

# The static potential in lattice QCD

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# Motivation

Confinement  
 $\updownarrow$   
 [linear] growth of static potential

- Definition and properties of static potential
- **Understand** confinement: what are the relevant d.o.f.?  
 $V \propto R \implies$  area law for Wilson loop, ie. **disorder**  
 confinement non-perturbative  $\leftrightarrow$  non-perturbative d.o.f.  $\leftrightarrow$  **topological**

# Outline

- 1 Defining the static potential
- 2 Numerical methods
- 3  $q\bar{q}$  Potential and string picture
- 4 Flux Tube
- 5 String Breaking
- 6 Large  $N_c$
- 7 Baryonic potential
- 8 State of the art

# What is the static potential ?

static potential = groundstate energy of static charges

(“potential” energy of static charges)

- groundstate
- static charges

# How to obtain the groundstate

Thanks to **imaginary time**  $\tau = it$

Create  $q\bar{q}$  pair with operator  $O$

$$\begin{aligned} \text{Amplitude } C(t) &\equiv \langle 0 | O^\dagger \exp(-itH) O | 0 \rangle \\ &= (\sum_i \langle \psi_i | b_i^* \rangle) \exp(-itH) (\sum_j b_j | \psi_j \rangle) \\ &= \sum_i |b_i|^2 \exp(-itE_i) \text{ hopeless} \end{aligned}$$

Rotate to **imaginary time**:

$$\begin{aligned} &\rightarrow \sum_i |b_i|^2 \exp(-\tau E_i) \\ \tau \rightarrow \infty &\implies |b_0|^2 \exp(-\tau E_0) \end{aligned}$$

$$\text{i.e. } E_0 = \lim_{\tau \rightarrow \infty} -\frac{1}{a} \log \frac{C(\tau)}{C(\tau-a)} \quad \tau = Ta$$

$$\text{Difficulty: } -\log \frac{C(T)}{C(T-1)} \approx aE_0 \left( 1 + \frac{|b_1|^2}{|b_0|^2} \exp(-Ta(E_1 - E_0)) \right)$$

$$\text{i.e. want } Ta \gg 1/(E_1 - E_0)$$

Since (see later)  $E_1 - E_0 \sim 1/R_{q\bar{q}}$ , want **elongated**  $R \times T$  loops

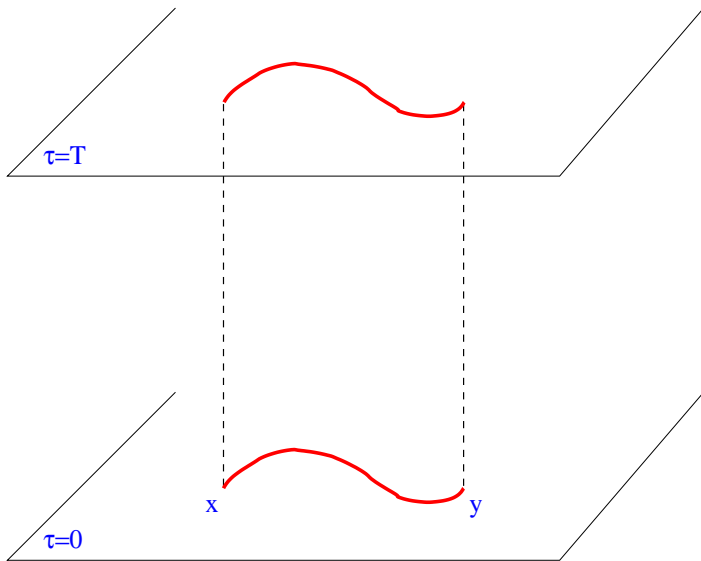
$$1 \ll \xi/a \ll R \ll T$$

# Creating static charges on the lattice

- Fix gauge to  $A_0 = 0$
- At  $\tau = 0$ , create parallel transporter from  $x$  to  $y$ :  $B_{xy} = (\prod_{x \rightarrow y} U)_r$   
Under local gauge transformation,  $B_{xy} \rightarrow \Omega_r(x)^\dagger B_{xy} \Omega_r(y)$   
identical to transformation of  $(q_r(x), \bar{q}_r(y))$   
→ pair of opposite color charges in representation  $r$   
(other possibilities, but  $\text{pbc} \rightarrow \sum q = 0 \pmod{N_c}$ )
- At  $\tau = T$ , create  $B_{xy}^\dagger = B_{yx}$ , ie. annihilate  $q\bar{q}$  pair (same colors)  
→ amplitude  $C(x, y, T) \equiv \sum_{ab} (B_{xy}^\dagger)_{ab}(T) (B_{xy})_{ba}(0)$
- Average over gauge fields (in  $A_0 = 0$  gauge):  
$$\langle C(x, y, T) \rangle = (\sum_i \langle \psi_i | b_i^* \rangle \exp(-TH) (\sum_j b_j | \psi_j \rangle))$$
$$= \sum_i |b_i|^2 \exp(-TE_i)$$
- $\lim_{T \rightarrow \infty} -\frac{1}{T} \log \langle C(x, y, T) \rangle = E_0$ ;  $E_0$  is static potential  
Indep. of path  $B_{xy}$ ; depends on  $R = |y - x|$  and representation.
- $A_0 = 0$  gauge unnecessary:  $C(x, y, T) = \text{Tr}(\prod U)_r$  around loop

Wilson loop  $\langle W(R, T) \rangle = \frac{1}{N_c} \text{Tr} \prod U$  Potential  $V(R) = \lim_{T \rightarrow \infty} -\frac{1}{T} \log \langle W(R, T) \rangle$

area law  $\leftrightarrow$  linear confinement

Creating a  $q\bar{q}$  pair

# The need to be clever: breakdown of naive approach

- Linear confinement:  $V(R) \sim R/\xi \implies \langle W(R, T) \rangle \sim \exp(-\frac{1}{\xi^2} R \times T)$   
( $\xi$  correlation length,  $\sigma = 1/\xi^2$  string tension)

But for each measurement  $i = 1..N$ ,  $W_i(R, T) \sim O(1)$ :

**signal goes down exponentially** with  $R, T$ , but **noise remains constant**.

- Central limit theorem: after  $N \gg 1$  measurements  $W_i$ ,

$$\frac{1}{N} \sum_i W_i(R, T) = \langle W(R, T) \rangle + O\left(\sqrt{\frac{\langle W(R, T)^2 \rangle - \langle W(R, T) \rangle^2}{N}}\right)$$

Can only probe  $(R, T)$  such that  $\langle W(R, T) \rangle \gtrsim \frac{1}{\sqrt{N}}$

$$N = 10^6 \rightarrow \frac{R \times T}{\xi^2} \lesssim \log(10^3) \sim 7$$

i.e.  $R \lesssim 2\xi, T \lesssim 3\xi$  unsatisfactory:

- $T \gg R$  not satisfied  $\rightarrow$  excited states bias
- $R \gg \xi$  not satisfied  $\rightarrow$  not long-distance
- Technical steps:
  - improve **groundstate overlap**
  - decrease **variance**



# Improving groundstate overlap I: smearing

Idea 1: groundstate is string-like

→ **sum over many paths** xy distributed like string profile

**Spatial smearing:**  $U \rightarrow \text{Proj}_{SU(N)}(\alpha U + \sum_4 \text{staples})$

$$\left| \hat{x} \right\rangle \rightarrow N \left[ \alpha \left| \hat{x} \right\rangle + \left[ \begin{array}{c} \text{rectangle with } -\hat{y} \text{ and } +\hat{y} \text{ labels} \\ \text{rectangle with } -\hat{z} \text{ and } +\hat{z} \text{ labels} \end{array} \right] \right]$$

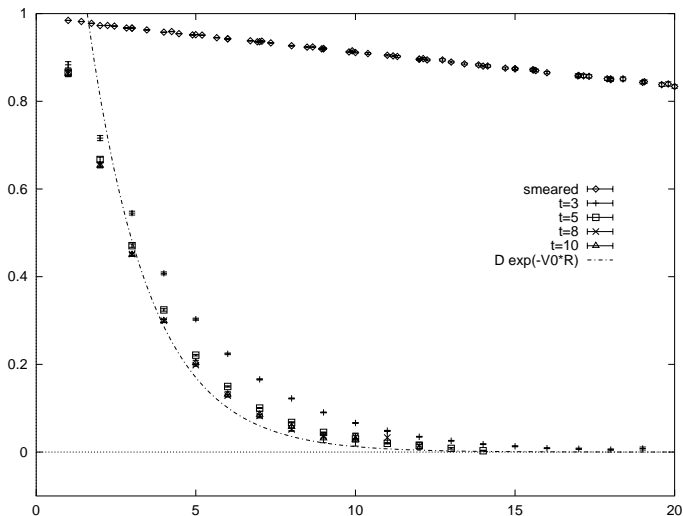
$\text{Proj} = \frac{1}{\sqrt{\det}}$  for  $SU(2)$

perform  $m$  smearing steps  $\rightarrow \sim$  Gaussian smearing, width  $\sim \sqrt{m}$

optimize  $m(R)$  to maximize  $|b_0|^2$  in  $\langle W(R, T) \rangle = \sum_i |b_i|^2 \exp(-TE_i)$

→  $m \propto \frac{1}{a^2}$

## Improving groundstate overlap I: effect of smearing



$|b_0|^2$  vs  $R$ , with and without smearing: spectacular!

## Improving groundstate overlap II: variational basis

Idea 2: **variational basis** of operators  $\{B^k_{xy}\}$ : vary **path** or **nb. smearing steps**

- Measure **correlation matrix**  $M(T)$ :

$$M_{kl}(T) = \langle \prod_T U_4^\dagger(x) \cdot B^k_{xy}(T)^\dagger \cdot \prod_T U_4(y) \cdot B^l_{xy}(0) \rangle$$

- Solve **generalized eigenvalue problem**:

$$M(T)v_i = \lambda_i M(T_0)v_i \quad (\text{same eigenvectors at } T_0 \text{ and } T)$$

$$\text{Solved by } M(T_0)^{-1/2} M(T) M(T_0)^{-1/2} (M(T_0)^{1/2} v_i) = \lambda_i (M(T_0)^{1/2} v_i)$$

$\Rightarrow$  take  $T_0$  small enough that  $M(T_0)$  positive definite (statistical noise)

Theorem (Lüscher & Wolff):  $\lambda_i = \exp(-(T - T_0)E_i)(1 + \mathcal{O}(\exp(-T(E_i - E_j))))$

Look for **plateau** in **effective mass**:  $E_i^{\text{eff}}(T) = -\log\left(\frac{\lambda_i(T+1)}{\lambda_i(T)}\right)$

- Control over systematic error:

even a poor measurement of  $(E_1 - E_0)$  is very useful:

expect reliable measurement of  $E_0$  **only for**  $T \gtrsim \frac{1}{E_1 - E_0}$

- Very large/noisy matrix  $M$ : **project** onto significant modes first

## Variance reduction I: multi-hit (Parisi)

Idea: perform Monte Carlo integration **more thoroughly where it matters**

Wilson loop: integrate over **time-like links** of loop itself

$$U_4 \rightarrow \bar{U}_4 = \frac{1}{n} \sum_{i=1}^n U_4^{(i)} \quad \text{1-link MC, all others fixed}$$

Or analytically:  $\bar{U}_4 = \langle U_4 \rangle = \frac{\int dU_4 U_4 \exp(\beta \text{Tr} U_4 X)}{\int dU_4 \exp(\beta \text{Tr} U_4 X)}$  ( $U(1)$ ,  $SU(2)$ ,  $SU(3)$ )

Substitute  $U_4 \rightarrow \bar{U}_4$  **simultaneously** on all **independent** links

Typically (Wilson action;  $R > 1$ ):



$\Rightarrow$  variance reduction  $\sim \exp(c T)$  (C. Michael)

Best at strong coupling:  $c \searrow$  as  $\beta \nearrow$

## Variance reduction II: Lüscher-Weisz, aka multi-level

Idea: generalize multi-hit to **link pairs**  $U_4(x, t) U_4^\dagger(y, t)$

$$W(R, T) = \text{Tr} \prod U =$$

**Factorize:**

or more factors

$$W_4^{abcd}(0, T) = W_4^{abef}\left(\frac{T}{2}, T\right) \cdot W_4^{efcd}\left(0, \frac{T}{2}\right)$$

Take Monte Carlo average of each factor **separately**?

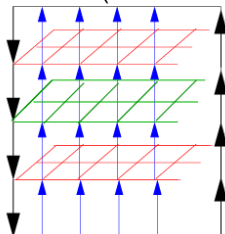
Possible, if the d.o.f in first and second factor do not interact

Obtained by **freezing all spatial links at  $\tau = 0, \frac{T}{2}, T$**

# Lüscher-Weisz algorithm: details

Perform sub-Monte Carlo organized into “slabs”:

spatial links at boundaries (colored links) frozen



Generalizes in hierarchical way (update green links, then repeat) →  
 “multi-level”

Single level more efficient. Optimum slab “thickness”  $\sim \frac{1}{2} \frac{1}{T_c}$  (Pepe)

Variance reduction  $\sim \exp(cT)$  like multi-hit, but much larger  $c$

Very large  $T$  become accessible → control over groundstate

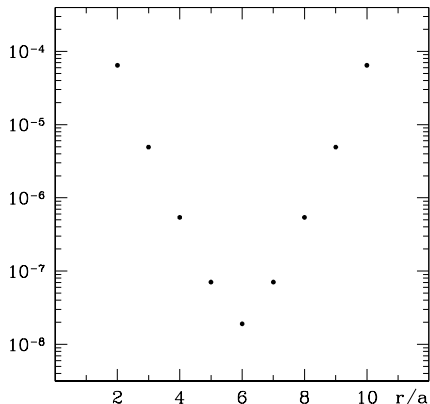
Gain so large that old calculation worth repeating

Watch out: storage (higher representation,  $SU(N)$ , baryon)

Need action local in time: improved actions? full QCD??

## Lüscher-Weisz algorithm: illustration

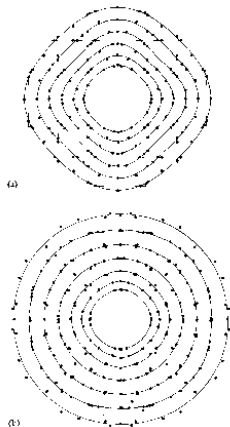
Correlation of 2 Polyakov loops vs  $R$  ( $12^4, \beta = 5.7$ ):



Naive approach:  $W \sim \mathcal{O}(1)$  for each measurement  $\Rightarrow$  ca.  $10^{16}$  measurements!

$r_l$ : short-distance improvement (Sommer)

When  $R$  not  $\gg a$ , **lattice distortions**: how to correct?

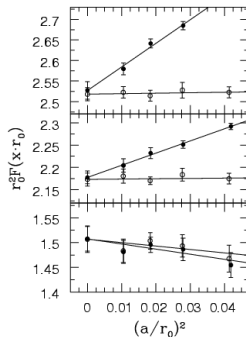


Asymptotic freedom  $\rightarrow V_{q\bar{q}} \sim$  **Coulomb** as  $R \rightarrow 0$   
 Include **lattice Coulomb potential** in global fit of  $V(R)$  ?



# Elegant solution

- Relevant quantity is **force**  $F(R) = -\frac{dV}{dR}$ , not  $V(R)$  itself
- $V(R)$  contains UV-divergent, arbitrary constant  $\rightarrow$  removed by differentiation
- On lattice:  $\frac{-1}{a}(V(R+a) - V(R)) = F(R_l)$ ,  $R_l = \frac{a}{2}(1+O(a^2))$
- Take **tree-level improved** observable:  
 $R_l = \text{exact solution when } V \text{ is Coulomb potential}$
- Aside: “Sommer scale”  $r_0$  solution of  $r_0^2 F(r_0) = 1.65 \rightarrow r_0 \sim 0.45 \text{ fm}$   
 better than string tension (numerically & phenomenologically)



# Lattice results: overview

- Prerequisite: **continuum extrapolation**  $a \rightarrow 0$

Bosonic theory:

$$\langle W \rangle(a) = \langle W \rangle(a=0)(1 + c_2 a^2 + \dots), \quad c_2 = \sum_{k=0} c_2^{(k)} g^{2k}$$

Set to zero  $c_2^{(k)}$ ,  $k = 0, \dots$  by **perturbative** (tree-level, 1-loop, ..)

or **non-perturbative**  $\rightarrow c_2 = 0$

improvement of action and/or observables (**Symanzik**)

## Outline:

- Short distance:  $\Lambda_{\overline{MS}}$
- Long distance: Lüscher term, string picture
- Flux tube
- String breaking
- Large- $N_c$
- Baryon potential
- State of the art

Running coupling and  $\Lambda_{\overline{MS}}$ 

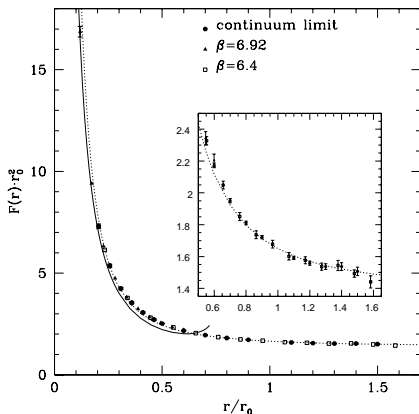
One-gluon exchange:  $F(R) = C_F g^2 \frac{1}{4\pi R^2}$ ,  $C_F = 4/3$ .

Use as **definition** of running coupling  $\bar{g}(R)$

RG eq.:  $\beta(\bar{g}) = -\frac{d}{dR}\bar{g}(R) = -\sum_{\nu=0} b_\nu \bar{g}^{2\nu+3}$

$b_0, b_1$  universal,  $b_2$  known

$\Lambda_{\overline{MS}} \times r_0$  known from previous work (or **fit** (Schilling et al.))



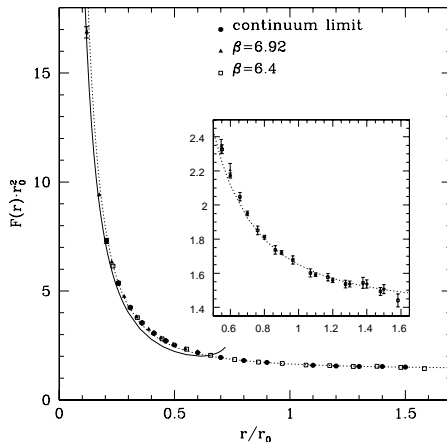
Necco & Sommer

## Lüscher term

aka Casimir, bosonic string, “universal”

$R \rightarrow \infty$ ,  $V(R) \approx \sigma R - \frac{\pi}{24}(d-2)\frac{1}{R}$  in  $d$  dim. (Coulomb-like in  $d=4$ )

$$F(R) = \sigma + \frac{\pi}{24}(d-2)\frac{1}{R^2}$$



## String picture

String forms  $R \times T$  **worldsheet**; small transverse fluctuations  $\vec{\phi}(x, \tau) \in \mathcal{R}^{d-2}$

Bosonic string: action is  $\sim \sigma \times \text{area } A(\vec{\phi})$ ; **effect of fluctuations?**

$$Z(R, T) = \int d\phi \exp(-\sigma A(\vec{\phi})); \quad V(R) = \lim T \rightarrow \infty - \frac{1}{T} \log Z(R, T)$$

- Classical solution: minimize action  $\rightarrow \vec{\phi} \equiv 0$ ,  $V(R) = \sigma R$

- Quantum fluctuations (1-loop): gradient expansion of  $A(\vec{\phi})$

$$A(\vec{\phi}) = \int_0^R dx \int_0^T d\tau \sqrt{1 + \left| \frac{\partial \vec{\phi}}{\partial x} \right|^2 + \left| \frac{\partial \vec{\phi}}{\partial \tau} \right|^2} \text{ (Pythagoras); b.c. } \vec{\phi} = 0 \text{ for Wilson loop}$$

$$\text{Expand: } A(\vec{\phi}) \approx \int_0^R dx \int_0^T d\tau \left( 1 + \frac{1}{2} \sum_k^{d-2} |\nabla \vec{\phi}_k|^2 \right)$$

All  $(d-2)$  components decoupled  $\rightarrow$  overall factor  $(d-2)$ .

$$\text{Take } \vec{\phi} = \phi_1, \text{ integrate by parts: } A(\phi_1) \approx \int_0^R dx \int_0^T d\tau \left( 1 - \frac{1}{2} \Delta \phi_1 \right)$$

$\Delta$  is **unique**  $O(m^2)$  term with rotation invariance  $\rightarrow$  **universal**

In Fourier space:  $\phi = \sum_{mn} c_{mn} \exp(i(k_m x + k_n \tau))$ ,  $k_m = m \frac{\pi}{R}$ ,  $k_n = n \frac{\pi}{T}$

$$A(\phi_1) \approx RT \left( 1 + \frac{\pi^2}{2} \sum_{mn} c_{mn}^2 \left( \frac{m^2}{R^2} + \frac{n^2}{T^2} \right) \right)$$

$Z(R, T) \rightarrow \prod$  Gaussian integrals over  $c_{mn}$

$$\propto \exp(-\sigma RT) \det'(-\Delta)^{-1/2} \text{ (zero-mode excluded)}$$

# String picture

Result (Dietz & Filk, 1983):  $\det'(-\Delta) = \frac{1}{\sqrt{2R}} \eta(i\frac{T}{R})$

Dedekind  $\eta$ -function:  $\eta(\tau) = \exp(i\frac{\pi}{12}\tau) \prod_{n=1}^{\infty} (1 - \exp(2i\pi n\tau))$

Consequence for static potential:  $T \gg R \rightarrow \eta(i\frac{T}{R}) \approx \exp(-\frac{\pi}{12} \frac{T}{R})$

$V(R) = \lim_{T \rightarrow \infty} -\frac{1}{T} \log Z(R, T) = \text{const.} + \sigma R - \frac{\pi}{24} (d-2) \frac{1}{R}$

(factor  $-1/2$  from  $\det^{-1/2}$ )

- “**Universal**”: independent of higher-order terms in gradient expansion  
ie. of particular string action
- “**Casimir**”: similar calculation; free modes; geometry-dependence
- **Trick?** Sum over Fourier modes is infinite  $\rightarrow$  **UV divergence**.
  - $\zeta$ -function regularization
  - lattice regularization

# $\zeta$ -function regularization

$$\zeta(s, -\Delta) \equiv \sum_n' \lambda_n^{-s} = \sum_n' e^{-s \log \lambda_n} \rightarrow \log \det(-\Delta) = -\frac{d}{ds} \zeta(s, -\Delta) \Big|_{s=0}$$

Remove from  $\zeta$  divergent piece  $\propto \frac{1}{s}$ ; keep finite piece (**Hawking**)

cf. **dimensional regularization**

$$\begin{aligned} \zeta(s, -\Delta) &= \frac{1}{\Gamma(s)} \int_0^\infty dt t^{s-1} \sum_{mn}' \exp(-t \pi^2 (\frac{m^2}{R^2} + \frac{n^2}{T^2})) \\ &= \frac{1}{\Gamma(s)} (\frac{R^2}{\pi})^s \int_0^\infty dt t^{s-1} (\Omega(t) \Omega(\frac{T^2}{R^2} t) - 1) \end{aligned}$$

$$\Omega(t) \equiv \sum_{n=-\infty}^{+\infty} \exp(-\pi n^2 t); \quad -1 \text{ for zero-mode } m = n = 0$$

Symmetry:  $\Omega(1/t) = \sqrt{t} \Omega(t)$  (elliptic  $\theta_3(0, \exp(-\pi t))$ )

$\rightarrow$  split integral into  $(\int_0^1 + \int_1^\infty)$ , and transform the first:

$$\int_0^1 \dots = \int_1^\infty dt' t'^{-s} (\Omega(t') \Omega(\frac{R^2}{T^2} t') - 1) + \frac{1}{s-1} - \frac{1}{s}$$

**Pole at  $s = 0$  isolated**; **discard** and differentiate regular piece:

$\rightarrow$  Geometry-dependent part:

$$\int_1^\infty dt \left( \frac{1}{t} (\Omega(t) \Omega(\frac{T^2}{R^2} t) - 1) + (\Omega(t) \Omega(\frac{R^2}{T^2} t) - 1) \right)$$

## Lattice regularization

Want  $\log \det'(-\Delta) = \sum' \log(a^2 \hat{p}^2)$ ,  $\hat{p}^2 = \hat{p}_1^2 + \hat{p}_2^2$ ,

$$\hat{p}_1 = \frac{2}{a} \sin \frac{ap_1}{2}, p_1 = \pi \frac{m}{R}, m = 0, \dots, R/a - 1$$

$$\hat{p}_2 = \frac{2}{a} \sin \frac{ap_2}{2}, p_2 = \pi \frac{n}{T}, n = 0, \dots, T/a - 1; a \rightarrow 0$$

Split  $\sum'$  into 2 terms (cf. Bunk):

(1)  $m = 0 \rightarrow \sum'_n \log(4 \sin^2 \frac{\pi n}{2T}) \rightarrow$  indep. of  $R \rightarrow$  drops out

(2)  $m \neq 0$ : define  $\varepsilon(m)$  via  $\sinh \frac{\varepsilon(m)}{2} = \sin \frac{\pi m}{2R}$

$$\begin{aligned} \rightarrow a^2 \hat{p}^2 &= 4 \sinh^2 \frac{\varepsilon(m)}{2} + 4 \sin^2 \frac{\pi n}{2T} \\ &= \exp(\varepsilon(m)) (1 - 2 \cos \pi \frac{n}{T} \exp(-\varepsilon(m)) + \exp(-2\varepsilon(m))) \end{aligned}$$

$$\log \det'(-\Delta) = (1) ..$$

$$.. + \frac{T}{a} \sum'_m \varepsilon(m) + \sum'_m \sum_n \log(1 - 2 \cos \pi \frac{n}{T} \exp(-\varepsilon(m)) + \exp(-2\varepsilon(m)))$$

- $\sum_n \log(..) = 2 \log(1 - \exp(-\frac{T}{a} \varepsilon(m)))$ , using  $\prod_1^{n-1} (x^2 - 2 \cos \frac{k\pi}{n} + 1) = \frac{x^{2n} - 1}{x^2 - 1}$   
 $T/a \rightarrow \infty \Rightarrow$  cf.  $\prod_{n=1}^{\infty} (1 - \exp(2i\pi n\tau))$  in  $\eta$ -function, subleading
- $\frac{T}{a} \sum'_m \varepsilon(m)$  gives  $\frac{RT}{a^2} - \frac{T}{R} \frac{\pi}{12} + o(a^2)$ , ie. **Lüscher term**

Divergent term renormalizes string tension



Further beauty of Dedekind  $\eta$ -function

- What about  $R \leftrightarrow T$  symmetry of Wilson loop?

Modular transformation  $\eta\left(\frac{-1}{\tau}\right) = \sqrt{-i\tau} \eta(\tau)$ , with  $-i\tau = \frac{T}{R}$  (cf.  $\Omega(t)$ )

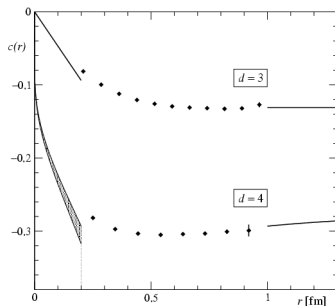
Achieves  $\frac{T}{R} \leftrightarrow \frac{R}{T}$

- Additional terms (beyond Lüscher) sizeable when  $T \sim R$  (Gliozzi)
- Application to correlator of Polyakov loops (length  $T$ , separation  $R$ ):  
fixed  $\rightarrow$  periodic b.c. in  $\tau$   
 $\rightarrow \phi = \sum_{mn} c_{mn} \exp(i(k_m x + k_n \tau))$ ,  $k_m = m \frac{\pi}{R}$ ,  $k_n = 2n \frac{\pi}{T}$
- $R \ll T$ , zero-temp.,  $V(R) = \sigma R - \frac{\pi}{24}(d-2) \frac{1}{R}$  ( $T$ -b.c. irrelevant)
- $R \gg T$ ,  $C(R, T) \approx \exp(-R(\sigma T - \frac{\pi}{6}(d-2) \frac{1}{T}))$   
ie.  $\sigma_{eff} = \sigma - \frac{\pi}{6}(d-2) \frac{1}{T^2}$ ,  $\rightarrow$  deconfinement!  
transition sensitive to subleading terms (Caselle)

## Dedicated measurement of Lüscher term + subleading

$$V(R) = V_0 + \sigma R + c_L \frac{1}{R} \rightarrow F(R) = \sigma - c_L \frac{1}{R^2} \rightarrow c_L = \frac{1}{2} R^3 \frac{d^2 V}{dR^2}$$

Measure  $c_{\text{eff}}(R_l) = \frac{R_l^3}{2a^2} (V(R+a) + V(R-a) - 2V(R))$  (Lüscher-Weisz 02)



- Beautiful precision, clear convergence
- “Precocious scaling” from  $R \sim 0.3$  fm ( $\leftrightarrow$  Kuti)
- Discretization errors negligible ?
- Correction  $\sim \frac{1}{R}$  in fact **absent** (Lüscher-Weisz 04)  $\rightarrow \frac{1}{R^2}$

## Subleading term: open-closed string duality (Lüscher-Weisz)

- Consider correlator of 2 Polyakov loops of length  $T$ :

$$\langle P^*(0)P(R) \rangle = \exp(-\sigma RT - \mu T) \eta(q)^{2-d}$$

$$\eta(q) = q^{\frac{1}{24}} \prod_{n=1}^{\infty} (1 - q^n), \quad q = \exp(-\pi \frac{T}{R})$$

Polyakov loops  $\rightarrow$  static charges present  $\forall \tau \rightarrow$  sum over all  $q\bar{q}(R)$  states:

$$\langle P^*(0)P(R) \rangle = \mathbf{Z}_{q\bar{q}} = \sum_{n=0}^{\infty} w_n \exp(-E_n T), \quad w_n \text{ integers}$$

Identify with  $\eta$  expansion  $\rightarrow$  whole spectrum for open string of length  $R$

$$E_n = \sigma R + \mu + \frac{\pi}{R} \left( \frac{2-d}{24} + n \right), \text{ ie. splitting } \frac{\pi}{R}$$

- Going beyond free (Gaussian) string action:

$$\text{First term is boundary term } b \int d\tau \left( \left| \frac{d\phi}{dx} \right|_{x=0}^2 + \left| \frac{d\phi}{dx} \right|_{x=R}^2 \right)$$

$$\text{Perturbation theory in } b \rightarrow \langle P^*(0)P(R) \rangle = \mathbf{Z}_{q\bar{q}} \text{ to 1st order}$$

- Duality**: the same worldsheet  $\langle P^*(0)P(R) \rangle = \mathbf{Z}_{q\bar{q}}$  represents the propagation of a closed string of length  $T$  through Euclidean time  $R$

$\rightarrow$  Expand in energy-levels of closed strings (using modular transf. of  $\eta$ )

Cannot accommodate a piece  $\propto b$  in energy-levels  $\Rightarrow b = 0$

## Subleading term: pedestrian

Hand-waving:  $T E(R) = T(\sigma R + \frac{c_1^o}{R} + \frac{b}{R^2})$

$$R E(T) = R(\sigma T + \frac{c_1^c}{T} + \frac{b}{T^2})$$

Modular transf.  $\frac{R}{T} \leftrightarrow \frac{T}{R}$ , but **not**  $\frac{T}{R^2} \leftrightarrow \frac{R}{T^2}$

- **Next-order**: prediction in  $3d$ ,

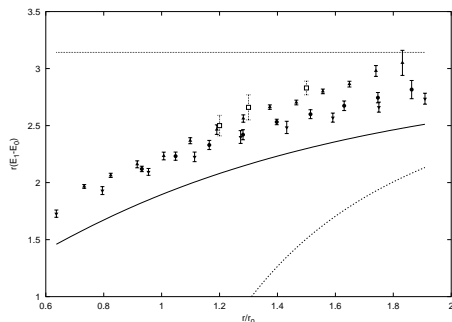
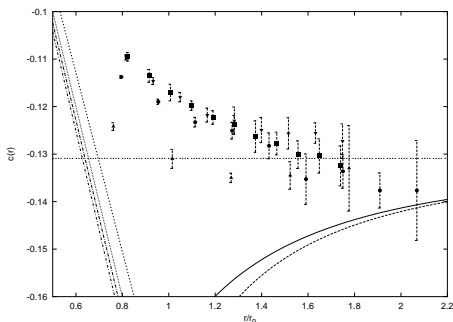
$$E_n = \sigma R + \mu + \frac{\pi}{R}(n - \frac{1}{24}) - \frac{\pi^2}{2\sigma}(n - \frac{1}{24})^2 \frac{1}{R^3} + O(\frac{1}{R^4})$$

- Coincides with expansion of **Nambu-Goto** string (action  $\propto$  area):

$$E_n = \sigma R \sqrt{1 + \frac{2\pi}{\sigma R^2}(n - \frac{1}{24}(d - 2))} \quad (\text{Arvis})$$

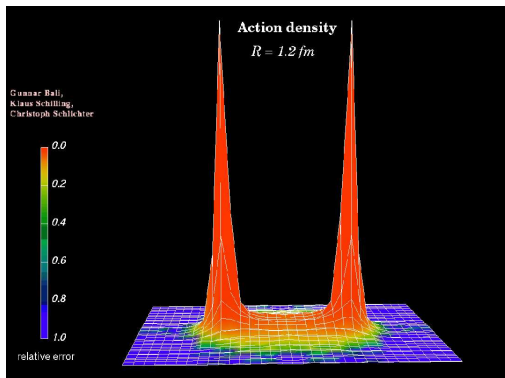
## Work under way

- Change gauge group ( $SU(N)$  vs Abelian, discrete,..)  $\rightarrow$  universality
- Info on excited states:
  - directly (Wilson loop + variational basis) (Kuti et al., Majumdar)
  - indirectly (Polyakov loops at non-zero temperature) (Caselle et al.)
- Very challenging: high accuracy at large  $R/a$  + extrapolation  $a \rightarrow 0$



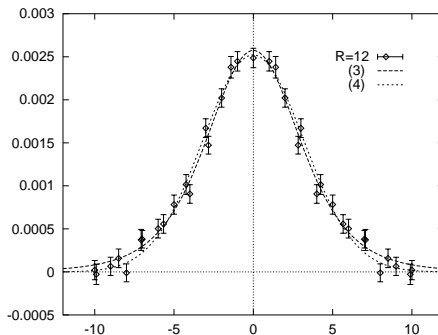
Majumdar:  $3d$   $SU(2)$ ,  $c_{\text{eff}}(R)$  (compare w/LW 02);  $(E_1 - E_0)$

## Flux tube



$$\begin{aligned} \text{Measure shift in action density caused by } q\bar{q}: & \frac{\langle q\bar{q} | F_{\mu\nu}^2 | q\bar{q} \rangle}{\langle q\bar{q} | q\bar{q} \rangle} - \langle F_{\mu\nu}^2 \rangle \\ &= \frac{\langle W F_{\mu\nu}^2 \rangle}{\langle W \rangle} - \langle F_{\mu\nu}^2 \rangle \end{aligned}$$

## Flux tube profile

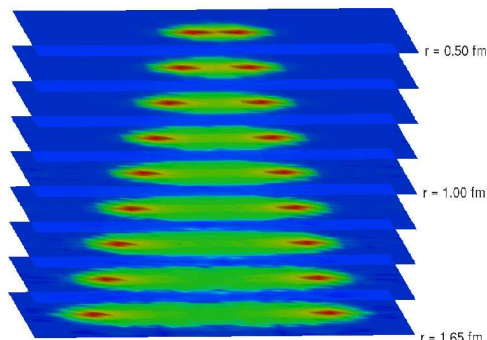


Confront with **models**, esp. dual superconductor (see other speakers)

Here, **dipole**  $\sim \frac{1}{(\delta^2 + |x_{\perp}|^2)^3}$  (pert. th.)

and **Gaussian**  $\sim \exp\left(-\frac{|x_{\perp}|^2}{\delta^2}\right)$  (bosonic string, Caselle et al.)

# Flux tube width

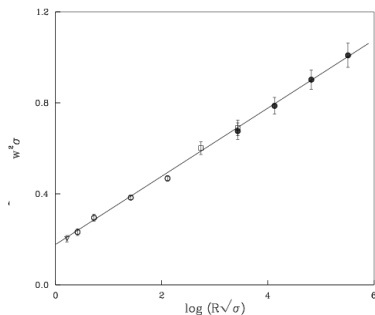
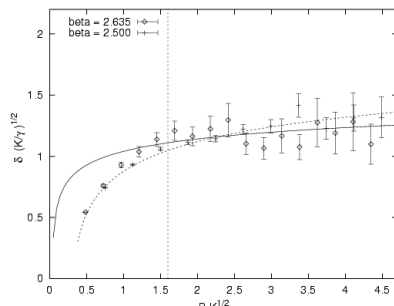


Bosonic string: massless fluctuations  $\rightarrow$  string worldsheet is **rough**:

$$\langle |\phi(\mathbf{x}) - \phi(0)|^2 \rangle \sim c \log |\mathbf{x}|, \quad c = \frac{1}{\pi\sigma} \quad (\text{Lüscher, Münster \& Weisz})$$



# Roughening?



Width vs  $R$ ,  $c$  predicted (solid) or fitted (dotted)

Here  $SU(2)$  (Bali);  $3d Z_2$  over distance scale  $\sim 100!$  (Gliozzi)

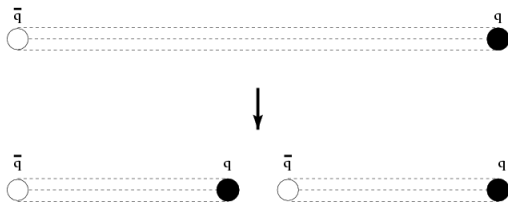
Expected from roughening transition:

- strong coupling expansion of Wilson loop:  $\langle |\phi(x) - \phi(0)|^2 \rangle$  finite
- $\beta > \beta_{rough}$ : expansion ceases to converge (but free energy analytic)

# String breaking

So far, quenched: no dynamical quarks

With dynamical quarks: string breaks by  $q\bar{q}$  creation



Creation of 2 static-light mesons

- Same if dynamical fundamental charges are bosons (Higgs), if static charges are adjoint, ...
- Is the theory still “confining” ?  
no order parameter, but no isolated colored states → semantics
- Observe string breaking on the lattice?  
Long history of failures until ...

## $2 \times 2$ basis

Use (again) variational basis:

(Philipsen & Wittig: 3d  $SU(2)$ +Higgs, hep-lat/9807020

Knechtli & Sommer: 4d  $SU(2)$ +Higgs, hep-lat/9807022)

- Include as trial states “broken”  $|\mathcal{B}\rangle$  and “unbroken”  $|\mathcal{U}\rangle$  string states
- Diagonalize  $2 \times 2$  correlation matrix  $\rightarrow$  groundstate + excited state

$$C(R, T) = \begin{pmatrix} \langle \mathcal{U} | \mathcal{U} \rangle & \langle \mathcal{U} | \mathcal{B} \rangle \\ \langle \mathcal{B} | \mathcal{U} \rangle & \langle \mathcal{B} | \mathcal{B} \rangle \end{pmatrix} = \mathcal{R}_{2 \times 2}^\dagger \begin{pmatrix} \exp(-E_0(R)T) & 0 \\ 0 & \exp(-E_1(R)T) \end{pmatrix} \mathcal{R}_{2 \times 2}$$

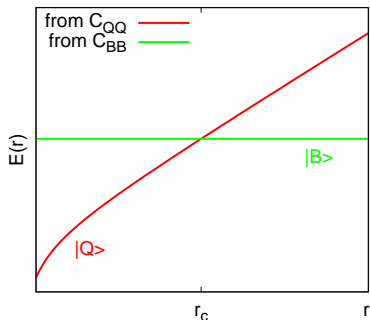
- Eigenstates are  $\begin{pmatrix} \Phi_0 \\ \Phi_1 \end{pmatrix} = \mathcal{R}_{2 \times 2} \begin{pmatrix} \mathcal{U} \\ \mathcal{B} \end{pmatrix}$ , ie.

$$\Phi_0 = \cos \theta \mathcal{U} + \sin \theta \mathcal{B}$$

$$\Phi_1 = -\sin \theta \mathcal{U} + \cos \theta \mathcal{B}$$

- Crucial role of off-diagonal elements  $\rightarrow$  mixing
- Monitor  $E_0, E_1, \theta$  as a function of  $R \rightarrow$  quick  $90^\circ$  rotation at  $R = R_b$  but not too quick!

## No mixing



QCD:  $\mathcal{U}$  is  $Q\bar{Q}$  (static);  $\mathcal{B}$  is  $Q\bar{q} + q\bar{Q}$ , ie. pair of static-light mesons

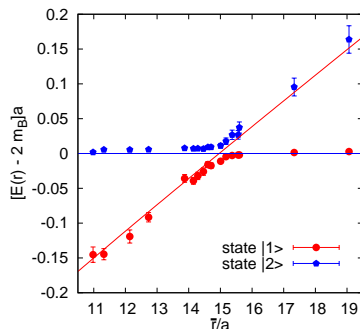
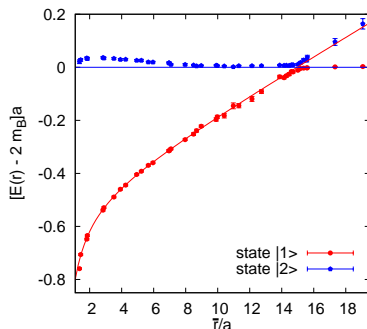
No mixing  $\implies \theta(R)$  jumps from 0 to  $90^\circ$  at  $R = R_b$

Small mixing  $\rightarrow$  rapid variation  $\rightarrow$  need good resolution  $\delta R \ll a$  in  $R$   
 $\rightarrow$  off-axis correlations

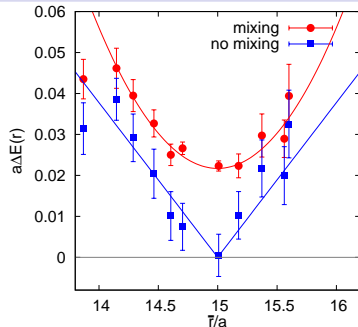
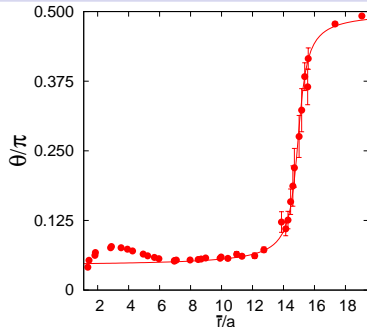
## Heroic efforts

$$C(t) = \begin{pmatrix} C_{QQ}(t) & C_{QB}(t) \\ C_{BQ}(t) & C_{BB}(t) \end{pmatrix} = e^{-2mq\pm} \begin{pmatrix} \square & \sqrt{n_f} \text{ [diagram]} \\ \sqrt{n_f} \text{ [diagram]} & -n_f \text{ [diagram]} + \text{[diagram]} \end{pmatrix}$$

(Michael & Pennanen: off-diagonal elt; MILC; Bali, Schilling et al.)



## Results



Good resolution for mixing angle  $\theta(R)$

Quadratic dependence  $\Delta E(R) \sim \Delta E_c + c(R - R_b)^2 \leftrightarrow$  no mixing

$R_b \approx 1.25$  fm;  $\Delta E_c \approx 50$  MeV

Systematics: - Reduce quark mass ( $m_\pi \sim 640$  MeV)

- Take **continuum limit**

$\Delta E_c(a=0) = 0$  ?

Mixing “put in by hand” ?

## String breaking from Wilson loops only

Wilson loop:  $|\mathcal{U}\rangle = \cos\theta |\Phi_0\rangle - \sin\theta |\Phi_1\rangle$

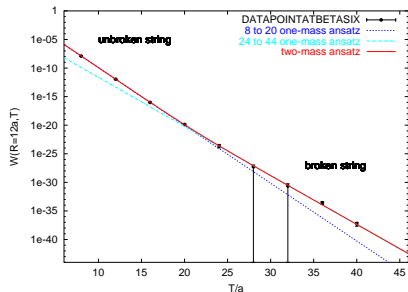
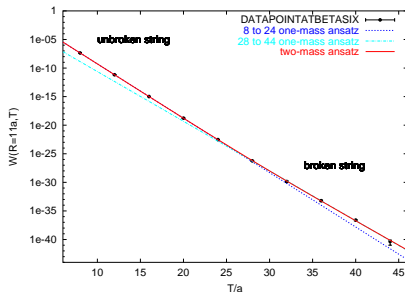
$R < R_b$ :  $\theta \approx 0 \rightarrow |\mathcal{U}\rangle \approx |\Phi_0\rangle$ : easy

$R > R_b$ :  $\theta \approx \frac{\pi}{2} \rightarrow |\mathcal{U}\rangle \approx |\Phi_1\rangle$ : how to retrieve  $(|\Phi_0\rangle, E_0)$  ?

$\langle \mathcal{U} | \exp(-HT) | \mathcal{U} \rangle = \cos^2\theta \exp(-E_0 T) + \sin^2\theta \exp(-E_1 T)$

When  $\theta \approx \frac{\pi}{2}$ : **2nd term dominant**

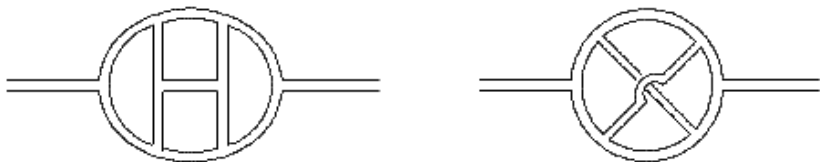
Solution: **increase  $T$**  until  $\exp(-(E_1 - E_0)T) \ll \frac{\cos^2\theta}{\sin^2\theta}$



Only  $SU(2)$  adjoint (Kratovichila & Pdf) and  $Z_2 + \text{Higgs}$  (Gliozzi & Rago) in 3d

# Large $N_c$ : motivation

- The  $N_c \rightarrow \infty$  theory is simpler, but still non-perturbative
  - Define 't Hooft coupling  $\lambda \equiv g^2 N_c$ . Fix  $\lambda$  while  $N_c \rightarrow \infty$ .
  - Pert. expansion re-ordered into **topological** expansion ('t Hooft)



genus- $h$  diagram  $\sim \left(\frac{1}{N_c^2}\right)^h$

$N_c \rightarrow \infty \implies$  **planar** diagrams only

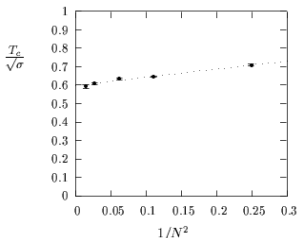
# planar diagrams  $\sim \exp(\# \text{ vertices})$  "only" (solved in 2d)

Fermions:  $N_f$  fixed  $\rightarrow \mathcal{O}\left(\frac{1}{N_c}\right)$ ;  $\implies$  **quenched**

Study  $N_c \rightarrow \infty$  limit of  $SU(N)$  Yang Mills on the lattice



# $\lim_{N_C \rightarrow \infty} SU(N_C)$ on lattice (Teper et al., Del Debbio et al.)



- Good extrapolation in  $\frac{1}{N_C^2}$  from  $N_C = 2$  (coeff  $\lesssim 1$ )

$T_c$ , latent heat, interface tension, glueball spectrum, topology, ...

$\Rightarrow$  any  $N_C = \infty$  theoretical prediction applies to real world within  $\sim 10\%$

- Cf. Nambu-Goto closed string  $E_n = \sigma R \sqrt{1 + \frac{8\pi}{\sigma R^2} (n - \frac{1}{24}(d-2))}$

$\rightarrow \frac{T_c}{\sqrt{\sigma}} = \sqrt{\frac{3}{\pi(d-2)}} (2^{nd} \text{ order, mean field})$  (Pisarski-Alvarez 82; Olesen 85)

-  $d = 3$ : 0.977 vs  $SU(2) : 1.12(1)$  and  $SU(3) : 0.98(2)$

-  $d = 4$ : 0.691 vs  $SU(2) : 0.709(4)$  and  $SU(3) : 0.646(3)$

- In  $d = 3$ ,  $\frac{\sqrt{\sigma}}{g^2}$  very close to  $\sqrt{\frac{N_C^2 - 1}{8\pi}}$  (Nair)

# k-strings

- In  $SU(N)$ ,  $N$  values for color charge (“ $N$ -ality”)  $\rightarrow (N - 1)$  string tensions  $\sigma_k$   
Focus on ratios  $\sigma_k/\sigma_1$ ,  $k = 1..int(N/2)$ , with 2 theoretical guesses:

- **Casimir**:  $\frac{\sigma_k}{\sigma_1} = \frac{k(N-k)}{N-1}$   $\leftarrow$  pert. th.

- **sine**:  $\frac{\sigma_k}{\sigma_1} = \frac{\sin k\pi/N}{\sin \pi/N}$   $\leftarrow$  supersymmetry (Douglas & Shenker)  
M-theory (Hanany, Strassler & Zaffaroni)  
but (Herzog & Klebanov)

- Construct correlator of 0-momentum **spatial** Polyakov loops in representation  $r$ :

$$C_r(t) = \sum_{x_1, x_2} \langle \chi_r[P(0;0)] \chi_r[P(x_1, x_2; t)] \rangle, \quad P(x_1, x_2; t) = \prod_{x_3=1}^{L_3} U_3(x_1, x_2, x_3; t)$$

$$\chi_f[P] = \text{Tr}P;$$

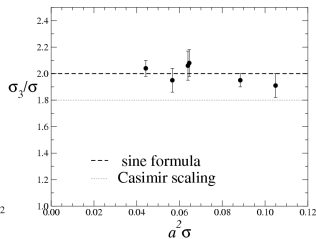
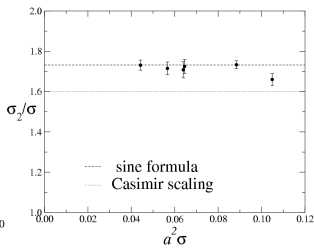
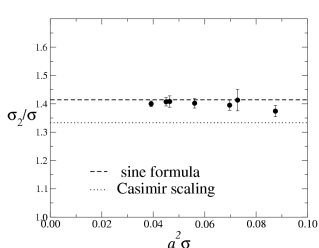
$$\chi_{k=2}[P] = \text{Tr}P^2 - (\text{Tr}P)^2;$$

$$\chi_{k=3}[P] = 2\text{Tr}P^3 - 3\text{Tr}P^2\text{Tr}P + (\text{Tr}P)^3 \quad (\text{antisymmetric})$$

$$\square \otimes \square \otimes \square = \square\square\square \oplus \begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \end{array} \oplus \begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \end{array} \oplus \begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \square \\ \hline \end{array}$$

Extract  $\sigma_k$  with fit  $E_k(L_3) = \sigma_k L_3 - \frac{\pi}{3L_3} + \text{continuum extrapolation}$

# $k$ -strings: lattice results $SU(4)$ and $SU(6)$ (Del Debbio et al.)



Teper et al.: larger errors; in-between Casimir & sine law

- No reason to expect either at finite (small)  $N$
- Real issue (Shifman): corrections  $O(\frac{1}{N})$  or  $O(\frac{1}{N^2})$  ?

Keep  $k$  fixed, increase  $N$

- Casimir:  $\frac{k(N-k)}{N-1} \rightarrow k(1 - \frac{k-1}{N})$

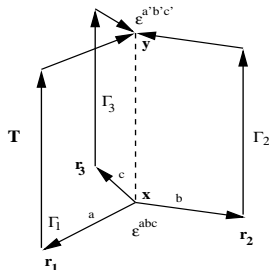
- Sine law:  $\frac{\sin \frac{k\pi}{N}}{\sin \frac{\pi}{N}} \rightarrow k(1 - \frac{(k^2-1)\pi^2}{6N^2})$

Topological expansion in  $\frac{1}{N^2} \rightarrow$  Sine law

# Static baryon potential

Form color singlet from  $N$  fundamental charges  $\rightarrow$  **baryon**. ( $N = 3$  mostly)

Repeat  $q\bar{q}$  construction  $\Rightarrow$  **Y-shaped** lines and baryon **junction**



$$W_{3q} = \frac{1}{3!} \epsilon^{abc} \epsilon^{a'b'c'} U(x, y, 1)^{aa'} U(x, y, 2)^{bb'} U(x, y, 3)^{cc'}$$

- Junction needed for gauge invariance; positions at  $\tau = 0$  and  $T$  **irrelevant**
- Form of **potential**  $V_{3q}$  ?

short-distance  $\rightarrow$  pert. th.:  $V_{3q}(r_1, r_2, r_3) \approx \frac{1}{2} \sum_3 V_{q\bar{q}}(|r_{ij}|)$

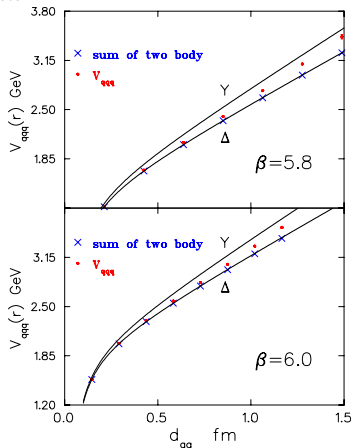
long-distance  $\rightarrow$  Y-shaped flux tubes:  $V_{3q}(r_1, r_2, r_3) \approx \sigma_{q\bar{q}} L_Y$  ?

ie. evidence for **3-body** interactions

Y-law vs  $\Delta$ -law

- $\Delta$ -law: 2-body forces only.  $V_{3q} = \frac{1}{2} \sum_3 V_{q\bar{q}}(|r_{ij}|) \rightarrow \sigma_{q\bar{q}} \frac{\text{perimeter}}{2}$  (Cornwall)
- Y-law: minimize total string length  $\rightarrow$  Steiner point  $\rightarrow \sigma_{q\bar{q}} L_Y$  (Isgur & Paton)

Numerically:  $1 \leq \frac{2L_Y}{\text{perimeter}} \leq 1.15..$  (equilateral) (Suganuma.; Alexandrou..)



3-body force inside a proton?

# Baryonic Lüscher term (Jahn & Pdf)

Baryonic Wilson loop made of 3 worldsheets

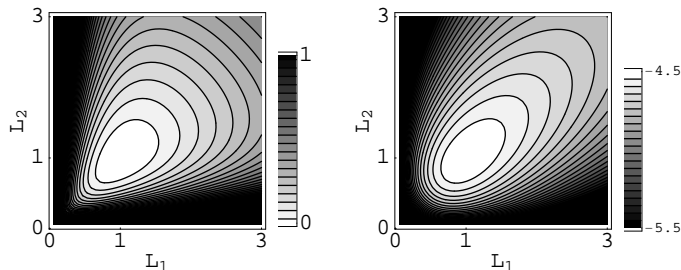
→ integrate over Gaussian fluctuations?

Boundary cond. each sheet: - Dirichlet at static quark

- continuity +  $\frac{2\pi}{3}$  balance of forces at junction

→ Integrate over Gaussian fluctuations, then over junction worldlines

Junction fluctuations inside  $qqq$  plane, and  $\perp$   $qqq$  plane ( $d > 3$ )



Coefficient of the **universal** Lüscher-like term  $\frac{\pi}{24} \frac{1}{L_Y}$  as a function of the 3 relative string lengths ( $L_1, L_2, L_3 = 1$ ), in  $d = 3$  (left) and 4 (right).

In  $d = 3$ ,  $C > 0$ , so that  $V_{qqq}$  has an **inflexion point**.

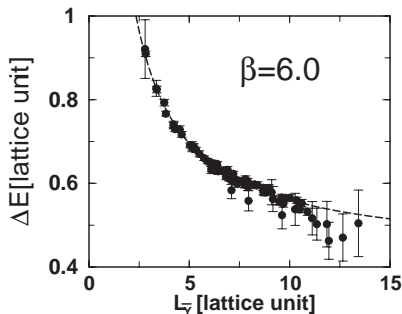
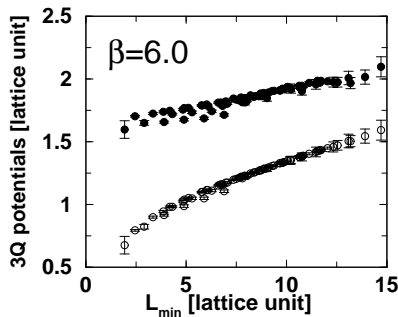
# Excited baryon potentials

$\Delta V = (V_1 - V_0)$  calculable in string picture  $\rightarrow \frac{\pi}{L_Y}$

Lattice measurement (Suganuma et al.):  $\Delta V(L_Y \sim 1\text{fm}) \sim 1\text{ GeV} (?)$

$\lim_{L_Y \rightarrow \infty} \Delta V \sim 600\text{ MeV} (??)$

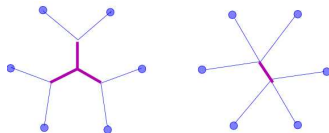
$\rightarrow$  constituent gluon mass (Cornwall)



Same group: tetraquark, pentaquark static potentials

Baryons and  $k$ -strings

$N > 3$ , large distance: how do the flux tubes arrange?

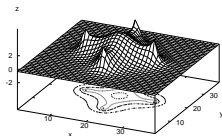


Minimize  $\sum_k L_k \sigma_k \Rightarrow$  probe  $\sigma_k / \sigma_1$

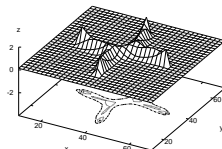
Geometrically: **balance of forces**  $\rightarrow$  **angles** at each junction

- **SU(4)**: 1 or 2 junctions? inconclusive ( $\sim$  2-body forces only)  
(Alexandrou et al.)

- **Sine-law threshold**: take  $N$  quarks on regular polygon:  
if  $\exists k$  s.t.  $\sigma_k < \sigma_1 \frac{\sin k\pi/N}{\sin \pi/N}$ ,  $Z_N$  symmetry **broken** (Gliozzi)



$$\sigma_2 = 2\sigma_1$$



$$\sigma_2 = \sigma_1$$



## State of the art: simulation of the dual model

- Surprise: **dual observables** easier to measure than **direct observables**
- 't Hooft loops
- Wilson loops
- interface free energy
- spin correlations

Why?  $\langle \tilde{W} \rangle = \frac{Z_{\text{disordered}}}{Z_0} = \frac{\int dU e^{-S_{\text{disordered}}}}{\int dU e^{-S}}$

all integrands  $> 0$

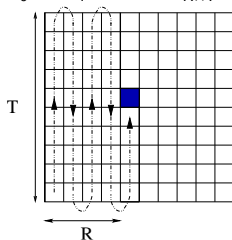
$$\langle W \rangle = \frac{\int dU W e^{-S}}{\int dU e^{-S}}$$

$W(U)$  can be  $> 0$  or  $< 0$

- Exploit positivity with “snake” algorithm (PdF, D’Elia & Pepe)

$R \times T$  interface:  $\langle \tilde{W} \rangle = \frac{Z_{R \times T}}{Z_0}$

Factorize:  $= \frac{Z_1}{Z_0} \times \frac{Z_2}{Z_1} \times \dots \times \frac{Z_{R \times T}}{Z_{R \times T-1}}$  ie. 1 plaquette at a time

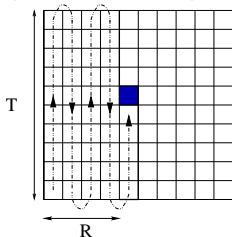


Each factor  $O(1)$ ; estimated by separate Monte Carlo (**positivity**)

# The magic virtue of the snake algorithm

$$\langle \tilde{W}_{R \times T} \rangle = \frac{Z_1}{Z_0} \times \frac{Z_2}{Z_1} \times \dots \times \frac{Z_{R \times T}}{Z_{R \times T - 1}}$$

$$\langle \tilde{W}_{R+1 \times T} \rangle = \langle \tilde{W}_{R \times T} \rangle \times (T \text{ more factors}) \quad (\text{strip } T \times 1)$$



Last  $T$  factors give  $\frac{\langle \tilde{W}_{R+1 \times T} \rangle}{\langle \tilde{W}_{R \times T} \rangle} = \exp(-T(\tilde{V}(R+1) - \tilde{V}(R))) \rightarrow$  force  $F(R+1/2)$

same statistics  $\Rightarrow$  same accuracy  $\forall R$  (Hasenbusch)

Revolution under way for measurements of interface tensions, 't Hooft loops, ..

# Using the snake algorithm for Wilson loops

- How to measure Wilson loops?

Perform duality transformation, then measure dual observable in dual model!

Example: 3d  $Z_2$  gauge theory  $\rightarrow$  3d Ising model (duality transformation)

Measure Ising interface free energies  $\leftrightarrow Z_2$  Wilson loops

$$H_{\text{Ising}} = \sum_{\langle ij \rangle} J \sigma_i \sigma_j$$

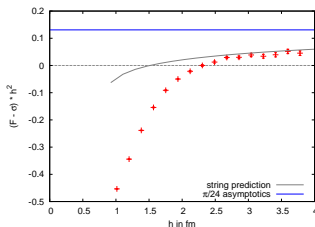
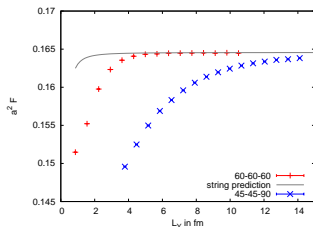
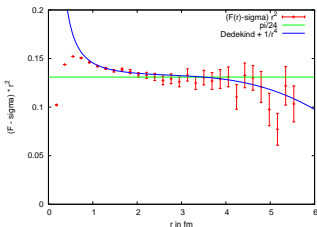
$H_{\text{interface}}$ :  $J \rightarrow -J$  for each link piercing interface

$$\frac{\sum_{\{\sigma\}} \exp(-\beta H_{R \times T, \text{interface}})}{\sum_{\{\sigma\}} \exp(-\beta H_{\text{Ising}})} = \langle W_{R \times T} \rangle_{Z_2 \text{ gauge th.}}$$

- Need simple action after duality transformation  $\rightarrow$  restricted class
  - 3d:  $Z_N$  gauge  $\rightarrow N$ -states spin (eg.  $Z_2$ )  $\rightarrow$  bonus: cluster algorithm
  - 4d:  $U(1)$  (Panero)
  - Non-Abelian??

# Illustrative results

3d  $Z_3$  gauge theory  $\rightarrow$  3d  $q = 3$  Potts model (PdF & Jahn)



- $q\bar{q}$  Lüscher coeff.  $c_L(R)$ , with  $\frac{1}{R^2}$  subleading term ( $\sim 3\times$  prediction)
- $F_{qqq}$  for 60-60-60 (no Lüscher term predicted) & 45-45-90 geometries
- Baryonic Lüscher coeff. for  $q_1$  &  $q_2$  fixed ( $\rightarrow$  diquark): approaching  $\frac{\pi}{24}$

Note amazing distances reached.

# Conclusion

- Giant step in numerical studies:  
Lüscher-Weisz 'multilevel' and 'snake' algorithms
- Enables theoretical progress + fundamental question:  
What is the effective string theory for QCD ?
- Explain confinement?