16\pi G}$$

QUANTUM OBJECTS: QUANTUM INSTABILITIES, PAIR CREATION
MICROSCOPIC ORIGIN OF BH ENTROPY

INFINITESIMAL CHANGES IN M, J, Q OF BH



TEST-PARTICLE OF REST-MASS μ IN BH BACKGROUND

GEODESIC DYNAMICS : $S = -\int \mu ds$

HAMILTON-JACOBI EQ FOR $P_\mu = \frac{\partial S}{\partial x^\mu}$:

$$g^{\mu\nu} (P_\mu - eA_\mu)(P_\nu - eA_\nu) = -\mu^2$$

↑
ELECTRIC CHARGE OF TEST PARTICLE

SYMMETRIES OF BH BACKGROUND \rightarrow CONSERVED QUANTITIES

CONSERVED ENERGY : $E = -P_T = -P_0$
(OF TEST PARTICLE)

CONSERVED Z-COMPONENT OF ANGULAR MOMENTUM : P_ϕ

+ CONSERVED ELECTRIC CHARGE : e

PENROSE PROCESS:

CHANGE IN TOTAL ENERGY OF SPACE-TIME →

$$\delta M = E_1 - E_2 = E_3$$

$$\delta J = P_{\phi 1} - P_{\phi 2} = P_{\phi 3}$$

$$\delta Q = e_1 - e_2 = e_3$$

IN and OUT at ∞

AT and AFTER SPLITTING

SOLVE THE MASS-SHELL CONDITION

$$A_0 = -\frac{Q}{r}$$

$$-p^2 = g^{\mu\nu} (p_\mu - eA_\mu) (p_\nu - eA_\nu) = -\frac{(p_0 - eA_0(r))^2}{A(r)} + A(r) p_r^2 + \frac{1}{r^2} (p_\theta^2 + \frac{p_\phi^2}{\sin^2\theta})$$

$$p^r \equiv g^{rr} p_r = A(r) p_r$$

CONSERVED $L^2 = p_\theta^2 + \frac{p_\phi^2}{\sin^2\theta}$

$$-p_0 = E = \frac{eQ}{r} + \sqrt{(p^r)^2 + A(r) \left(p^2 + \frac{L^2}{r^2} \right)}$$

CHRISTODOULOU, CHRISTODOULOU-RUFFINI '71

INFINITESIMAL VARIATION OF BH MASS:



APPLY TO E_3 ON THE HORIZON

$$E = E_3 = \delta M; e = e_3 = \delta Q$$

$$\delta M = \frac{Q \delta Q}{r_+(M, Q)} + |p^r|_{\frac{1}{r}} \geq \frac{Q \delta Q}{r_+(M, Q)}$$

INEQUALITY \rightarrow IRREVERSIBILITY IN BH PHYSICS

CHRISTODOULOU-RUFFINI

WHEN ADDING ANGULAR MOMENTUM:

$$\delta M - \frac{a \delta J + r_+ Q \delta Q}{r_+^2 + a^2} = \frac{r_+^2 + a^2 \omega^2 \theta}{r_+^2 + a^2} |p^r| \geq 0$$

\rightarrow CHRISTODOULOU-RUFFINI MASS FORMULA

$$M^2 = \left(M_{\text{IRR}} + \frac{Q^2}{4 M_{\text{IRR}}} \right)^2 + \frac{J^2}{4 M_{\text{IRR}}^2}$$

$$\delta M_{\text{IRR}} \geq 0$$

M_{IRR} = INTEGRATION CONSTANT
ALONG 'REVERSIBLE' TRANSFORMATIONS:

$$\delta M = \frac{a \delta J + r_+ Q \delta Q}{r_+^2 + a^2}$$

\rightarrow CLASSICALLY EXTRACTABLE ENERGY OF A BH GIVEN INEQUALITY

$M - M_{\text{IRR}}$ $\left\{ \begin{array}{l} \text{UP TO 29\% } M \text{ IN ROTATIONAL ENERGY} \\ \text{UP TO 50\% } M \text{ IN COULOMB EN.} \end{array} \right.$

$$a^2 + Q^2 \leq M^2$$

GEOMETRICAL MEANING OF M_{IRR} :

$$A \equiv \text{AREA}_{\text{HORIZON}} = 16 \pi M_{\text{IRR}}^2$$

GENERAL RESULT OF HAWKING '71

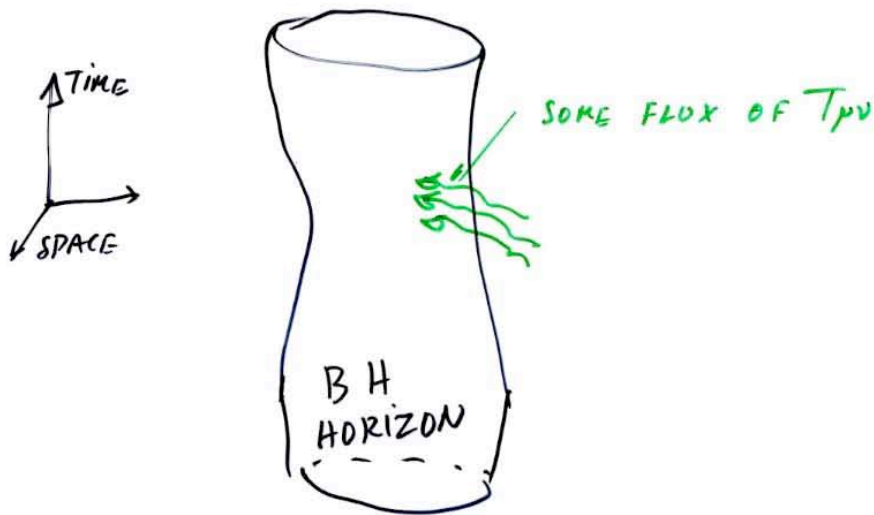
$$\delta A \geq 0$$

VARIOUS FACETS OF BH IRREVERSIBILITY:

CLASSICALLY $\delta A \neq 0$ CAN BE INTERPRETED AS: BH \sim DISSIPATIVE MEMBRANE

QUANTUM MECHANICALLY BEKENSTEIN SUGGESTED $A \propto$ BH ENTROPY

LET US STUDY MORE IN DETAIL WHAT HAPPENS WHEN ONE IS 'THROWING STUFF IN A BLACK HOLE':

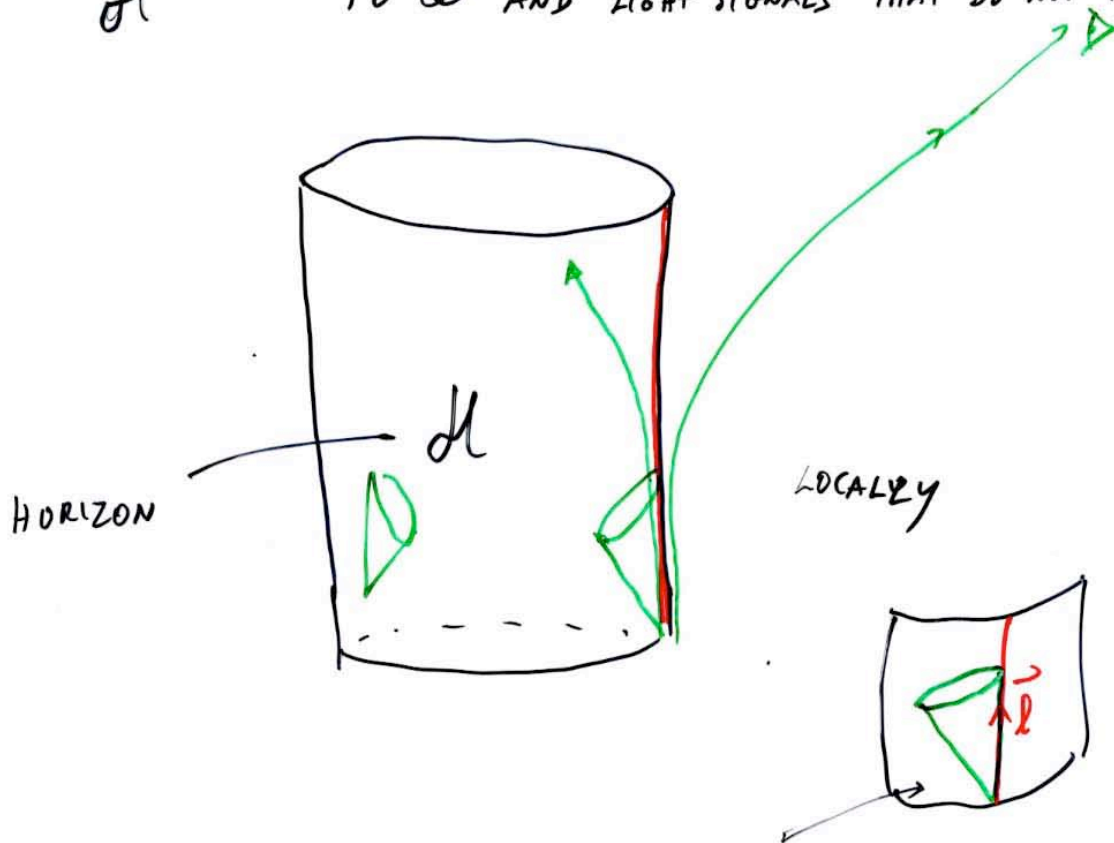


→ DERIVE AN EQUATION FOR THE DYNAMICS OF THE BH 'SURFACE' WHICH IS CLOSELY ANALOGOUS TO THE NAVIER-STOKES EQ. OF A VISCOUS FLUID (Damour '79)

① DEFINITION OF BH HORIZON (OR BH 'SURFACE')
IN A GENERAL TIME-DEPENDENT SITUATION

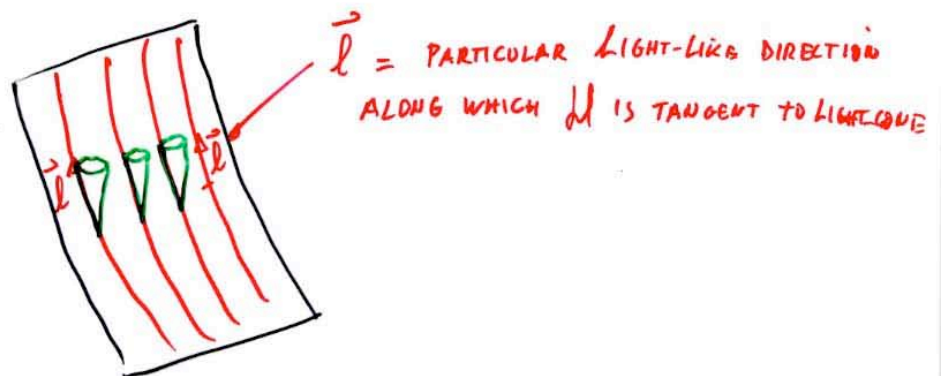
PENROSE, HAWKING, HAWKING-ELLIS

BH HORIZON = BOUNDARY BETWEEN LIGHT SIGNALS THAT ESCAPE TO ∞ AND LIGHT SIGNALS THAT DO NOT ESCAPE

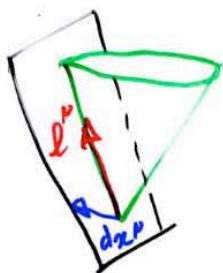


ON HORIZON THE LOCAL LIGHT CONE IS TANGENT TO THE HORIZON

→ HORIZON = NULL HYPERSURFACE



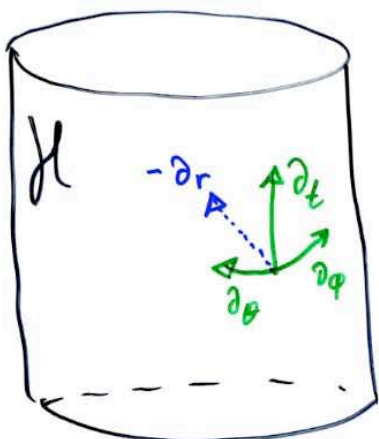
NB $\vec{l} = l^P \frac{\partial}{\partial x^P}$ IS BOTH TANGENT AND NORMAL TO \mathcal{H}



$l_P l^P = 0$
NULL

$l_P dx^P = 0$
WITHIN \mathcal{H}

USE AN ADAPTED COORDINATE SYSTEM WHICH IS REGULAR ON \mathcal{H}



I.E. SIMILAR TO USUAL ('INGOING EDDINGTON-FINKELSTEIN COORDINATES' OF SCHWARZSCHILD (OR REISSNER-NORDSTRÖM))

SINGULAR ON \mathcal{H} : $A(r_+) = 0$

$ds^2 = -A(r) dT^2 + \frac{dr^2}{A(r)} + r^2 d\Omega^2$

$= -A(r) \left[dT^2 - \left(\frac{dr}{A(r)} \right)^2 \right] + r^2 d\Omega^2$

$= -A(r) (dT - dr_*) (dT + dr_*) + r^2 d\Omega^2$

$= -A(r) (dt - 2dr_*) dt + r^2 d\Omega^2$

INTRODUCE 'TORTOISE' r_*

$r_* \equiv \int \frac{dr}{A(r)}$

AND THE NEW TIME

$t = T + r_*$

$ds^2 = -A(r) dt^2 + 2 dt dr + r^2 (d\theta^2 + \sin^2\theta d\phi^2)$

$A(r) = \frac{(r-r_+)(r-r_-)}{r^2}$ VANISHES ON \mathcal{H} BUT ds^2 REMAINS REGULAR IN COORDS (t, r, θ, ϕ)



$\mathcal{H}: r = \text{const}$: $\frac{\partial}{\partial r}$ IS TRANSVERSE, WHILE $\frac{\partial}{\partial t}, \frac{\partial}{\partial \theta}, \frac{\partial}{\partial \phi}$ ARE TANGENT TO

FOR ARBITRARY, TIME-DEPENDENT HORIZON LET US CHOOSE COORDINATES S.T.

• \mathcal{H} IS LOCATED AT $x^1 = 0$ (i.e. $x^1 \sim r - r_+$)

• THE 3-D HYPERSURFACE \mathcal{H} IS PARAMETRIZED BY x^0, x^2, x^3 ($\sim t, \theta, \phi$)

$\partial_1 \equiv \frac{\partial}{\partial x^1}$ IS TRANSVERSE TO \mathcal{H} , WHILE $\partial_0 = \frac{\partial}{\partial x^0}, \partial_2, \partial_3$ ARE TANGENT

• NORMALIZE $\vec{l} = l^\mu \partial_\mu$ S.T. $\vec{l} = \partial_0 + v^A \partial_A$ ON \mathcal{H} $A=2,3$

EXPLOIT $g_{\mu\nu} l^\mu l^\nu = \vec{l} \cdot \vec{l} = 0$ (ON \mathcal{H})

→ FOR ANY TANGENT VECTOR \vec{t} TO \mathcal{H} $0 = \nabla_{\vec{t}}(\vec{l} \cdot \vec{l}) = 2 \vec{l} \cdot \nabla_{\vec{t}} \vec{l}$
NORMAL TO \mathcal{H} MUST BE TANGENT TO \mathcal{H}

ONE DEFINES THE FOLLOWING SPECIAL QUANTITIES

$$\nabla_{\vec{l}} \vec{l} = g \vec{l}$$

$$\nabla_{\vec{e}_A} \vec{l} = -8\pi G \pi_A \vec{l} + D_A^B \vec{e}_B$$

$$\vec{e}_A \equiv \partial_A \equiv \frac{\partial}{\partial x^A}$$

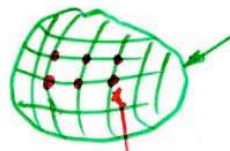
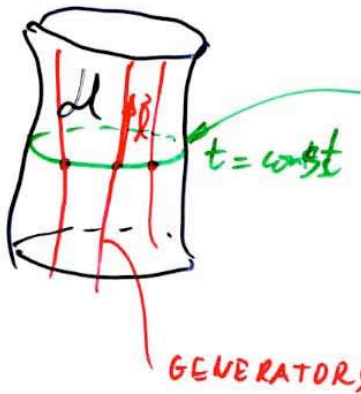
g is called SURFACE GRAVITY

$$g^{\text{Schwarzschild}} = \frac{GM}{r^2}$$

$D_A^B =$ DEFORMATION TENSOR OF the horizon geometry :

$$ds^2|_{\mathcal{H}} = \gamma_{AB}(t, x^A)(dx^A - v^A dt)(dx^B - v^B dt)$$

METRIC TENSOR OF A $t = \text{const}$ SLICE OF \mathcal{H}



'2-brane'
= horizon at some
'time' t

'FLUID PARTICLES'
defined by the 'generators'
i.e. the lines tangent to \vec{l}

'CONVECTIVE DERIVATIVE' OF γ_{AB} :

$$D_{AB} \equiv \frac{1}{2} \frac{D}{dt} \gamma_{AB} = \frac{1}{2} \mathcal{L}_{\vec{l}} \gamma_{AB} = \frac{1}{2} (\partial_t \gamma_{AB} + v^C \partial_C \gamma_{AB} + \partial_A v^C \gamma_{CB} + \partial_B v^C \gamma_{AC})$$

$$D_{AB} = \gamma_{BC} D_A^C = \frac{1}{2} (\partial_t \gamma_{AB} + v_{A|B} + v_{B|A})$$

AS IN USUAL FLUID: RATE OF DEFORMATION OF FLUID ELEMENTS

AS USUAL, DECOMPOSE

$$D_{AB} = \sigma_{AB} + \theta \gamma_{AB}$$

SHEAR TENSOR:
trace-free part

EXPANSION RATE

$$\theta = D_A^A = \frac{1}{2} \gamma^{AB} \partial_t \gamma_{AB} + v^A{}_{|A}$$

? MEANING OF π_A AND EXPLANATION OF COEFFICIENT $\chi \equiv 8\pi G$

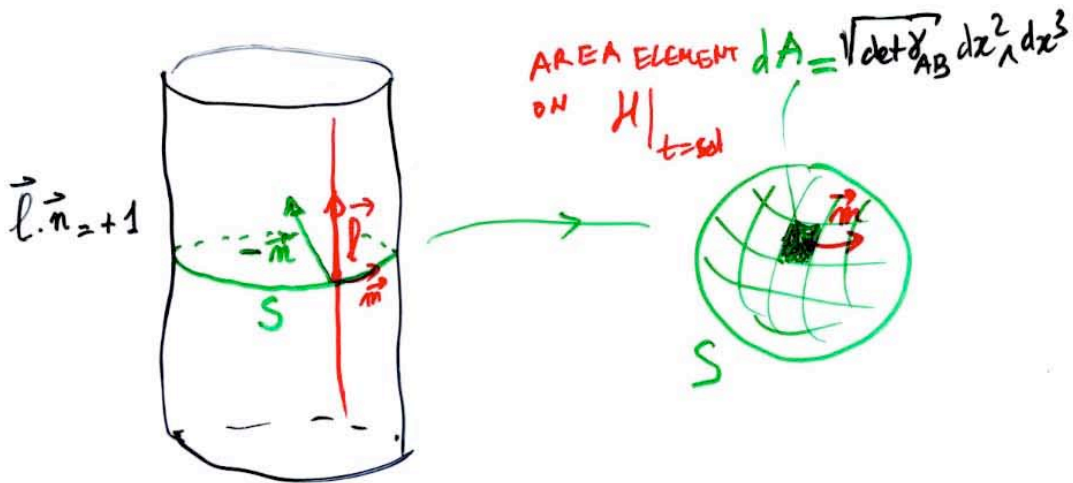
TOTAL ANGULAR MOMENTUM OF ANY AXISYMMETRIC SPACETIME:

$$J_\infty = -\frac{1}{\chi} \oint_{S_\infty} \frac{1}{2} \nabla^\nu m^\mu d^2 S_{\mu\nu} = -\frac{1}{\chi} \oint_{\mathcal{H}} \frac{1}{2} \nabla^\nu m^\mu d^2 S_{\mu\nu} + \int m^\mu T^\nu{}_\mu d^3 \Sigma_\nu$$

KILLING VECTOR $m^\mu \partial_\mu = \partial/\partial \varphi$
DEFINES THE ANG. MOMENTUM OF B.H.
ANG. MOMENTUM OF MATTER, AFTER USING $R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} = \chi T_{\mu\nu}$

Using $d^2 S_{\mu\nu} = (m_\mu l_\nu - m_\nu l_\mu) dA$ AND $l^\nu \nabla_\nu m^\mu = m^\nu \nabla_\nu l^\mu$

$$J_{BH} = -\frac{1}{8\pi G} \oint_{\mathcal{H}} n_\mu m^\nu \nabla_\nu l^\mu dA = \int_S m^A \pi_A dA = \int_S \pi_\varphi dA$$



→ $\pi_A =$ 'SURFACE DENSITY OF LINEAR MOMENTUM OF B.H.'

NAVIER-STOKES-LIKE EQUATION OF BH (Damour '79)

BY PROJECTING EINSTEIN'S EQS $R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} = 8\pi G T_{\mu\nu}$ ALONG $l^\mu e_A^\nu$ (USING THE FACT THAT (g, π_A, D_A^B) GENERALIZES THE 2ND FUNDAMENTAL FORM (Weingarten map), AND USING A NULL generalization of the Gauss-Codazzi eqs) ONE FINDS

$$\frac{D}{dt} \pi_A = -\frac{\partial}{\partial x^A} \left(\frac{g}{8\pi G} \right) + \frac{1}{8\pi G} \sigma_{A|B}^B - \frac{1}{16\pi G} \partial_A \theta - l^\mu T_{\mu A}$$

$\frac{D}{dt} \pi_A = (\partial_t + \theta) \pi_A + v^B \pi_{A|B} + v^B_{|A} \pi_B$
 CONVECTIVE ∂ , i.e. Lie derivative
 SHEAR RATE $\sigma_{A|B}^B$
 EXPANSION RATE $\theta = \frac{\partial_t \sqrt{\gamma} + v^A_{|A}}{\sqrt{\gamma}}$
 RATE OF FLUX OF MOMENTUM THROUGH THE HORIZON

NEARLY IDENTICAL TO USUAL, NON-RELATIVISTIC NAVIER-STOKES EQ. FOR A (2-d) VISCOUS FLUID

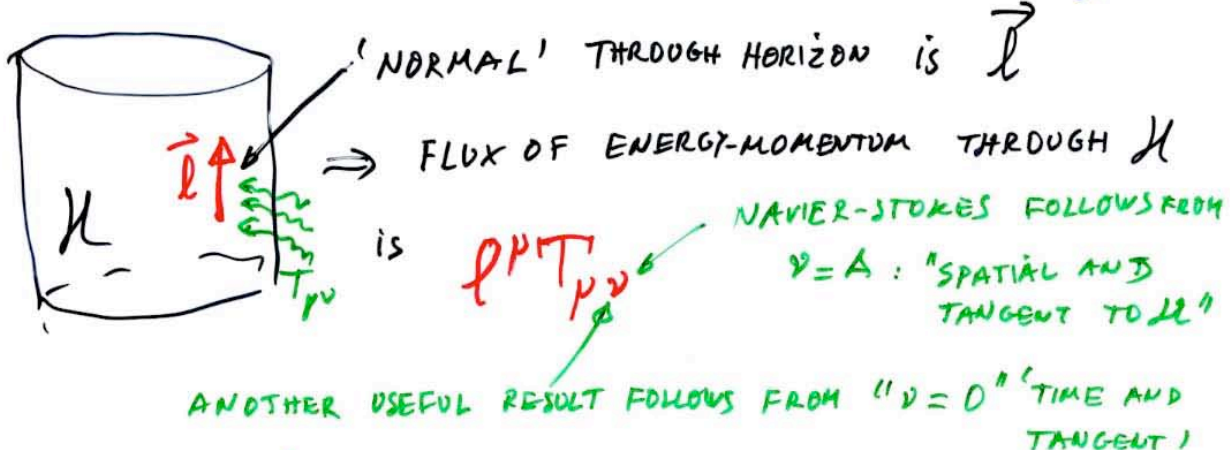
$$\frac{D'}{dt} \pi_i = -\frac{\partial}{\partial x^i} p + 2\eta \sigma_{i,k}^k + \zeta \partial_i \theta + f_i$$

Newtonian convective ∂
 $(\partial_t + \theta) \pi_i + v^k \pi_{i,k}$
 PRESSURE p
 SHEAR VISCOSITY $2\eta \sigma_{i,k}^k$
 BULK VISCOSITY $\zeta \partial_i \theta$
 FORCE DENSITY f_i

$p_{BH} = \frac{g}{8\pi G}$	$\eta_{BH} = \frac{1}{16\pi G}$	$\zeta_{BH} = -\frac{1}{16\pi G}$
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Bekenstein-Hawking \rightarrow gives $\frac{\eta_{BH}}{s_{BH}} = \frac{(16\pi G)^{-1}}{(4\pi G)^{-1}} = \frac{\hbar}{4\pi}$

IRREVERSIBLE THERMODYNAMICS OF BHs



NAMELY $l^\mu l^\nu T_{\mu\nu}$ ('Raychaudhuri eq.')

FOLLOWING BERENSTEIN-HAWKING ATTRIBUTE AN ENTROPY $s = \hat{\alpha} dA$ TO EACH $\sqrt{g_{BH}}$ SURFACE ELEMENT

$$\frac{ds}{dt} - \tau \frac{d^2s}{dt^2} = \frac{1}{\rho_{BH}} \left[2\eta_{BH} \sigma_{AB} \sigma^{AB} + \zeta_{BH} g^2 + \rho_{BH} (\vec{K} - \sigma_H \vec{v})^2 \right] dA$$

$\tau = \frac{1}{g}$

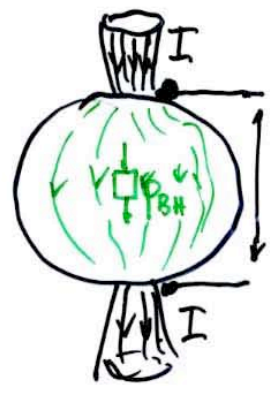
$\rho_{BH} = \frac{g}{8\pi G \hat{\alpha}}$

BH SURFACE RESISTIVITY $4\pi = 377 \Omega m$

ALSO, THERE IS BH OHM'S LAW (Damour, Znajek '78)

$$\vec{E} + \vec{v} \times \vec{B} = \rho_{BH} (\vec{K} - \sigma_H \vec{v})$$

THIS RESULT CONFIRMS THE CONSISTENCY OF INTERPRETING A BH AS A VISCOUS MEMBRANE, ENDOWED WITH ELECTRICAL RESISTIVITY ρ_{BH}

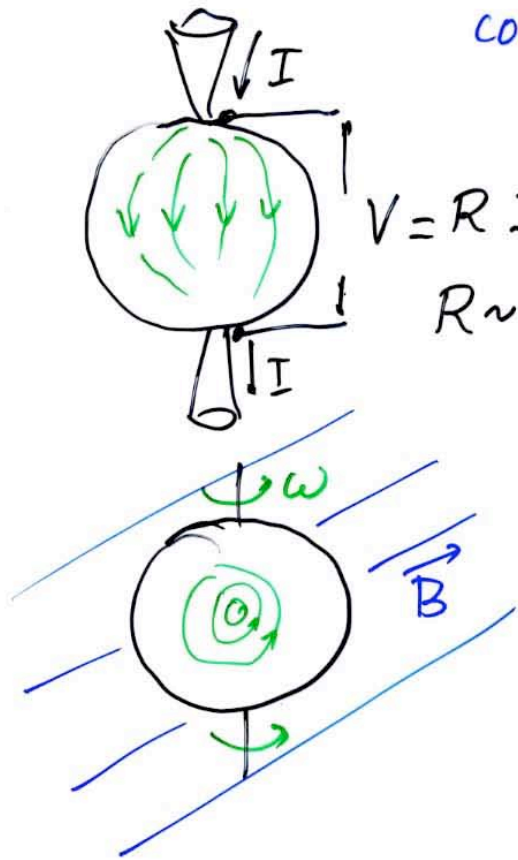


$V = R_{BH} I$
WITH R_{BH} COMPUTED FROM ρ_{BH}

EXAMPLES OF DISSIPATIVE PROCESSES

P1.14

CONSEQUENCES OF $\rho_H = 4\pi \cdot 377\Omega \neq 0$



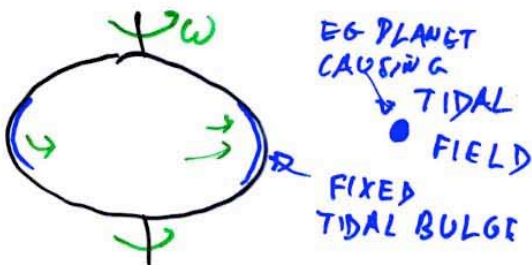
$V = RI$ AND $\frac{dQ}{dt} = T \frac{dS}{dt} = RI^2$
 $R \sim 30 \Omega$

→ EDDY CURRENTS → DISSIPATION AND TORQUE ALIGNING ω TOWARD \vec{B}

CONSEQUENCES OF $\eta_{BH} = \frac{1}{16\pi G} \neq 0$

BH EQUILIBRIUM STATES: $\mathcal{D}_{AB} = 0 = 0, \partial_E = 0 \rightarrow$ UNIFORM 'PRESSURE'
 $\Rightarrow g$ UNIFORM ON \mathcal{H}

TIDAL BULGE → DISSIPATION (Hartle)



→ DISSIPATION → $\frac{d\omega}{dt} < 0$

+ VALIDITY OF 'MINIMUM ENTROPY PRODUCTION PRINCIPLE'
 à la Prigogine

BEKENSTEIN'S VIEW OF WHY $T_{BH} = \frac{g}{8\pi G \hat{\alpha}}$ P1.15
SURFACE GRAVITY

RECALL CHRISTODOULOU-RUFFINI (SPHERICAL SYMM.)

$$\delta M = \frac{Q \delta Q}{r_+(M, Q)} + |g^{rr} p_r|_{r_+}$$

↑ REVERSIBLE (WORK) ↑ IRREVERSIBLE (HEAT)

$$\delta E = \delta W + T \delta S$$

TO REACH REVERSIBILITY ONE WOULD NEED

TO FIX BOTH $r = r_+$ AND $p_r = 0$ SIMULTANEOUSLY

2 CONTRARY TO HEISENBERG'S $\delta r \delta p_r \geq \frac{1}{2} \hbar$

$$\Rightarrow (r - r_+) p_r \geq \frac{1}{2} \hbar$$

USING $g^{rr} = A(r) = \frac{(r - r_+)(r - r_-)}{r^2} \approx \left(\frac{\partial A}{\partial r}\right)_{r_+} (r - r_+)$

$$g^{rr} p_r = A(r) p_r \approx \left(\frac{\partial A}{\partial r}\right)_{r_+} \underbrace{(r - r_+) p_r}_{\text{Heisenberg}} \geq \frac{1}{2} \hbar \left(\frac{\partial A}{\partial r}\right)_{r_+}$$

$$\Rightarrow T \delta S \geq \frac{1}{2} \hbar \left(\frac{\partial A}{\partial r}\right)_{r_+}$$

WHEN ABSORBING ONE PARTICLE

ONE CHECKS

$$\left. \frac{\partial A(r)}{\partial r} \right|_{r_+} = 2g$$

EG FOR SCHWARZSCHILD $A(r) = 1 - 2 \frac{GM}{r}$

$$\Rightarrow \frac{\partial A}{\partial r} = 2 \frac{GM}{r^2}$$

SO THAT

$$T \delta S \geq \hbar g$$

BEKENSTEIN ARGUED

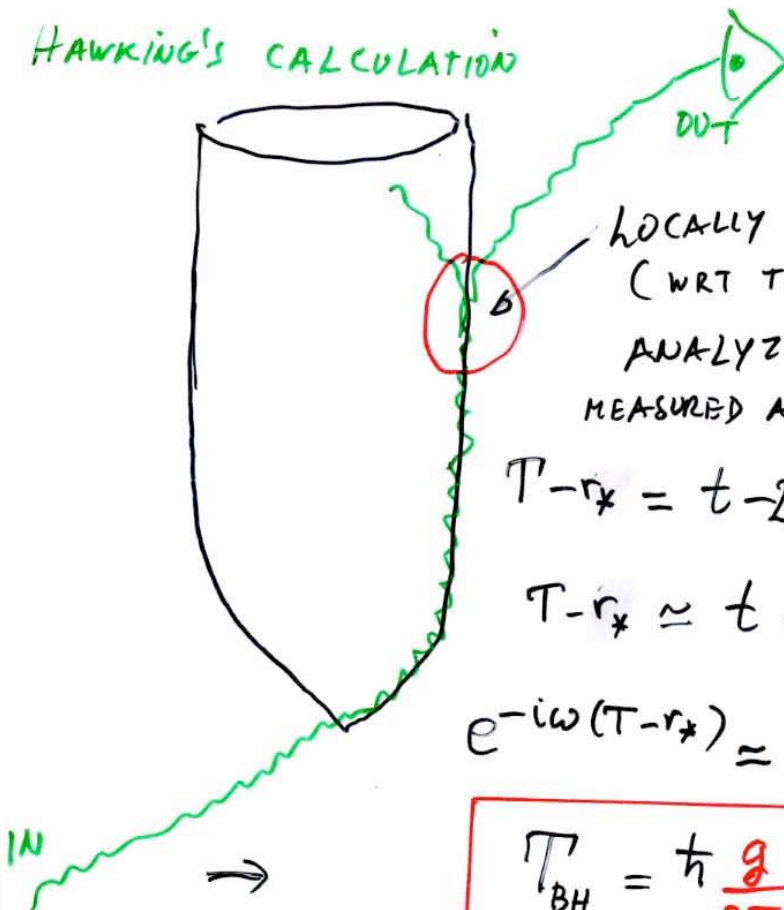
UPON ABSORBING ONE PARTICLE

$$\delta S \geq 1$$

(ONE BIT OF INFORMATION LOST)

$$\Rightarrow T_{BH} \sim \hbar g$$

HAWKING'S CALCULATION



LOCALLY NEGATIVE-FREQUENCY MODE
(WRT TO LOCALLY REGULAR COORDS t, r, θ, ϕ)

ANALYZED WRT GLOBAL TIME
MEASURED AT ∞ ,

$$T - r_* = t - 2r_* = t - 2 \int \frac{dr}{A(r)}$$

$$A(r) = 2g(r - r_+)$$

$$T - r_* \approx t - \frac{1}{g} \ln(r - r_+)$$

$$e^{-i\omega(T - r_*)} = e^{-i\omega t} \exp\left(i \frac{\omega}{g} \ln(r - r_+)\right)$$

$$T_{BH} = \hbar \frac{g}{2\pi}$$