

FISICA DEL SAPORE E QCD SUL RETICOLO

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1. FLAVOR PHYSICS AND ITS MOTIVATIONS

- I. The Standard Model: a low energy effective theory
- II. Flavor Physics in the Standard Model (and beyond)

2. LATTICE QCD AND QUARK MASSES

- I. Generalities on quark masses
- II. Introduction to Lattice QCD
- III. Lattice calculations of quark masses

3. CKM MATRIX, UNITARITY AND CP VIOLATION

- I. First row, unitarity and the Cabibbo angle
- II. The Unitarity Triangle Analysis
- III. Search for New Physics

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FLAVOR PHYSICS AND ITS MOTIVATIONS

- I. The Standard Model: a low energy effective theory
- II. Flavor Physics in the Standard Model (and beyond)

I. The Standard Model: a low energy effective theory

Quantum field theory (QFT) is the framework for describing particles and their interactions. It combines quantum mechanics with special relativity. The Standard Model (SM) is a QFT that describes the electromagnetic, weak, and strong interactions between elementary particles. It is a low energy effective theory, meaning it is valid at energies much lower than the Planck scale. The SM is based on the principle of gauge invariance, which leads to the existence of gauge bosons (photons, gluons, and W/Z bosons) that mediate the interactions. The SM is a renormalizable theory, meaning that the infinities that arise in calculations can be removed by a process called renormalization. The SM is a successful theory, as it has been tested extensively and has passed all experimental tests to date. However, it is not a complete theory, as it does not include gravity and does not explain the origin of mass or the matter-antimatter asymmetry of the universe.

THE STANDARD MODEL

Elementary Particles

Quarks	u	c	t	Force Carriers	γ
	d	s	b		g
Leptons	ν_e	ν_μ	ν_τ	Force Carriers	Z
	e	μ	τ		W

Three Generations of Matter



Flavor

Gauge symmetry:

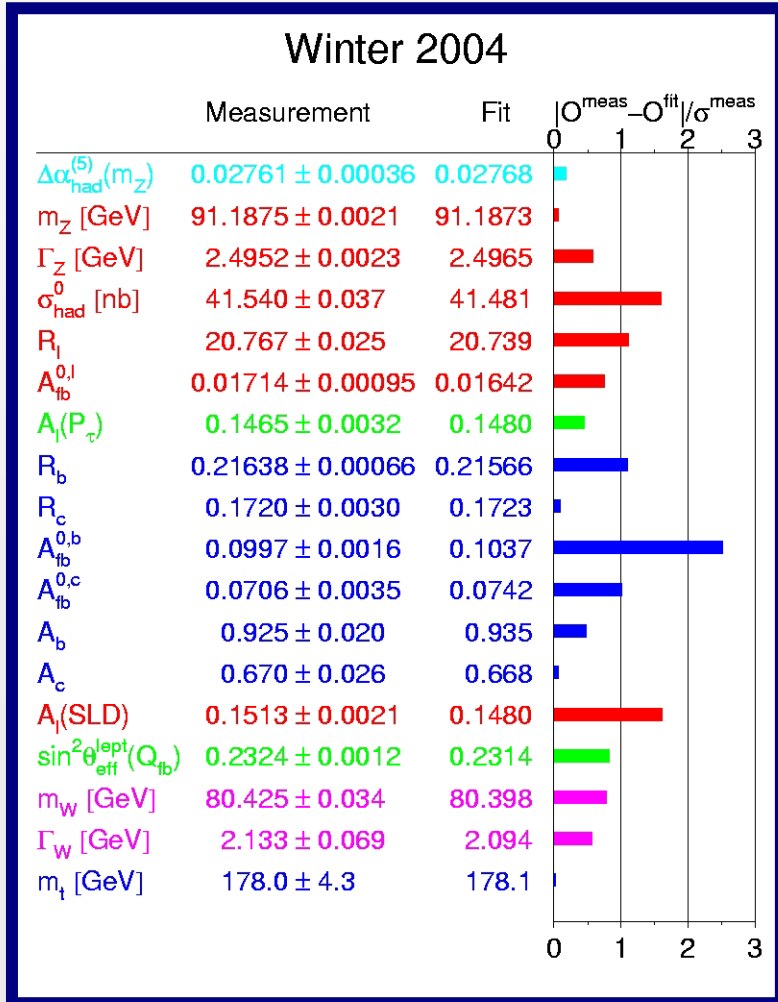
$$SU(3) \times SU(2)_L \times U(1)_Y$$



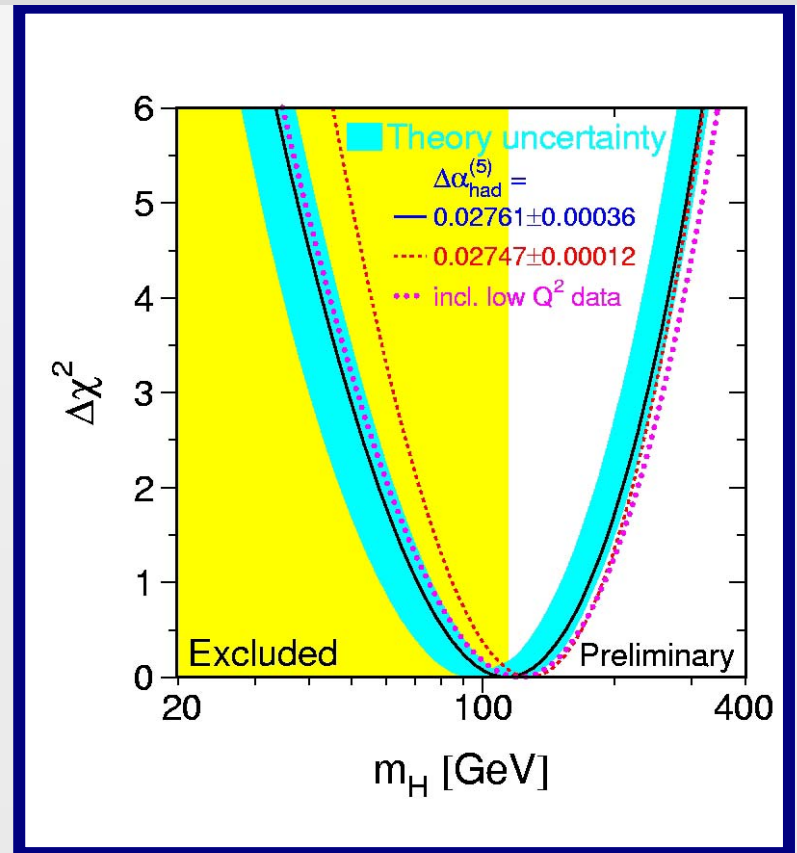
Higgs

$$SU(3) \times U(1)_{e.m.}$$

The SM provides an extremely successful picture, up to the Fermi scale:



EW precision tests support the SM and a light Higgs



TWO OPEN QUESTIONS:

1) Which is the mechanism of gauge symmetry breaking ?

SM Higgs, more Higgs doublets, composite Higgs, ...

2) Which is the origin of flavor physics ?

Why the spectrum of quarks and leptons covers 5 orders of magnitude?

What give rise to the pattern of quark mixing encoded in the CKM matrix and the magnitude of CP violation?

Fermion masses are generated by gauge symmetry breaking

Gauge symmetry breaking and flavor physics are closely related

The Standard Model does not explain flavor

Flavor physics is an open window on physics beyond the Standard Model

THE STANDARD MODEL: A LOW ENERGY EFFECTIVE THEORY

CONCEPTUAL PROBLEMS

- o Gravity ($M_{\text{Planck}} = (\hbar c/G_N)^{1/2} \approx 10^{19} \text{ GeV}$)
- o

PHENOMENOLOGICAL INDICATIONS

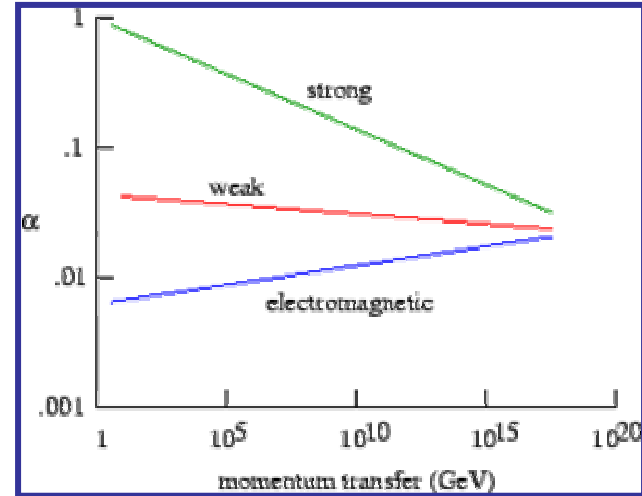
- o Unification of couplings ($M_{\text{GUT}} \approx 10^{15}\text{-}10^{16} \text{ GeV}$)
- o Neutrino masses
- o Dark matter ($\Omega_M \approx 0.3$)
- o Vacuum energy ($\Omega_\Lambda \approx 0.7$)
- o Baryogenesis
- o Inflation

Unification of Couplings

The running of gauge couplings provides strong indication of unification. However:

precise unification **fails** in the SM
[$\alpha_s(M_Z) \approx 0.073$].

(Well compatible in SUSY)



Grand Unification Theories (GUT) are very appealing for several reasons:

- Unity of forces
- Unity of quark and leptons (different directions in G)
- Family Q-numbers (in $SO(10)$ a whole family in 16)
- Charge quantization ($Q_d = -1/N_c = -1/3$)
- B and L non conservation
- ...

Neutrino Masses

The existence of neutrino masses and mixings is well established. But **neutrinos are massless in the SM**.

Neutrino masses are really special: $m_t / (\Delta m_{\text{atm}}) \sim 10^{12}$

→ The simple extension of the SM with the inclusion of ν_R looks very unnatural

A natural solution: ν 's are Majorana particles and get masses through L violating interactions suppressed by a large scale M

$$m_\nu \sim \frac{m^2}{M}$$

For $m_\nu \sim 0.05 \text{ eV}$ and $m \sim \nu \sim 200 \text{ GeV}$ →

$$M \sim 10^{15} \text{ GeV} \sim M_{\text{GUT}}$$

Energy Density of the Universe

$$\Omega_{\text{tot}} = \Omega_{\text{mat}} + \Omega_{\text{rad}} + \Omega_{\text{vac}}$$

matter radiation vacuum

$$\Omega_i \equiv \rho_i / \rho_c$$
$$\rho_c = 3 H^2 / 8 \pi G_N \approx$$
$$\approx 5 \cdot 10^{-6} \text{ GeV cm}^{-3}$$

$$\Omega_{\text{tot}} > 1 \rightarrow k = +1 \quad \text{closed universe}$$
$$\Omega_{\text{tot}} < 1 \rightarrow k = -1 \quad \text{open universe}$$
$$\Omega_{\text{tot}} = 1 \rightarrow k = 0 \quad \text{flat universe}$$

$k \equiv$ curvature constant

$$\Omega_{\text{tot}} = 1.02 \pm 0.02$$

Consistent with spatial flatness (WMAP)

$$\text{Inflation: } \Omega_{\text{tot}} = 1$$

Ω_{rel} negligible

$$\Omega_{\text{rad}} \approx 10^{-5}$$

$$\Omega_{\text{mat}} \approx 0.3, \quad \Omega_{\text{vac}} \approx 0.7$$

← Both problematic!

Dark Matter

$$\Omega_{\text{mat}} = \Omega_{\text{b}} + \Omega_{\text{dm}}$$

Baryonic
matter

Dark matter
(i.e. non-luminous
and non-absorbing)

$$\Omega_{\text{mat}} \approx 0.3, \quad \Omega_{\text{b}} \approx 0.04$$



more than 80% of
matter is dark matter !!

Cold DM \equiv non relativistic at the
onset of galaxy formation

Hot DM \equiv relativistic at the
onset of galaxy formation

Primordial black holes,
axions, WIMP, ...

(SUSY neutralino)

Could be ν 's but
 $\Omega_{\nu} < 0.015$ (WMAP)

Most of DM
should be cold



All hot DM would have not
permitted galaxies to form

Vacuum Energy

$$\Omega_{\text{vac}} \approx 0.7$$

The scale of the cosmological constant is a big mystery

- In **QFT** the **energy density of the vacuum** receives an infinite contribution from the **zero-point energies** of the various modes of oscillation. For a bosonic scalar field:

$$H_b = \sum_{\mathbf{p}} \left(a_{\mathbf{p}}^\dagger a_{\mathbf{p}} + \frac{1}{2} \right) \varepsilon_{\mathbf{p}}$$



$$\langle 0 | H_b | 0 \rangle = \frac{1}{2} \sum_{\mathbf{p}} \varepsilon_{\mathbf{p}}$$

Fermionic $s=1/2$ fields give a negative contribution:

$$H_f = \sum_{\mathbf{p}} \left(b_{\mathbf{p}}^\dagger b_{\mathbf{p}} + c_{\mathbf{p}}^\dagger c_{\mathbf{p}} - 1 \right) \varepsilon_{\mathbf{p}}$$



$$\langle 0 | H_f | 0 \rangle = - \sum_{\mathbf{p}} \varepsilon_{\mathbf{p}}$$

- The scale of the zero-point energy density is provided by the cutoff:

$$\rho_{\text{vac}} = \frac{1}{V} \langle 0 | H | 0 \rangle \sim \frac{1}{V} \sum_{\epsilon_p < \Lambda_{\text{cut}}} \epsilon_p \quad (\epsilon_p = cp) \quad \longrightarrow$$

\longrightarrow

$$\rho_{\text{vac}} \approx \frac{\Lambda_{\text{cut}}^4}{(\hbar c)^3} = \left(\frac{\Lambda_{\text{cut}}}{1 \text{ GeV}} \right)^4 \cdot 10^{41} \text{ GeV cm}^{-3}$$

- In elementary particle physics experiments the shift of the vacuum energy is unobservable. In cosmology its absolute value is observable through the **coupling of vacuum energy to gravity**:

$$\Omega_{\text{vac}}^{\text{obs}} \approx 0.7$$



$$\rho_{\text{vac}}^{\text{obs}} \approx 3.5 \cdot 10^{-6} \text{ GeV cm}^{-3}$$

If $\Lambda_{\text{cut}} \sim M_{\text{Planck}}$ \longrightarrow $\rho_{\text{vac}} \sim 10^{123} \rho_{\text{vac}}^{\text{obs}}$

- Exact SUSY would solve the problem:

$$\langle 0 | H_b | 0 \rangle = \frac{1}{2} \sum_p \varepsilon_p$$

$$\langle 0 | H_f | 0 \rangle = - \sum_p \varepsilon_p$$



$$\langle 0 | H | 0 \rangle = \left(\frac{1}{2} n_b - n_f \right) \sum_p \varepsilon_p = 0$$

But SUSY is broken:

$$\rho_{\text{vac}} \approx \frac{\Lambda_{\text{SUSY}}^4}{(\hbar c)^3} \sim 10^{59} \rho_{\text{vac}}^{\text{obs}} \quad (\Lambda_{\text{SUSY}} \approx 1 \text{ TeV})$$

So far, the problem of the scale of the cosmological constant has found no solution

Baryogenesis

- So far, no primordial antimatter has been observed in the Universe. Up to distances of order 100 Mpc - 1 Gpc the Universe consists only of matter.

(1Mpc = $3.2 \cdot 10^6$ light years. Observable universe : $H_0^{-1} \sim 10$ Gpc)

- Furthermore, the density of baryons compared to the density of photons is extremely small

$$\eta \equiv \frac{n_b - n_{\bar{b}}}{n_\gamma} \sim 10^{-10}$$

$$n_{\bar{b}} \ll n_b$$

- A very plausible assumption is that the big bang produces an equal number of quarks and antiquarks

➔ **WHEN AND WHY ANTIMATTER DISAPPEARED ?**

THE SAKHAROV CONDITIONS: (1967)

- 1) Baryon number violation
- 2) C and CP violation
- 3) Departure from thermal equilibrium

In the SM:

Instanton process

Weak interactions

Electro-weak
phase transition

In the SM, for $m_H \geq 80 \text{ GeV}$, the e.w. phase transition is not "strong" enough: it does not provide enough thermal instability necessary for baryogenesis

CP violation generated by the CKM mechanism is irrelevant for baryogenesis \longrightarrow Non-standard CP violation is a necessary ingredient for baryogenesis

THE "FLAVOR PROBLEM"

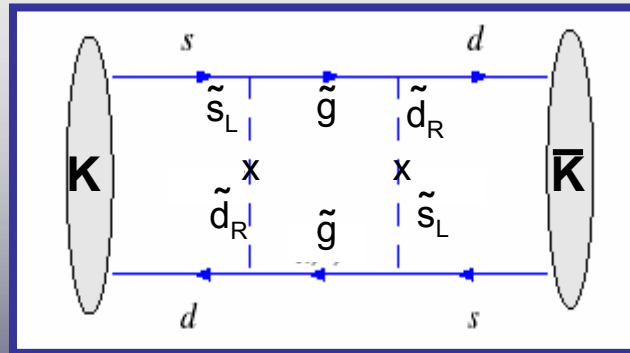
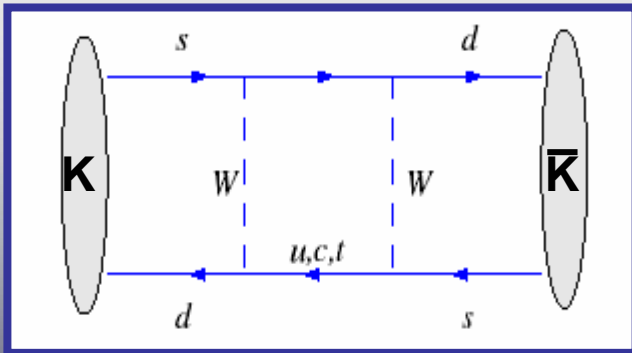
The "natural" cut-off

NEW PHYSICS MUST BE VERY "SPECIAL"

$$\delta m_H^2 = \frac{3G_F}{\sqrt{2}\pi^2} m_t^2 \Lambda^2 \approx (0.3 \Lambda)^2 \longrightarrow$$

$$\Lambda = O(1 \text{ TeV})$$

From higher dimensional operator in the flavor sector



$$\Lambda_{K^0-\bar{K}^0} \approx O(100 \text{ TeV})$$

The flavor problem

II. Flavor Physics in the Standard Model (and beyond)

THE QUARK FIELDS

$$Q = T_3 + Y/2$$

$$Q_L = \left(\begin{pmatrix} u_L \\ d_L \end{pmatrix}, \begin{pmatrix} c_L \\ s_L \end{pmatrix}, \begin{pmatrix} t_L \\ b_L \end{pmatrix} \right) \quad T_3 = 1/2 \quad Y = 1/3$$
$$T_3 = -1/2$$

$$U_R = (u_R, c_R, t_R) \quad T_3 = 0 \quad Y = 4/3$$

$$D_R = (d_R, s_R, b_R) \quad T_3 = 0 \quad Y = -2/3$$

$$T_3 = -1/2, Y = 1$$

Ordinary mass terms ($\bar{u}_L u_R + \text{h.c.}$) are forbidden by gauge invariance \rightarrow Quark masses must be generated by spontaneous gauge symmetry breaking

Flavor and gauge symmetry breaking are closely related !!

THE HIGGS FIELD

$$H = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} \quad T_3 = 1/2 \quad Y = 1$$

$$T_3 = -1/2$$

$$T_3 = -1/2, Y = 1$$

$$(\bar{u}_L u_R + \text{h.c.})$$

$$H^C = i\tau_2 H^* = \begin{pmatrix} \phi^0 \\ -\phi^+ \end{pmatrix}^*$$

$$H \rightarrow UH = \exp(i\alpha \cdot \tau / 2) H$$

$$H^C \rightarrow UH^C = \exp(i\alpha \cdot \tau / 2) H^C$$

$$[H^C \rightarrow i\tau_2 (UH)^* = i\tau_2 \exp(-i\alpha \cdot \tau^* / 2) H^* = \exp(i\alpha \cdot \tau / 2) i\tau_2 H^* = UH^C]$$

Spontaneous
symmetry
breaking

$$H = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} \longrightarrow \langle H \rangle = \begin{pmatrix} 0 \\ v/\sqrt{2} \end{pmatrix}$$

THE QUARK MASS TERMS

$$\mathcal{L}_{\text{Yukawa}} = - \sum_{i,k} [\bar{Q}_L^i Y_{ik}^d D_R^k H + \bar{Q}_L^i Y_{ik}^u U_R^k H^c] + \text{h.c.}$$

Gauge symmetry breaking

$$\mathcal{L}_{\text{mass}} = - \sum_{i,k} [\bar{d}_L^i m_{ik}^d d_R^k + \bar{u}_L^i m_{ik}^u u_R^k] + \text{h.c.}$$

$$m^q = Y^q v / \sqrt{2}$$

$\longleftrightarrow M_W = gv/2$
Why $m^q \neq O(M_W)$??

CP VIOLATION

$$L_{\text{mass}} = - \sum_{i,k} [\bar{q}_L^i m_{ik}^q q_R^k + \bar{q}_R^i m_{ik}^q q_L^k] \quad (q=u,d)$$

DISCRETE
SYMMETRIES

$$P q_{L,R} P^{-1} = U_P q_{R,L} \quad , \quad P \bar{q}_{L,R} P^{-1} = \bar{q}_{R,L} U_P^\dagger$$

$$U_P = \gamma^0$$

$$C q_{L,R} C^{-1} = U_C \bar{q}_{R,L}^T \quad , \quad C \bar{q}_{L,R} C^{-1} = - q_{R,L}^T U_C^\dagger$$

$$U_C = i \gamma^2 \gamma^0$$

$$T q_{L,R} T^{-1} = U_T q_{L,R} \quad , \quad T \bar{q}_{L,R} T^{-1} = \bar{q}_{L,R} U_T^\dagger$$

$$U_T = i \gamma^1 \gamma^3$$

$$T \text{ is antiunitary: } T c \psi T^{-1} = c^* T \psi T^{-1}$$



$$C P L_{\text{mass}} (C P)^{-1} = L_{\text{mass}} \quad \longleftrightarrow \quad m_{ik} = m_{ik}^*$$

$$\text{But: } T C P L_{\text{mass}} (T C P)^{-1} = L_{\text{mass}} \quad (\text{TCP theorem})$$

→ A necessary and sufficient condition for CP invariance is:

$$m^{u,d} = \text{real}$$

But there is no compelling symmetry for $m^{u,d}$ to be real. In field theory, all that may happen will happen

→ In the Standard Model the quark mass matrix, from which \mathcal{CP} originate, is determined by the Yukawa Lagrangian

CP invariant

$$\mathcal{L}_{\text{quarks}} = \mathcal{L}_{\text{kinetic}} + \mathcal{L}_{\text{gauge int.}} + \mathcal{L}_{\text{Yukawa}}$$

\mathcal{CP} and symmetry breaking are closely related !

DIAGONALIZATION OF THE MASS MATRIX

The mass matrices \mathbf{m}^q are not Hermitean. Up to singular cases, they can be diagonalized by 2 unitary transformations:

$$\mathbf{U}_L^\dagger \mathbf{m} \mathbf{U}_R = \mathbf{m}_D$$

$$\begin{cases} \mathbf{U}_L^\dagger \mathbf{m} \mathbf{m}^\dagger \mathbf{U}_L = \mathbf{m}_D \mathbf{m}_D^\dagger \\ \mathbf{U}_R^\dagger \mathbf{m}^\dagger \mathbf{m} \mathbf{U}_R = \mathbf{m}_D^\dagger \mathbf{m}_D \end{cases} \quad i$$

$$(\mathbf{U}_L^\dagger)_{ik} q_L^k \rightarrow q_L^i, \quad (\mathbf{U}_R^\dagger)_{ik} q_R^k \rightarrow q_R^i$$

$\mathbf{U}_{L,R}$ different for u^k and d^k

$$\mathcal{L}_{\text{mass}} = - [m_u \bar{u}_L u_R + m_d \bar{d}_L d_R + \dots] + \text{h.c.}$$

With respect
to:

$$(\mathbf{U}_L^\dagger)_{ik} q_L^k \rightarrow q_L^i, \quad (\mathbf{U}_R^\dagger)_{ik} q_R^k \rightarrow q_R^i$$

neutral currents $\bar{q}_L^i \gamma_\mu q_L^i$ and $\bar{q}_R^i \gamma_\mu q_R^i$ are invariant:
quark kinetic terms, QCD couplings with gluons, QED
couplings with photons, weak couplings with Z^0

**No flavor changing neutral currents (FCNC)
at tree level**

The only effect is in the **weak charged currents**:

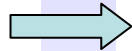
$$\bar{u}_L^i \gamma_\mu d_L^i \cdot W^\mu \rightarrow \bar{u}_L^k \gamma_\mu (\mathbf{U}_L^{u\dagger} \mathbf{U}_L^d)_{kj} d_L^j \cdot W^\mu$$

$$\mathbf{V}_{\text{CKM}} = \mathbf{U}_L^{u\dagger} \mathbf{U}_L^d$$

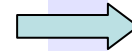
$$\mathbf{V}_{\text{CKM}} \mathbf{V}_{\text{CKM}}^\dagger = 1$$

V_{CKM} : Counting of parameters

$V_{CKM} \sim N \times N$
unitary matrix



N^2 complex numbers –
 N^2 unitary conditions

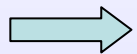


N^2 real
parameters

$$N + 2N(N-1)/2 \quad (V_{ij} V_{kj}^* = \delta_{ik})$$

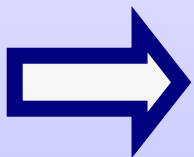
$$N^2 - [N + N(N-1)/2]$$

- A $N \times N$ orthogonal matrix, $OO^T=1$, has $N(N-1)/2$ real parameters



V_{CKM} has $N(N-1)/2$ angles and $N(N+1)/2$ phases

- Freedom of phase redefinition: $2N$ quarks \rightarrow $2N-1$ relative phases
($\bar{U} V_{CKM} D$ insensitive to the overall phase)



$N(N-1)/2$ angles, $(N-1)(N-2)/2$ phases

V_{CKM} and CP VIOLATION

N	$N(N-1)/2$ angles	$(N-1)(N-2)/2$ phases
2	1	0
3	3	1
4	6	3

CP
Violation

CP violation is natural with 3 quark generations
(Kobayashi-Maskawa)

With 3 generations all CP violating phenomena
are related to the same unique parameter (δ)

V_{CKM} : the PDG parameterization

(Maiani)

$N = 3$

$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

$$c_{ij} = \cos \theta_{ij} \quad s_{ij} = \sin \theta_{ij}$$

$$c_{ij} \geq 0 \quad s_{ij} \geq 0 \quad 0 \leq \delta \leq 2\pi$$

$\sin \theta_C$

$$V_{CKM} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} c_{12} c_{13} & s_{12} c_{13} & s_{13} e^{-i\delta} \\ -s_{12} c_{23} - c_{12} s_{23} s_{13} e^{i\delta} & c_{12} c_{23} - s_{12} s_{23} s_{13} e^{i\delta} & c_{13} s_{23} \\ s_{12} s_{23} - c_{12} c_{23} s_{13} e^{i\delta} & -c_{12} s_{23} - s_{12} c_{23} s_{13} e^{i\delta} & c_{13} c_{23} \end{pmatrix}$$

The Wolfenstein parameterization

One small parameter: $s_{12} \approx \theta_{12} \approx 0.22$

Approximate parameterization 

$$s_{12} \equiv \lambda \quad s_{23} \equiv A \lambda^2 \quad s_{13} e^{-i\delta} \equiv A \lambda^3 (\rho - i\eta)$$

$$\lambda \approx 0.22 \quad A \approx 0.8$$

$$\rho \approx 0.3 \quad \eta \approx 0.2$$

$1 - \lambda^2/2$	λ	$A \lambda^3 (\rho - i\eta)$
$-\lambda$	$1 - \lambda^2/2$	$A \lambda^2$
$A \lambda^3 (1 - \rho - i\eta)$	$-A \lambda^2$	1

+ $O(\lambda^4)$



Buras et al.

$$A \lambda^3 (1 - \bar{\rho} - i\bar{\eta})$$

$$\bar{\rho} \equiv \rho (1 - \lambda^2/2) \quad \bar{\eta} \equiv \eta (1 - \lambda^2/2)$$

THE UNITARITY TRIANGLES

Unitarity relations:


(Bjorken-Jarlskog)

$$V^\dagger V = 1 \longrightarrow \sum_k V_{ki}^* V_{kj} = \delta_{ij}$$

9 constraints,
6 triangular relations

Only 2 triangles have all sides with length of the same

$O(\lambda^3)$:


$$\begin{aligned} V_{ub}^* V_{ud} + V_{cb}^* V_{cd} + V_{tb}^* V_{td} &= 0 \\ V_{ud} V_{td}^* + V_{us} V_{ts}^* + V_{ub} V_{tb}^* &= 0 \end{aligned}$$

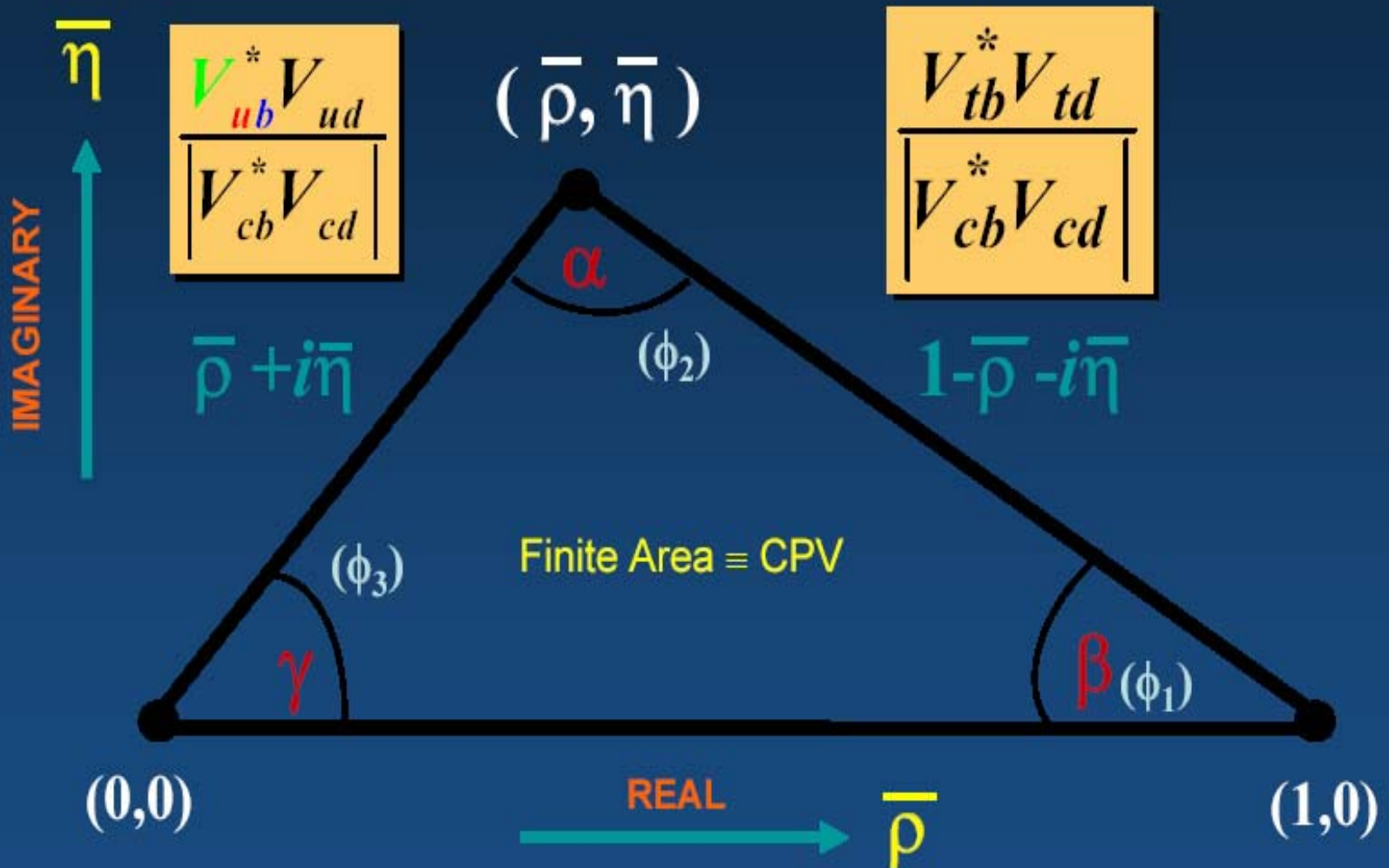
They are
equivalent
at order λ^3

Only the orientation of the triangles depends on the phase convention. The **area** and \mathcal{CP} are proportional to:

$$J = c_{12} c_{13}^2 c_{23} s_{12} s_{13} s_{23} \sin \delta \approx A^2 \lambda^6 \eta \sim 10^{-5}$$

Unitarity:

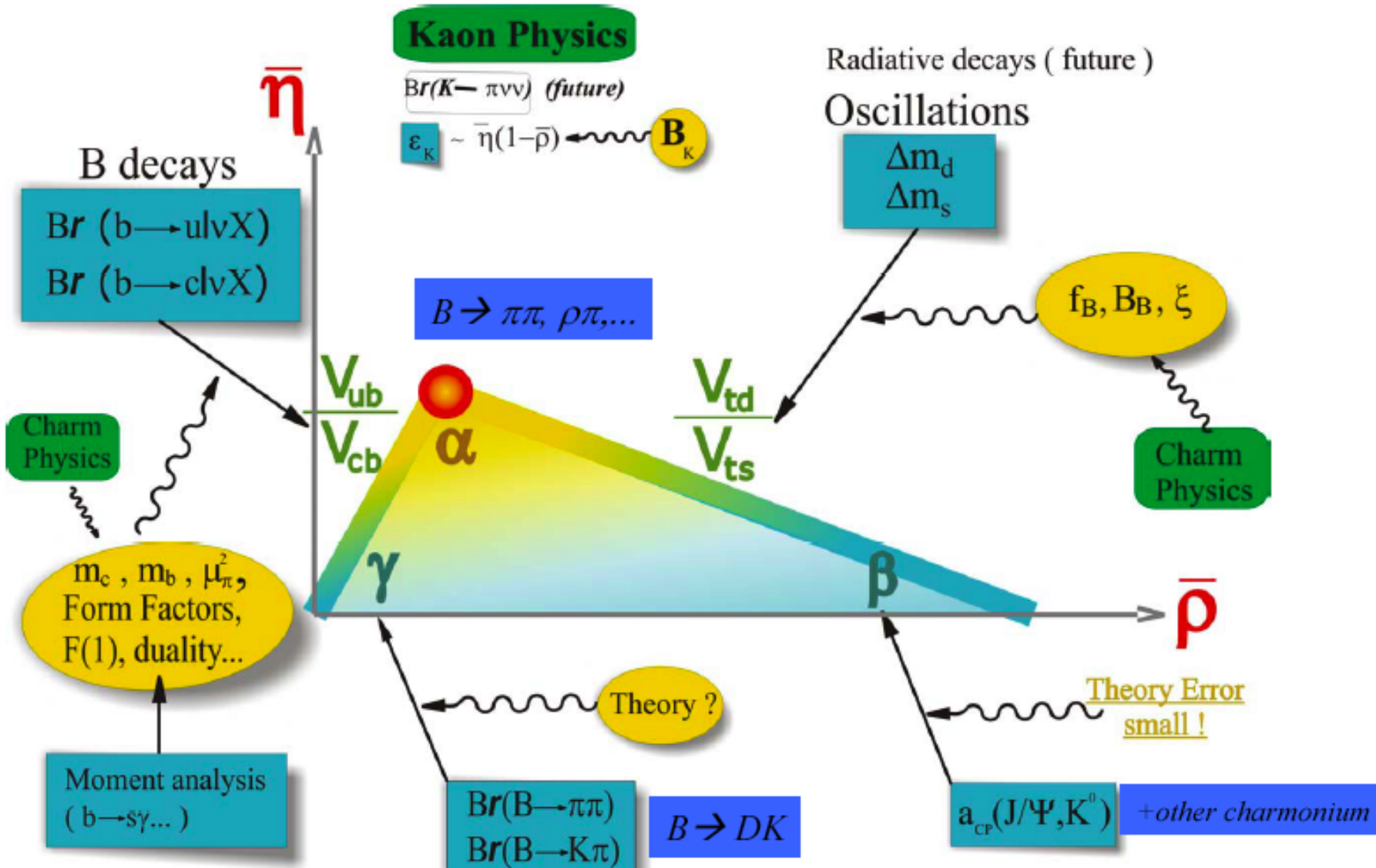
$$V_{ub}^* V_{ud} + V_{cb}^* V_{cd} + V_{tb}^* V_{td} = 0$$



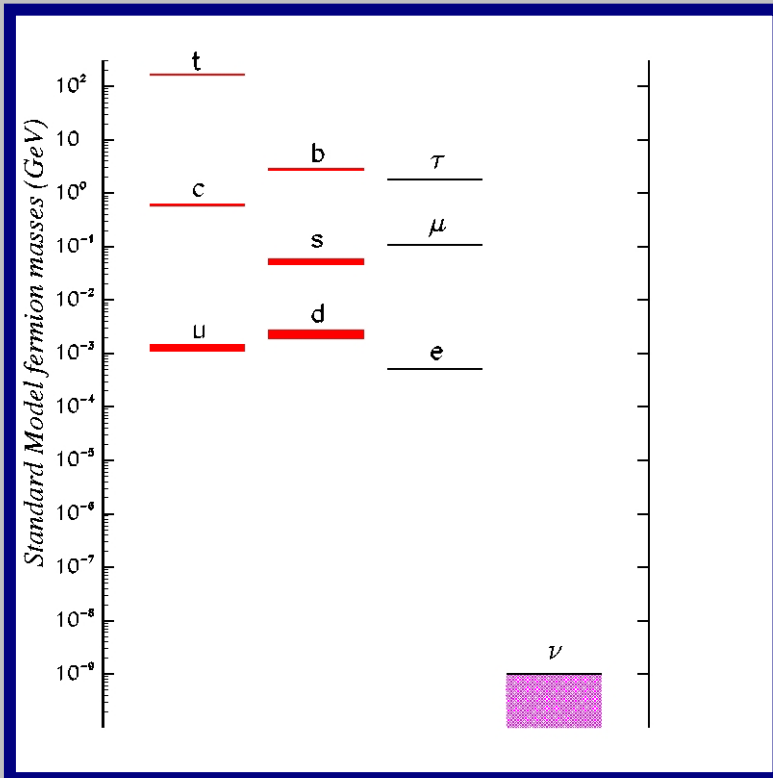
Visualization of the unitarity of the CKM matrix

Unitarity Triangle in the $(\bar{\rho}-\bar{\eta})$ plane

From
A. Stocchi
ICHEP 200



THE QUARK MASS SPECTRUM



Hierarchy of masses

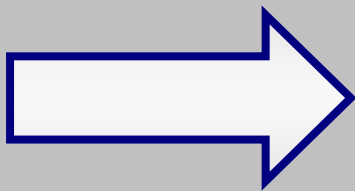
$m_u \sim 3 \text{ MeV}$
 $m_c \sim 1.2 \text{ GeV}$
 $m_t \sim 175 \text{ GeV}$

$m_d \sim 6 \text{ MeV}$
 $m_s \sim 100 \text{ MeV}$
 $m_b \sim 4.3 \text{ GeV}$

Which is the origin of
FLAVOR SYMMETRY BREAKING ?

THERE IS A CLEAR CORRELATION BETWEEN MASSES AND MIXINGS ANGLES

In the first 2 generations: $\left(\frac{m_d}{m_s}\right)^{1/2} \approx 0.24$ $\left(\frac{m_u}{m_c}\right)^{1/4} \approx 0.22$



$$\left(\frac{m_d}{m_s}\right)^{1/2} \approx \left(\frac{m_u}{m_c}\right)^{1/4} \approx V_{us}$$

Can we explain this relation ?

MASS TEXTURES

Two generations:

Gatto et al.

$$\mathbf{m}^d = m_s \begin{pmatrix} 0 & -\sqrt{x} \\ \sqrt{x} & 1+x \end{pmatrix}$$

$$\mathbf{m}^u = \begin{pmatrix} m_u & 0 \\ 0 & m_c \end{pmatrix}$$

$$\text{diag}(\mathbf{m}^d) = m_s (x, 1)$$



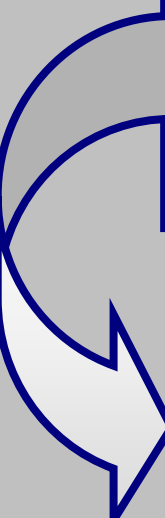
$$x = m_d / m_s$$

Diagonalization:

$$\begin{cases} U_L^\dagger \mathbf{m} \mathbf{m}^\dagger U_L = \mathbf{m}_D \mathbf{m}_D^\dagger \\ U_R^\dagger \mathbf{m}^\dagger \mathbf{m} U_R = \mathbf{m}_D^\dagger \mathbf{m}_D \end{cases}$$

$$U_L^\dagger \mathbf{m} U_R = \mathbf{m}_D$$

$$V_{\text{CKM}} = U_L^{u\dagger} U_L^d$$


$$V_{\text{CKM}} = U_L^{\text{u}\dagger} U_L^{\text{d}} = U_L^{\text{d}} \approx \begin{pmatrix} 1 - x/2 & \sqrt{x} \\ -\sqrt{x} & 1 - x/2 \end{pmatrix}$$

$$V_{\text{us}} = \sin \theta_C = \sqrt{x} = \sqrt{m_d/m_s} \approx 0.22$$

Which **theory of flavor**
generates this texture?

HORIZONTAL SYMMETRIES

Example: **Horizontal U(2)** (Barbieri, Hall, ...)

$$q^a \rightarrow U_{ab} q^b, \quad U \in U(2) \quad a,b = 1,2 \quad \left[\begin{array}{l} \text{Generation} \\ \text{indices} \end{array} \right]$$

$$L = \frac{1}{M_F} \phi_{ab} q^a q^b H$$

Non-renorm. interaction
 $M_F =$ flavor scale

"Flavon" field

Higgs field (U(2) scalar)

$$\phi_{ab} = S_{ab} + A_{ab}$$

Symmetric
tensor

Anti-symmetric
tensor

$$U(2) \xrightarrow{S_{ab}} U(1) \xrightarrow{A_{ab}} \{1\}$$

$$\langle S_{ab} \rangle = \begin{pmatrix} 0 & 0 \\ 0 & v \end{pmatrix} \quad \langle A_{ab} \rangle = \begin{pmatrix} 0 & -v \\ v & 0 \end{pmatrix}$$

$$L = \frac{1}{M_F} (S_{ab} + A_{ab}) q^a q^b H \longrightarrow$$

Flavor symm.
breaking

$$\longrightarrow \frac{v}{M_F} q^2 q^2 H + \frac{v}{M_F} (q^2 q^1 - q^1 q^2) H \equiv q^a Y_{ab} q^b H$$

Yukawa matrix

$$Y_{ab} = \begin{pmatrix} 0 & -v/M_F \\ v/M_F & v/M_F \end{pmatrix}$$

$$v/M_F = \sqrt{x}$$

$$v/M_F = 1+x$$

Is the Gatto's
texture

2

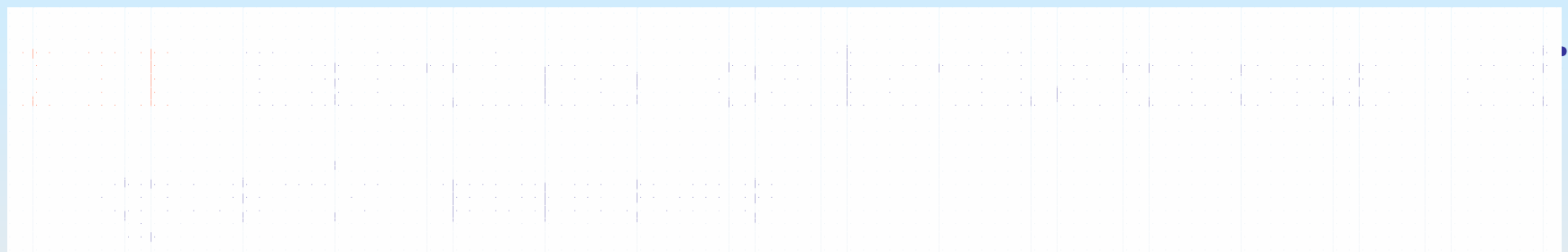
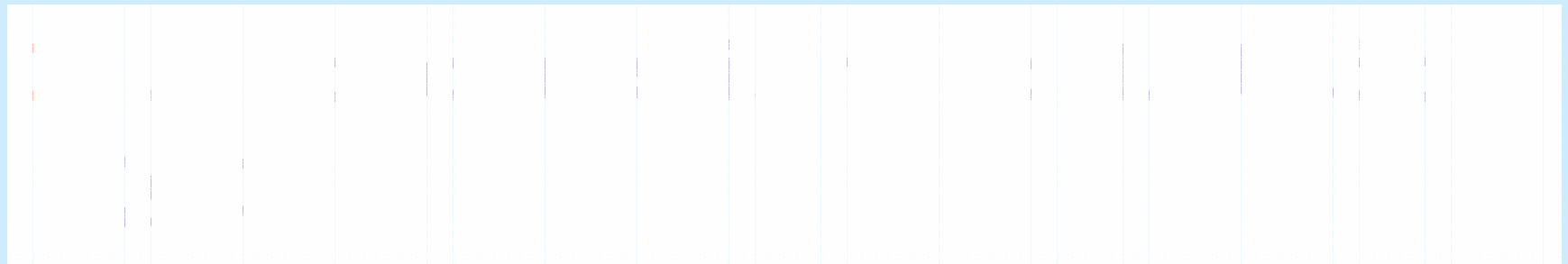
LATTICE QCD AND QUARK MASSES

I. Generalities on quark masses

II. Introduction to Lattice QCD

III. Lattice Results for quark masses

I. Generalities on quark masses



QUARK MASSES from The Review of Particle Physics The 2004 Edition

[S. Eidelman *et al.*, (Particle Data Group)
Phys. Lett. B592, 1 (2004)]



Light Quark Masses $m_{\overline{MS}} (2 \text{ GeV})$

$(m_u + m_d)/2$ [MeV]	4.25 (1.25)	[29 %]	
m_s [MeV]	105 (25)	[24 %]	← PDG 2000 120 (50) [42%]

Heavy Quark Masses $m_{\overline{MS}} (m_{\overline{MS}})$

m_c [GeV]	1.25 (10)	[8.0 %]	
m_b [GeV]	4.25 (15)	[3.5 %]	
m_t [GeV]	174.3 (5.1)	[2.9 %]	(Pole Mass from CDF/D0)

QUARK MASSES

◆ **QM** ARE EXTREMELY IMPORTANT FOR BOTH PHENOMENOLOGY (cross sections, inclusive decays rates, lifetimes, ...) AND THEORY (physics of flavour, GUTs, mass textures...)

◆ **QM** CANNOT BE "DIRECTLY" MEASURED IN THE EXPERIMENTS BECAUSE QUARKS ARE CONFINED INSIDE HADRONS

◆ **QM** ARE FUNDAMENTAL PARAMETERS OF THE STANDARD MODEL: THEY CANNOT BE DETERMINED BY THEORETICAL CONSIDERATIONS ONLY.

◆ **QM** CAN BE INTRODUCED AS SHORT-DISTANCE EFFECTIVE COUPLINGS, WHICH DEPEND ON THE RENORMALIZATION SCALE AND SCHEME. SEVERAL DEFINITIONS ARE USED, E.G. $m^{\overline{MS}}(\mu)$

◆ FOR LIGHT QUARKS, RATIOS OF **QM**, ARE PREDICTED BY CHIRAL PERTURBATION THEORY. E.G. $2 m_s / (m_u + m_d) = 24.4 \pm 1.5$
LATTICE QCD ALLOWS TO DETERMINE THEIR ABSOLUTE VALUES

LIGHT QUARK MASSES AND CHIRAL PERTURBATION THEORY

- The hadronic spectrum of QCD is very simple at low energy. The only relevant degrees of freedom are 8 pseudoscalar mesons (π , K , η) separated by a mass gap from the heavier states.
- The heavier degrees of freedom can be integrated out and QCD is described by a low-energy effective theory, $L_X(\pi, K, \eta)$, the chiral perturbation theory (ChPT).
- The operators entering the ChPT Lagrangian are determined by the chiral symmetry $SU(3)_L \times SU(3)_R$ of QCD.
- The operators are organized in a power series, according to the number of derivatives (power of the external momenta in physical amplitudes) and powers of light quark masses.

- The Lagrangian at the lowest order (p^2) is:

$$\mathcal{L}_\chi^{(2)} = \frac{F^2}{4} \text{Tr} [(\partial_\mu U)^\dagger (\partial^\mu U) + 2B (M^\dagger U + U^\dagger M)]$$

$$U(\phi) = \exp(i\sqrt{2}\phi/F)$$

$$M = \text{diag}(m_u, m_d, m_s)$$

$$\phi = \begin{bmatrix} \pi^0/\sqrt{2} + \eta/\sqrt{6} & \pi^+ & K^+ \\ \pi^- & -\pi^0/\sqrt{2} + \eta/\sqrt{6} & K^0 \\ K^- & K^0 & -\eta\sqrt{2/3} \end{bmatrix}$$

- In terms of the Goldstone boson fields:

$$\begin{aligned} \mathcal{L}_\chi^{(2)} &= \frac{1}{2} \text{Tr} [(\partial_\mu \phi)(\partial^\mu \phi)] - B \text{Tr} [(M \phi^2)] + \mathcal{O}(\phi^4) \\ &= \text{kin. terms} + B(m_u + m_d) \pi^+ \pi^- + B(m_d + m_s) (k^0)^2 + \dots \end{aligned}$$

- Thus, at leading order (LO):

$$M_{PS}^2 = B (m_{q1} + m_{q2})$$

and ratios of light quark masses can be determined from ChPT

- At the next to leading order (NLO):

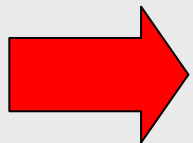
Kaplan & Manohar ambiguity: $m \rightarrow \alpha_1 m + \alpha_2 (m^\dagger)^{-1} \det(m)$

→ ChPT + $1/N_C$ + Lowest resonances dominance

$$\frac{m_u}{m_d} = 0.553 \pm 0.043$$

$$\frac{m_s}{(m_u + m_d)/2} = 24.4 \pm 1.5$$

(H. Leutwyler '96)



ABSOLUTE VALUES FROM LATTICE QCD

LATTICE DETERMINATION OF QUARK MASSES

$$\hat{m}_q(\mu) = m_q(a) Z_m(\mu a)$$

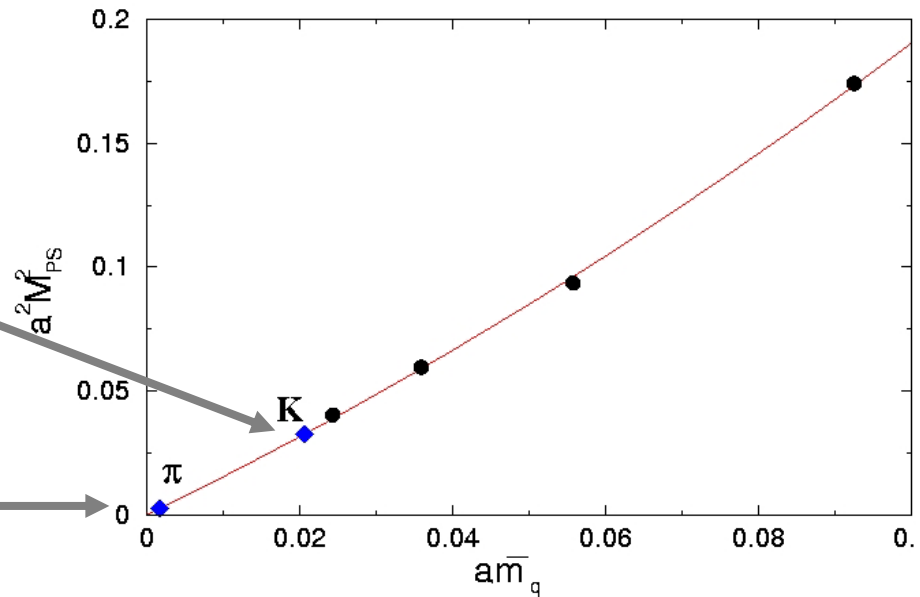
ADJUSTED UNTIL

$$M_H^{\text{LATT}} = M_H^{\text{EXP}}$$

PERTURBATION THEORY OR
NON-PERTURBATIVE METHODS

Extrapolation to
 $m = m_s$

Extrapolation to
 $m = m_{u,d}$



II. Introduction to Lattice QCD

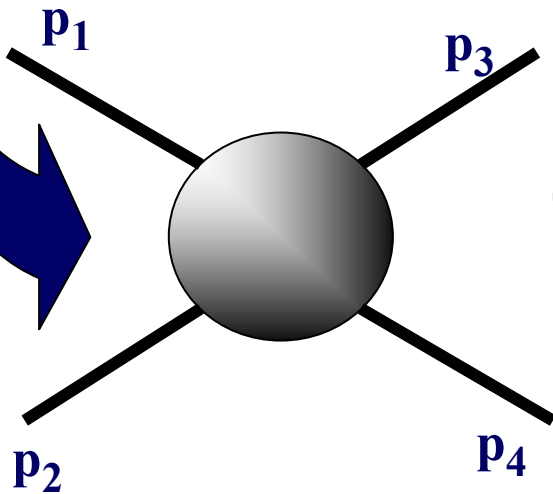
The Green Functions

The basic quantities of field theory

$$L(\phi) = \frac{1}{2} (\partial_\mu \phi(x))^2 - \frac{1}{2} m_0^2 \phi^2(x) - \frac{1}{4!} \lambda_0 \phi^4(x)$$

$$S(\phi) = \int d^4x L(\phi)$$

$$G(x_1, x_2, x_3, x_4) = \langle 0 | T [\phi(x_1) \phi(x_2) \phi(x_3) \phi(x_4)] | 0 \rangle_c$$



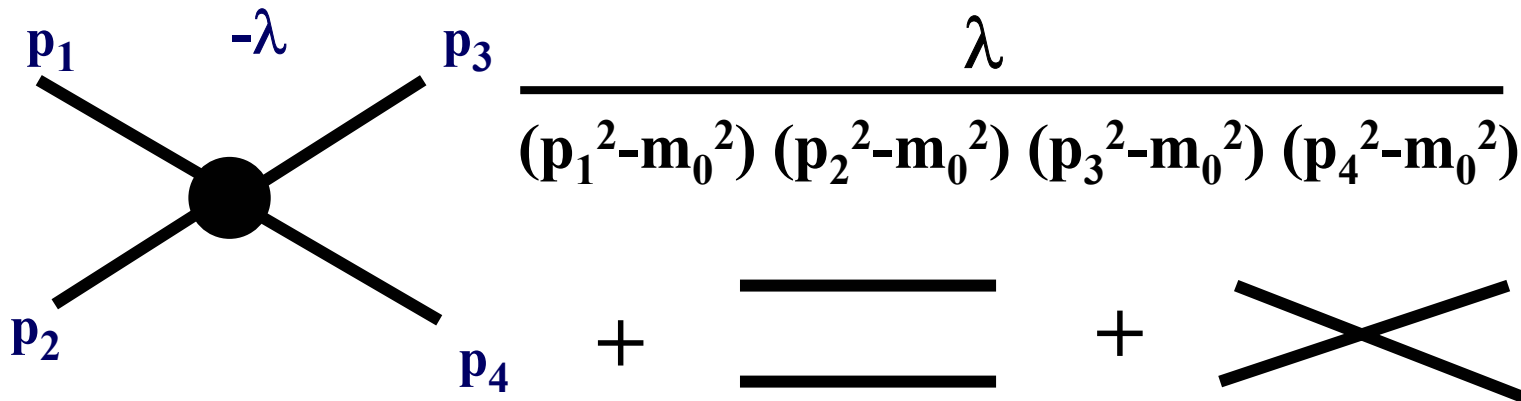
$$\frac{(Z^{1/2}_\phi)^4 M(p_1, p_2, p_3, p_4, m, \lambda)}{(p_1^2 - m^2) (p_2^2 - m^2) (p_3^2 - m^2) (p_4^2 - m^2)} + \dots$$

The S-matrix element

$$S(p_1 + p_2 \rightarrow p_3 + p_4) = \lim_{p^2_{1,2,3,4} \rightarrow m^2} M(p_1, p_2, p_3, p_4, m, \lambda)$$

$$= \lim_{p^2_{1,2,3,4} \rightarrow m^2} \frac{(p_1^2 - m^2)(p_2^2 - m^2) G(p_1, p_2, p_3, p_4, m, \lambda) (p_3^2 - m^2)(p_4^2 - m^2)}{(Z^{1/2}_\phi)^4}$$

G at lowest order in perturbation theory



The Functional Integral

The Green Functions can be written in terms of Functional Integrals over classical fields:

$$G(\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3, \mathbf{x}_4) = \langle \phi(\mathbf{x}_1) \phi(\mathbf{x}_2) \phi(\mathbf{x}_3) \phi(\mathbf{x}_4) \rangle \equiv$$

$$Z^{-1} \int [d\phi] \phi(\mathbf{x}_1) \phi(\mathbf{x}_2) \phi(\mathbf{x}_3) \phi(\mathbf{x}_4) e^{-S(\phi)}$$

where $Z = \int [d\phi] e^{-S(\phi)}$

Wick rotation: $t \rightarrow -it_E$

In perturbation theory: $S_I(\phi) \sim O(\lambda)$

$$e^{-S(\phi)} = e^{-S_0(\phi) - S_I(\phi)} \approx e^{-S_0(\phi)} (1 - S_I(\phi) - S_I^2(\phi)/2 + \dots)$$

The Lattice regularization

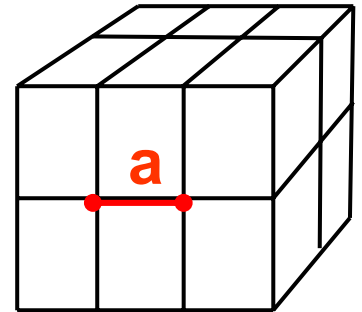
The **functional integral** is only a formal definition because of the **infrared** and **ultraviolet divergences**. These problems can be cured by introducing an **infrared** and an **ultraviolet cutoff**

1) The ultraviolet cutoff

The fields are defined on a (hypercubic) four dimensional lattice

$$\phi(\mathbf{x}) \rightarrow \phi(\mathbf{a} \mathbf{n})$$

$$\mathbf{n} = (n_x, n_y, n_z, n_t)$$



$$\partial_\mu \phi(\mathbf{x}) \rightarrow \nabla_\mu \phi(\mathbf{x}) = [\phi(\mathbf{x} + \mathbf{a} \mathbf{n}_\mu) - \phi(\mathbf{x})] / a$$

The momentum \mathbf{p} is cutoff at the first Brillouin zone:

$$|\mathbf{p}| \leq \pi/a$$

The cutoff can be in **conflict with important symmetries of the theory**, as for example Lorentz invariance or chiral invariance. This problem is common to all regularizations, like for example Pauli-Villars, dimensional regularization etc.

2) The infrared cutoff

The lattice is defined in a finite volume

$$n_i = 1, 2, \dots, L$$

$$p_i a = 2\pi k_i / L \quad \text{with } k_i = 0, 1, \dots, L-1$$

The physical theory is obtained in the limit

$a \rightarrow 0$ Continuum limit ; $L \rightarrow \infty$ Thermodynamic limit

Non-physical quantities like Green Functions may develop divergences in this limits. S matrix elements however are finite:

$$Z_\phi(a) = 1 + \lambda \log(pa) + \dots$$

Important sampling techniques

$$Z^{-1} \int [d\phi] \phi(x_1) \phi(x_2) \phi(x_3) \phi(x_4) e^{-S(\phi)}$$

The integral is like a statistical Boltzmann system with

$$\beta H = S$$

On a finite volume (L) and with a finite lattice spacing (a) this is now an integral on L^4 real variables which can be performed with important sampling techniques, for example the **Metropolis technique**.

The fields are extracted with weight

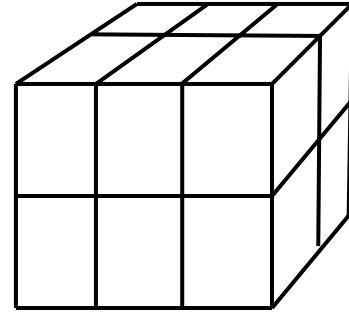
$$e^{-S(\phi)}$$

For the 3-dimensional **Ising model**:

$$Z = \sum_{\{\sigma = \pm 1\}} e^{J_{ij} \sigma_i \sigma_j}$$

$$2^N = 2^{L^3} \approx 10^{301}$$

for L = 10 !!!



For the 4-dimensional **scalar field theory** with the **important sampling technique**:

$$\langle \phi(x_1) \phi(x_2) \phi(x_3) \phi(x_4) \rangle =$$

$$= Z^{-1} \int [d\phi] \phi(x_1) \phi(x_2) \phi(x_3) \phi(x_4) e^{-S(\phi)} \approx$$

$$\approx Z^{-1} \sum_{\{\phi(x)\}_n} \phi_n(x_1) \phi_n(x_2) \phi_n(x_3) \phi_n(x_4) \quad Z \approx \sum_{\{\phi(x)\}_n} 1 = N$$

→ Statistical errors

The lattice QCD action

The continuum QCD Lagrangian:

$$L = -1/4 G_{\mu\nu}^A G_A^{\mu\nu} + \sum_{f=\text{flavor}} \bar{q}_f (i\gamma_\mu D_\mu - m_f) q_f$$

GLUONS

QUARKS (& GLUONS)

$$G_{\mu\nu}^A = \partial_\mu G_\nu^A - \partial_\nu G_\mu^A - g_0 f^{ABC} G_\mu^B G_\nu^C$$

$$q_f \equiv q_f^a(x) \quad \gamma_\mu \equiv (\gamma_\mu)^{\alpha\beta} \quad D_\mu \equiv \partial_\mu I + i g_0 t^A_{ab} G_\mu^A$$

Local gauge invariance:

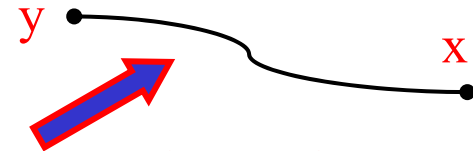
$$[G_\mu(\mathbf{x}) \equiv G^A_\mu(\mathbf{x}) t^A]$$

$$G_\mu(\mathbf{x}) \rightarrow V(\mathbf{x}) [G_\mu(\mathbf{x})] V^\dagger(\mathbf{x}) + i/g_0 [\partial_\mu V(\mathbf{x})] V^\dagger(\mathbf{x})$$

$$q(\mathbf{x}) \rightarrow V(\mathbf{x}) q(\mathbf{x}) \quad \bar{q}(\mathbf{x}) \rightarrow \bar{q}(\mathbf{x}) V^\dagger(\mathbf{x})$$

For **non-local products** of quark fields

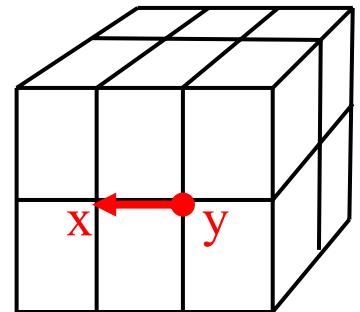
$$\bar{q}(y) P \left[\exp \int_x^y i g_0 G_\mu(\mathbf{x}) dx_\mu \right] q(x) \text{ is } \mathbf{gauge\ invariant}$$



On the **lattice**:

$$\bar{q}(x+a_\mu) \exp [i g_0 G_\mu(x+a_\mu/2)] q(x)$$

LINK $U^\dagger_\mu(\mathbf{x})$

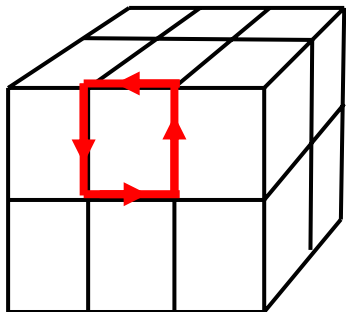


Gauge transformation:

$$U_{\mu}(\mathbf{x}) \rightarrow V(\mathbf{x}) [U_{\mu}(\mathbf{x})] V^{\dagger}(\mathbf{x} + \mathbf{a}_{\mu})$$

Plaquette:

$$\begin{aligned} W_{\mu\nu}(\mathbf{x}) &= U_{\mu}(\mathbf{x}) U_{\nu}(\mathbf{x} + \mathbf{a}_{\mu}) U_{\mu}^{\dagger}(\mathbf{x} + \mathbf{a}_{\nu}) U_{\nu}^{\dagger}(\mathbf{x}) \\ &\approx 1 + i a^2 g_0 \mathbf{G}_{\mu\nu}(\mathbf{x}) - a^4 g_0^2 / 2 \mathbf{G}_{\mu\nu}(\mathbf{x}) \mathbf{G}^{\mu\nu}(\mathbf{x}) + \dots \end{aligned}$$



The pure gauge lattice action:

$$\begin{aligned} \mathbf{S}_G &= 1/g_0^2 \sum_{\mathbf{x}} \sum_{\mu < \nu} \text{Re Tr} [1 - W_{\mu\nu}(\mathbf{x})] \\ &\rightarrow a^4 / 4 \sum_{\mathbf{x}} \sum_{\mu\nu} \mathbf{G}_{\mu\nu}(\mathbf{x}) \mathbf{G}^{\mu\nu}(\mathbf{x}) \\ &\rightarrow 1/4 \int \mathbf{G}_{\mu\nu}(\mathbf{x}) \mathbf{G}^{\mu\nu}(\mathbf{x}) + \mathbf{O}(a^2) \end{aligned}$$

The fermion action(s)

$$\nabla_{\mu} q(x) = [U_{\mu}(x) q(x + a\mu) - q(x)]/a$$

∇_{μ}^* = backward derivative

The "Wilson" lattice Dirac operator is

$$\not{D} = 1/2 [(\nabla_{\mu} + \nabla_{\mu}^*) \gamma_{\mu} - a \nabla_{\mu} \nabla_{\mu}^*]$$

The "Wilson term": explicit breaking of chiral symmetry

The Wilson fermion action:

$$S_F = a^4 \sum_x q(x) [\not{D} + m_0] q(x)$$

We may define many (an infinite number of) lattice actions which all formally converge to the same continuum QCD action: **Wilson**, **Kogut-Susskind**, **Clover**, **Domain Wall**, **Overlap** ...

Hadron masses and simple matrix elements

$$G(t) = \sum_{\mathbf{x}} \langle A_0(\mathbf{x}, t) A_0^\dagger(\mathbf{0}, 0) \rangle =$$

The operator A_0 can excite $1-\pi, 3-\pi$ etc. states

$$= \sum_{\mathbf{x}} \sum_n \frac{\langle 0 | e^{i\mathbf{P}\mathbf{x}} A_0(0) e^{-i\mathbf{P}\mathbf{x}} | n \rangle \langle n | A_0^\dagger(0) | 0 \rangle}{2 E_n}$$

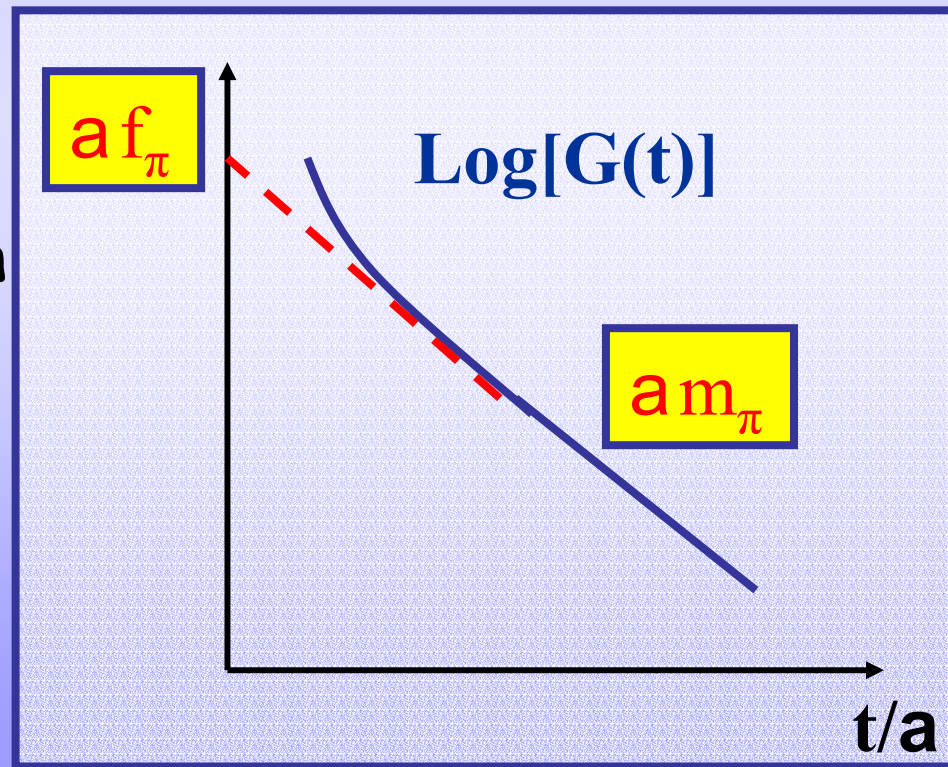
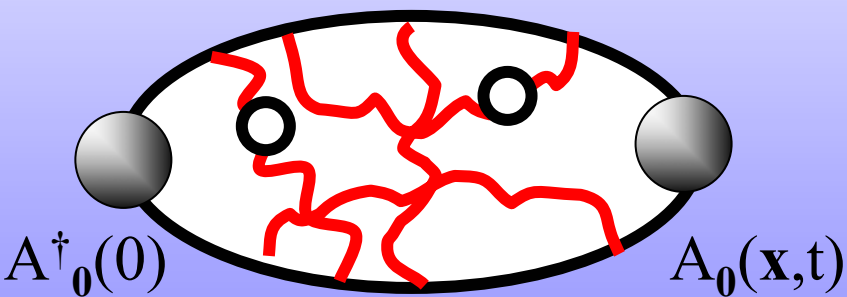
$$= \sum_n \frac{|\langle 0 | A_0 | n \rangle|^2}{2 m_n} \exp[-i m_n t] \stackrel{t \rightarrow -i t}{=} \sum_n \frac{|\langle 0 | A_0 | n \rangle|^2}{2 m_n} \exp[-m_n t]$$

$$\stackrel{t \rightarrow \infty}{\rightarrow} \frac{|\langle 0 | A_0 | \pi \rangle|^2}{2 m_\pi} \exp[-m_\pi t] = \frac{f_\pi^2 m_\pi}{2} \exp[-m_\pi t]$$

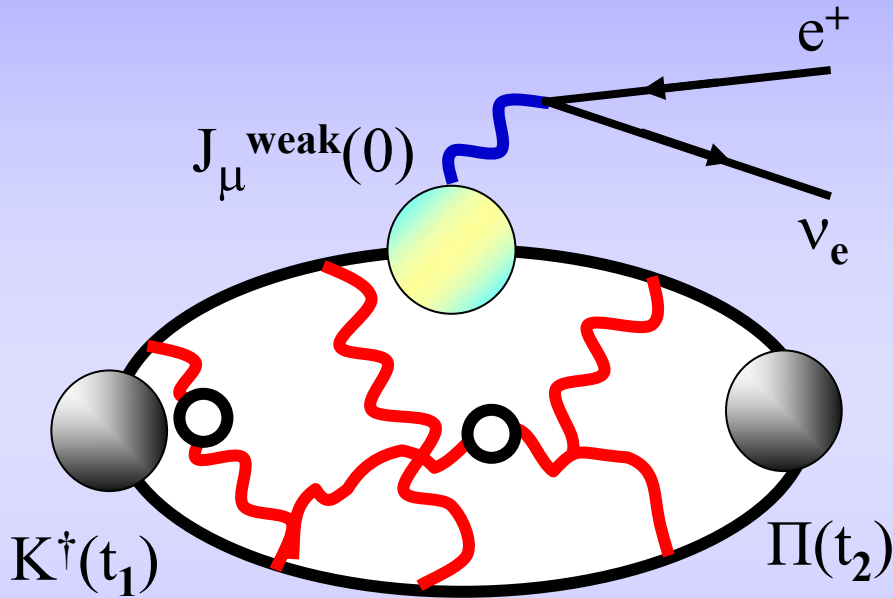
$$G(t) = \sum_{\mathbf{x}} \langle A_0(\mathbf{x},t) A_0^\dagger(\mathbf{0},0) \rangle \rightarrow$$

$$\rightarrow \frac{|\langle 0 | A_0 | \pi \rangle|^2}{2 m_\pi} \exp[-m_\pi t] = \frac{f_\pi^2 m_\pi}{2} \exp[-m_\pi t]$$

Hadron mass and $\langle 0 | A | h \rangle$
matrix elements from the
2-point correlation function



3-point functions



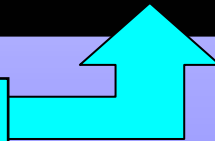
$$K^\dagger(t_1) = \sum_{\mathbf{x}} K^\dagger(\mathbf{x}, t_1) \exp[-i\mathbf{p}_K \mathbf{x}]$$

$$\Pi(t_2) = \sum_{\mathbf{x}} \Pi(\mathbf{x}, t_2) \exp[+i\mathbf{p}_\pi \mathbf{x}]$$

$$\langle \Pi(t_2) J_\mu^{\text{weak}}(0) K^\dagger(t_1) \rangle \longrightarrow$$

$$\frac{\langle 0 | \Pi | \pi \rangle \langle K | K^\dagger | 0 \rangle \exp[-E_K t_1 - E_\pi t_2]}{(2E_K)(2E_\pi)} \times \langle \pi(\mathbf{p}_\pi) | J_\mu^{\text{weak}}(0) | K(\mathbf{p}_K) \rangle$$

Also e.m. form factors, structure functions, etc



30 years of lattice QCD

K. Wilson (1974)

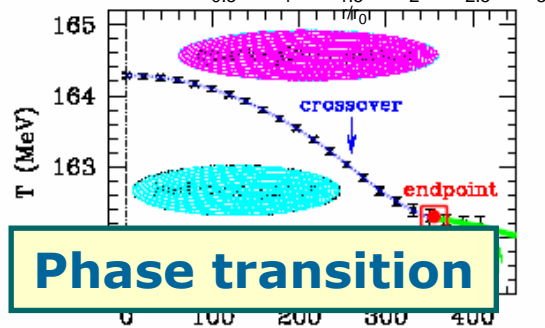
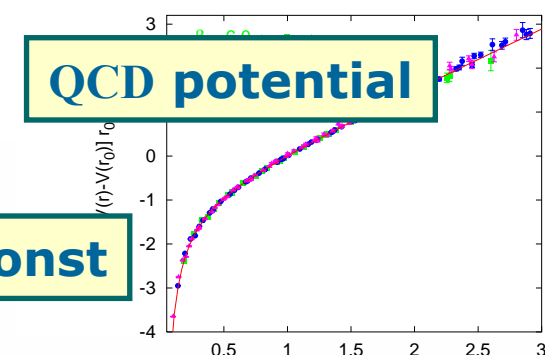
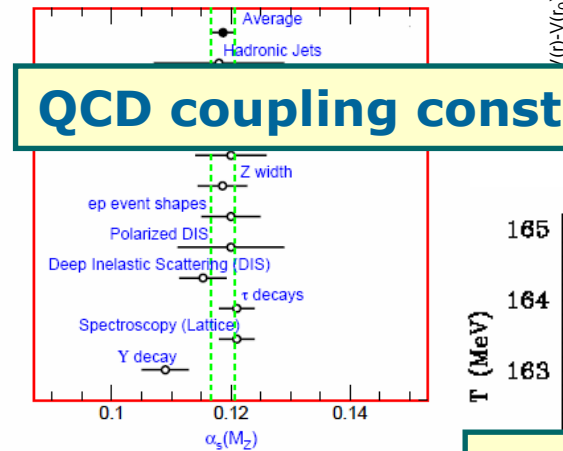
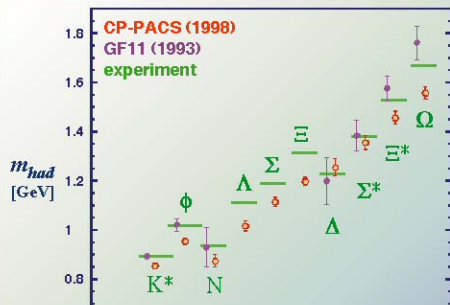
PHYSICAL REVIEW D VOLUME 10, NUMBER 9 15 OCTOBER 1974

Confinement of quarks*

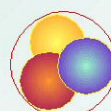
Kenneth G. Wilson
Laboratory of Nuclear Studies, Cornell University, Ithaca, New York 14850
(Received 12 June 1974)

A mechanism for total confinement of quarks, similar to that of Schwinger, is defined which requires the existence of Abelian or non-Abelian gauge fields. It is shown how to quantize a gauge field theory on a discrete lattice in Euclidean space-time, preserving exact gauge invariance and treating the gauge fields as angular variables (which makes a gauge-fixing term unnecessary). The lattice gauge theory has a comfortable strong-coupling limit; in this limit the binding mechanism applies and there are no free quarks. The theory is shown to be renormalizable. The theory is shown to be renormalizable. The theory is shown to be renormalizable.

Hadron Mass Spectrum from Quarks and Gluons



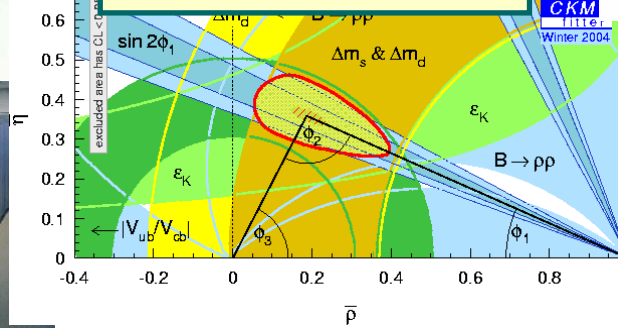
Hadron spectrum



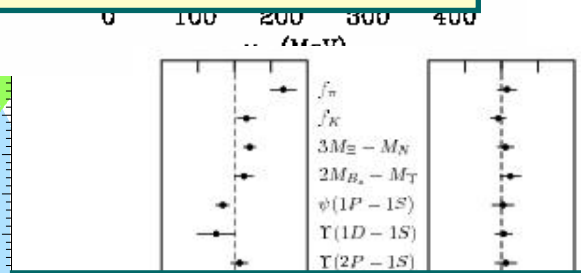
Hadrons are computation dynamics of has been a physics. In this fig from a pre experiment. within about CP-PACS, widely ad answering a



Flavor physics



Phase transition



Dynamical fermions

LQCD/Exp't (n_f = 0) LQCD/Exp't (n_f = 3)

Hadronic matrix elements from Lattice QCD

- **Leptonic decay constants:** $f_\pi, f_K, f_D, f_{D_s}, f_B, f_{B_s}, f_\rho, \dots$
- **Electromagnetic form factors:** $F_\pi(Q^2), G_M(Q^2), \dots$
- **Semileptonic form factors:** $K \rightarrow \pi; D \rightarrow K, K^*, \pi, \rho; B \rightarrow D, D^*, \pi, \rho; B \rightarrow K^* \gamma; \dots$
- **B-parameters:** $\langle K^0 | Q^{\Delta S=2} | \bar{K}^0 \rangle, \langle B^0 | Q^{\Delta B=2} | \bar{B}^0 \rangle$
- **Weak decays:** $\langle \pi | Q^{\Delta S=1} | K \rangle, \langle \pi \pi | Q^{\Delta S=1} | K \rangle$
- etc. etc. etc. ...

Lattice QCD is
really a powerful
approach ...

... BUT INVOLVES
SYSTEMATIC
ERRORS

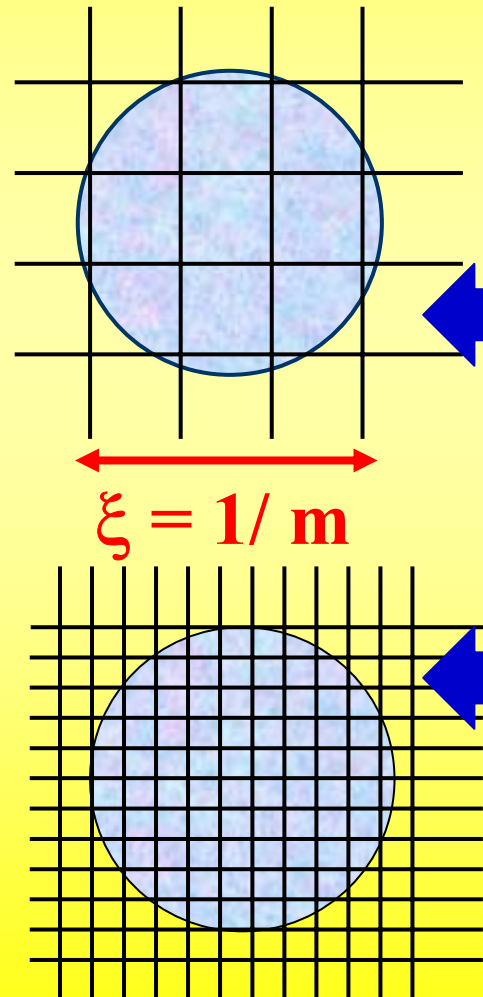
DISCRETIZATION ERRORS (THE ULTRAVIOLET PROBLEM)

$G_H(t) \sim \exp(-m_H t) \longrightarrow \xi = 1/m$ is the correlation length (and the size of the hadron)

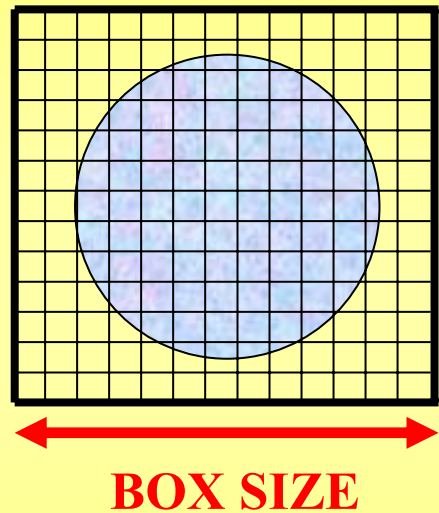
If $\xi \sim a \longrightarrow ma \sim 1$ the size of the object is comparable to the lattice spacing

If $\xi \gg a \longrightarrow ma \rightarrow 0$ the size of the object is much larger than the lattice spacing

$$C_{\text{LATT}} = C_{\text{CONT}} [1 + O(am, ap, a\Lambda_{\text{QCD}})]$$



FINITE VOLUME EFFECTS (THE INFRARED PROBLEM)



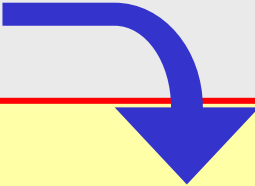
$L \gg \xi = 1/m$
to avoid finite size effects

For a large class of important physical amplitudes finite size effects are not really a problem:

$O(\exp[-\xi/L]) \longrightarrow L \geq 4 \div 5 \xi$ is sufficient

But there are more problematic cases, e.g. non-leptonic decays...

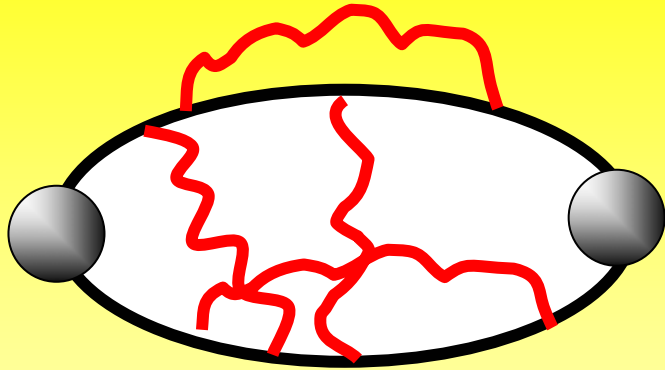
QUENCHING ERROR

$$\int [dU][d\psi][d\bar{\psi}] \exp[-S_g - \bar{\psi} M \psi] =$$
$$\int [dU] \det M \exp[-S_g]$$


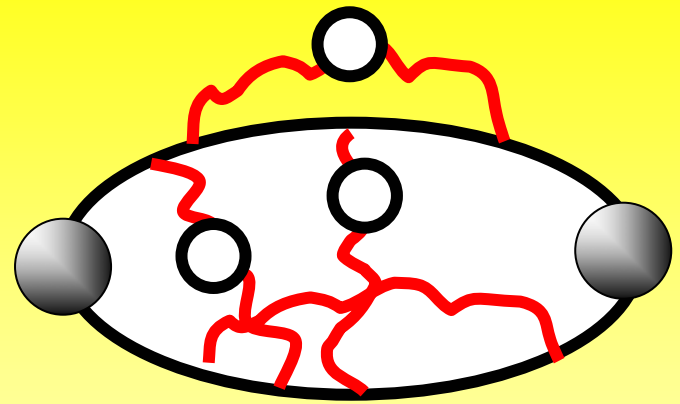
$$S^{\text{eff}} = S_g + \log M$$


$$\det M = \text{cost}$$

QUENCHED
APPROXIMATION



QUENCHED



UNQUENCHED

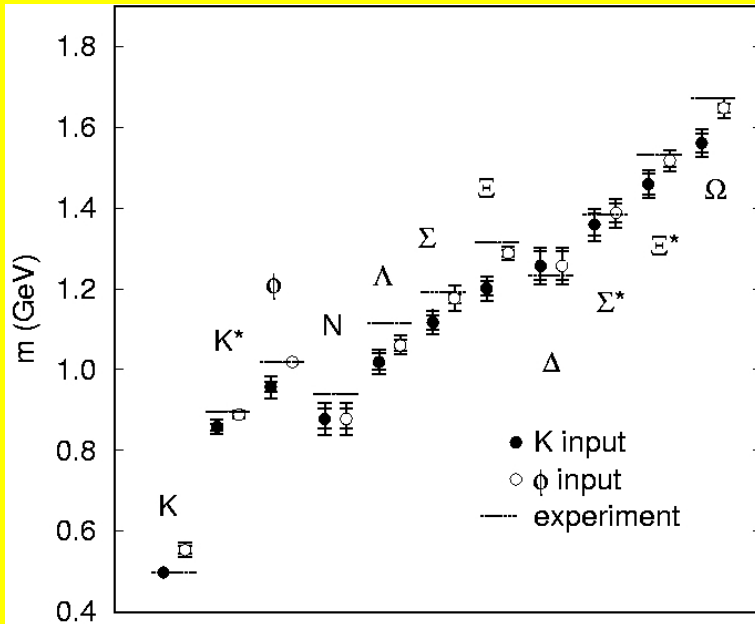
ESTIMATES OF QUENCHING EFFECT:

- hadron spectrum at $\leq 10\%$ level
- kaon B-parameter estimated to be essentially the same
- effect on f_D and f_B at 10% level
- nucleon σ -term and polarized structure functions wrong
- problems with chiral logarithms

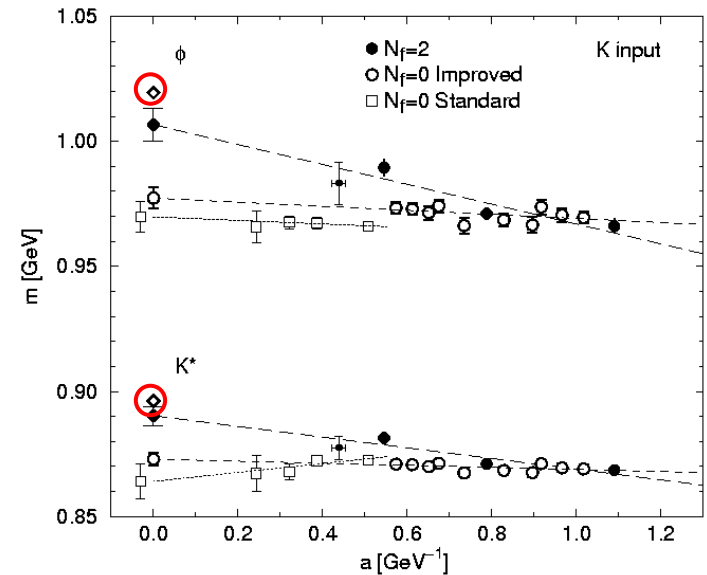
REAL UNQUENCHING STILL TO COME
(QUARK MASSES TOO HEAVY)

LIGHT HADRON SPECTRUM FROM LATTICE QCD

CP-PACS $N_f = 0$



CP-PACS $N_f = 2$



- ◆ IN THE QUENCHED CASE ($N_f=0$) THE AGREEMENT WITH THE EXPERIMENTS IS AT A 10% LEVEL (THE STATISTICAL AND SYSTEMATIC ACCURACY IS 3%).
- ◆ DEVIATIONS FROM EXPERIMENTS ARE CONSIDERABLY REDUCED IN FULL QCD ($N_f=2$).

EXTRAPOLATIONS IN QUARK MASSES

1) HEAVY QUARK MASSES

DISCRETIZATION ERRORS, THE ULTRAVIOLET PROBLEM

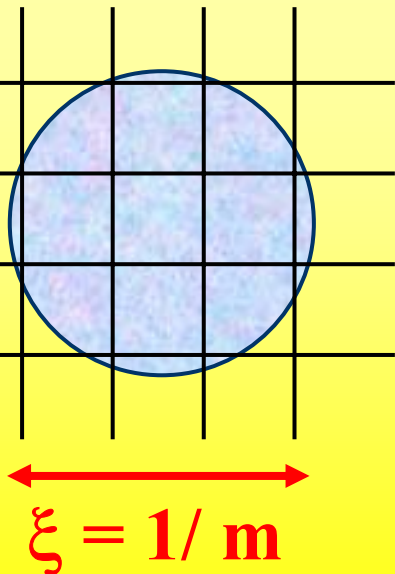
REQUIREMENT

$$1/M_H \gg a \longleftrightarrow a M_H \ll 1$$

Typically $a^{-1} \sim 2 \div 5 \text{ GeV}$

$$m_{\text{charm}} \sim 1.3 \text{ GeV} \quad m_{\text{charm}} a \sim 0.3$$

$$m_{\text{bottom}} \sim 4.5 \text{ GeV} \quad m_{\text{bottom}} a \sim 1$$



2) LIGHT QUARK MASSES

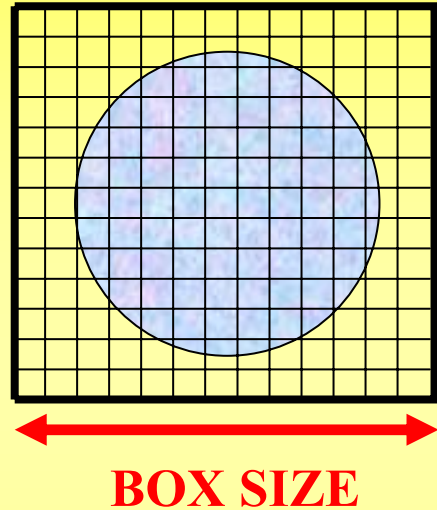
REQUIREMENT

$$1/M_\ell \ll L \longleftrightarrow LM_\ell \ll 1$$

BECAUSE OF THE LIMITATIONS IN COMPUTER RESOURCES VOLUMES CANNOT BE LARGE ENOUGH TO WORK AT THE PHYSICAL LIGHT QUARK MASSES

$$\text{Typical quark mass } m_s/2 < m_q < m_s$$

An extrapolation in m_{light} to the physical point is necessary. Chiral perturbation Theory (ChPT) may help in the extrapolation.



III. Lattice calculations of quark masses

III. Lattice calculations of quark masses

III. Lattice calculations of quark masses

LATTICE DETERMINATION OF QUARK MASSES

$$\hat{m}_q(\mu) = m_q(a) Z_m(\mu a)$$

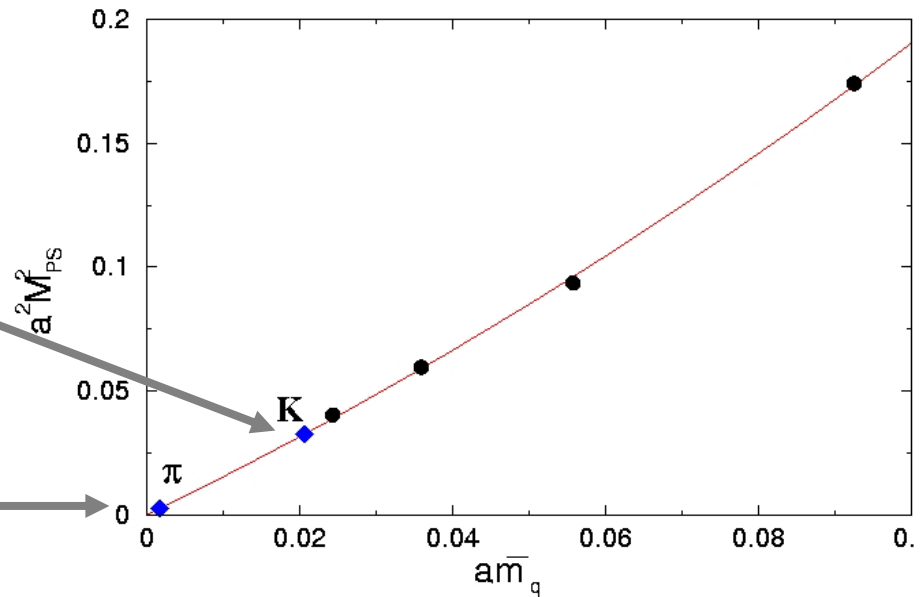
ADJUSTED UNTIL

$$M_H^{\text{LATT}} = M_H^{\text{EXP}}$$

PERTURBATION THEORY OR
NON-PERTURBATIVE METHODS

Extrapolation to
 $m = m_s$

Extrapolation to
 $m = m_{u,d}$



SYSTEMATIC ERRORS

$$m_q(\mu) = m_q(a) Z_m(\mu a)$$

ADJUSTED UNTIL
 $M_H^{\text{LATT}} = M_H^{\text{EXP}}$

$O(a)$

PERTURBATION
THEORY

$O(\alpha^2)$

CONTINUUM
EXTRAPOLATION
AND
IMPROVED ACTIONS

NON-PERTURBATIVE
RENORMALIZATION

SYSTEMATIC ERRORS

$$m_q(\mu) = m_q(a) Z_m(\mu a)$$

ADJUSTED UNTIL
 $M_H^{\text{LATT}} = M_H^{\text{EXP}}$

$O(a)$

PERTURBATION
THEORY

$O(\alpha^2)$

CONTINUUM
EXTRAPOLATION
AND
IMPROVED ACTIONS

NON-PERTURBATIVE
RENORMALIZATION

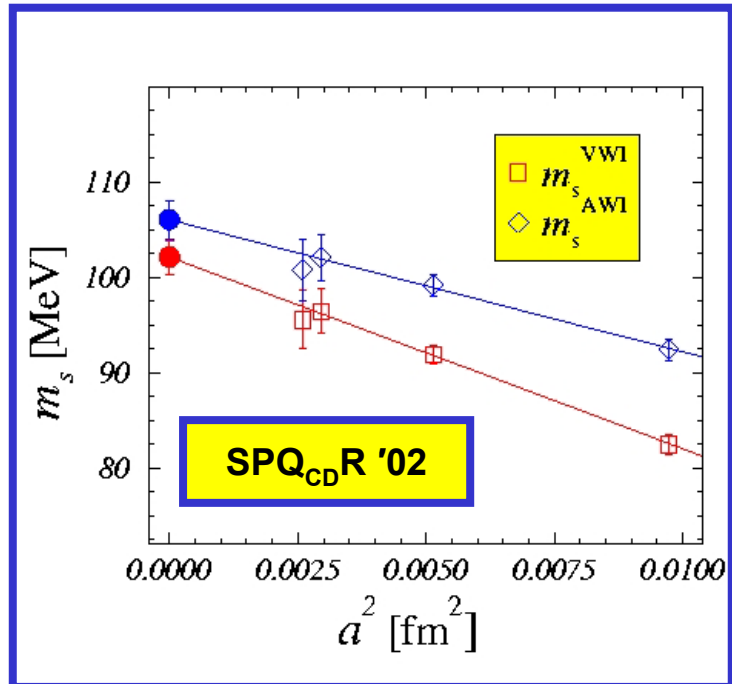
DISCRETIZATION EFFECTS

$$Q(a)_{\text{LATT}} = Q_{\text{PHYS}} + a Q_1 + a^2 Q_2 + \dots$$

$Q_1 = 0$ for improved actions

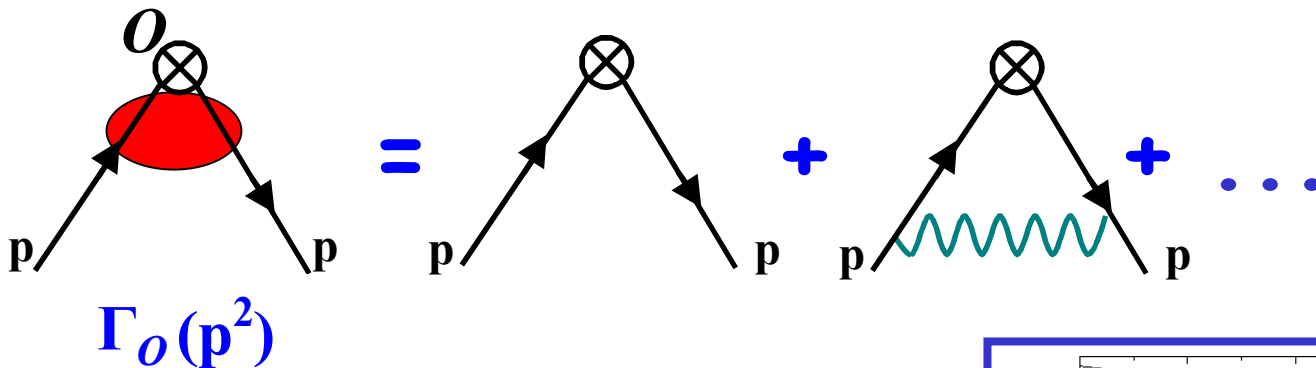
DISCRETIZATION EFFECTS CAN BE REDUCED BY:

1. USING AN IMPROVED ACTION
2. EXTRAPOLATING TO THE CONTINUUM LIMIT



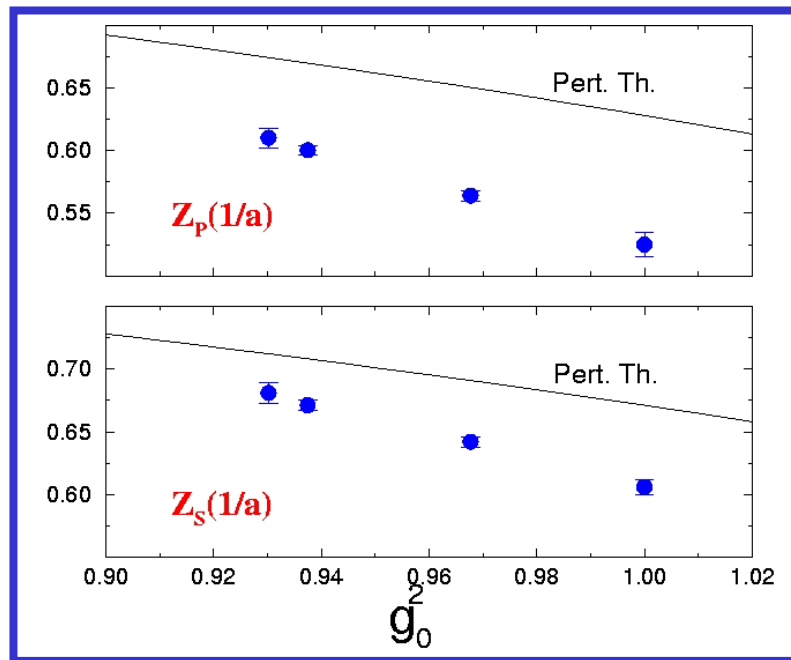
NON-PERTURBATIVE RENORMALIZATION

THE RI-MOM METHOD



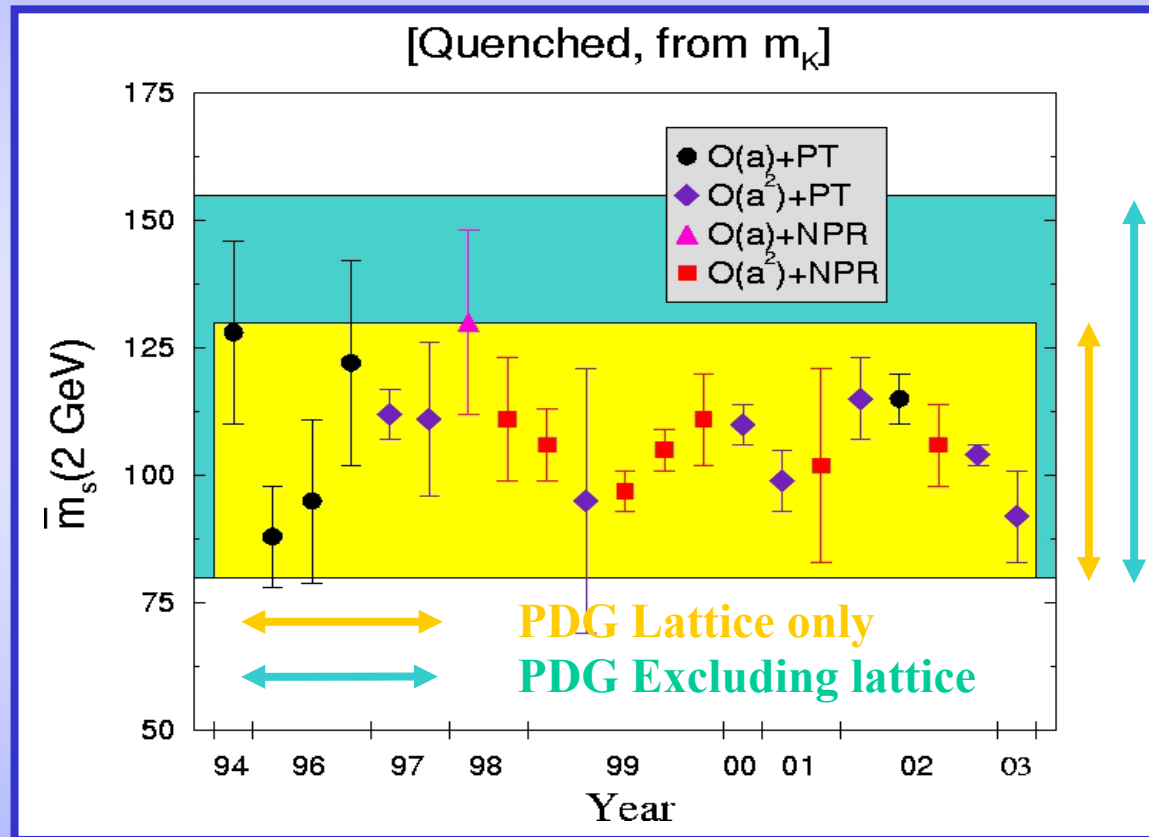
The (non-perturbative) renormalization condition:

$$Z_O(a\mu) \Gamma_O(p^2)|_{p^2=\mu^2} = \Gamma_{\text{Tree-Level}}$$



THE STRANGE QUARK MASS

History of Lattice Calculations

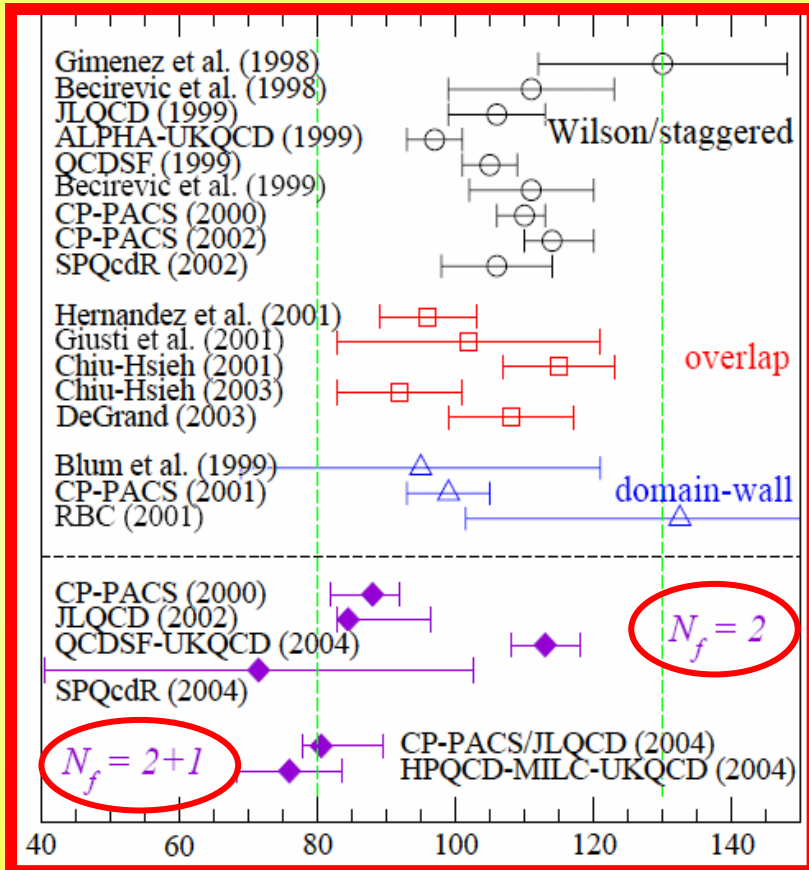


References:

- 1994: Allton et al. [FIRST NLO CALCULATION]
- 1996: LANL, FNAL, AGGR
- 1997: QCDSF, APETOV
- 1998: GGRT, APE
- 1999: JLQCD, RBC, ALPHAUKQCD, QCDSF, APE
- 2000: CP-PACS
- 2001: CP-PACS, GHR
- 2002: C+H, CP-PACS, SPQ_{CD}R, JLQCD
- 2003: C+H

THE STRANGE QUARK MASS

RECENT RESULTS



From S. Hashimoto
ICHEP 2004

N.B. some of the systematic errors are not taken into account in the error bars

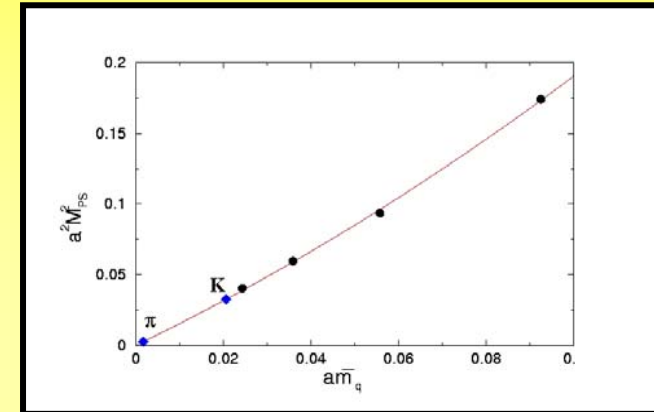
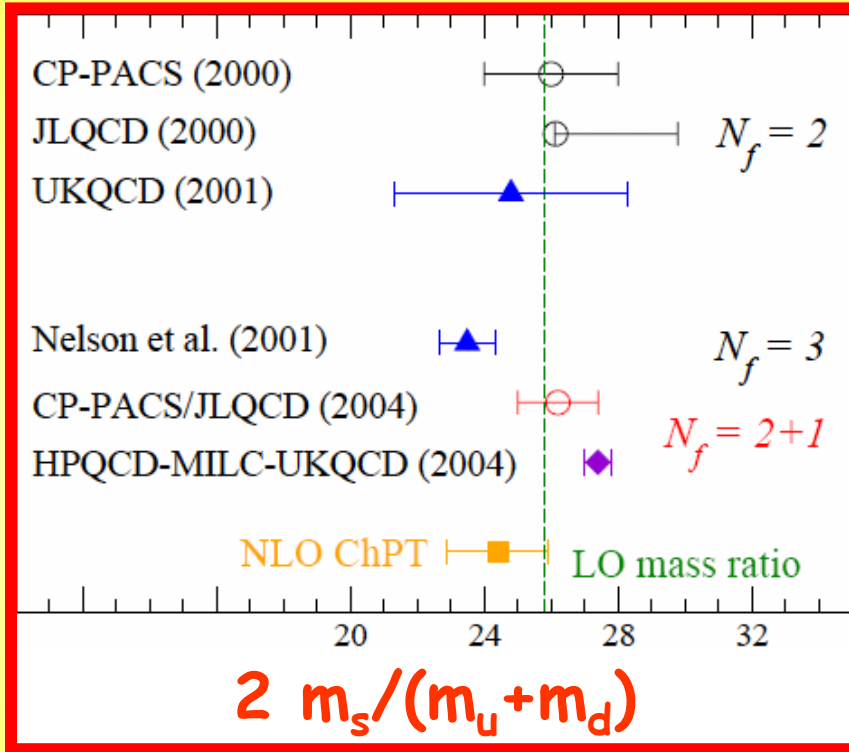
m_s seems to become lower for the sea quark effects

$$\bar{m}_s(2 \text{ GeV}) = (105 \pm 15 \pm 20) \text{ MeV}$$

PDG LATTICE
AVERAGE

THE AVERAGE UP/DOWN QUARK MASS

From S. Hashimoto ICHEP 2004



It can be computed
by assuming no major
surprises in the
chiral extrapolation

$$(\bar{m}_u + \bar{m}_d)/2 = (4.2 \pm 0.6 \pm 0.8) \text{ MeV}$$

$$(\mu = 2 \text{ GeV})$$

HEAVY QUARKS:

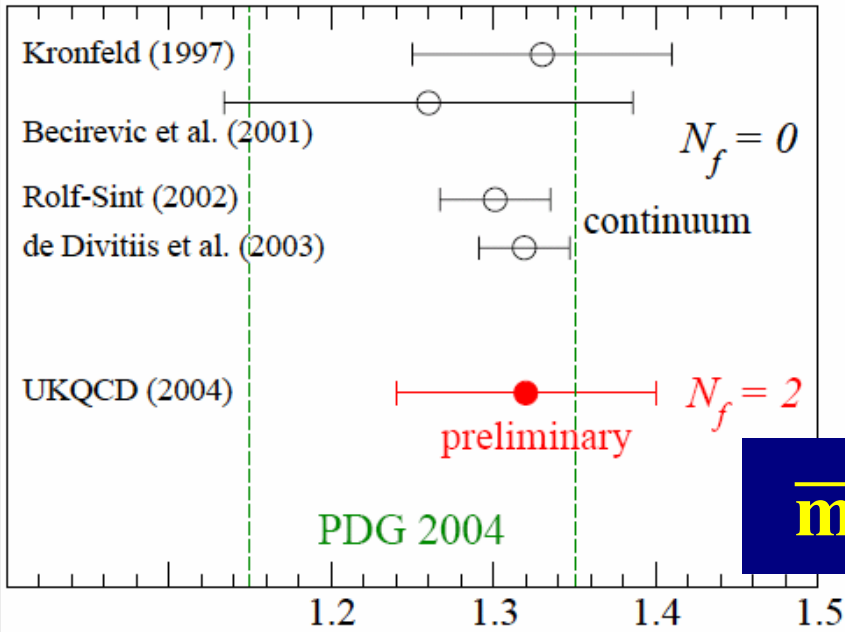
1) THE CHARM QUARK MASS

$$m_c \approx a^{-1}$$

Potentially large $O(a)$ effects

Require fine lattices and continuum extrapolation

From S. Hashimoto ICHEP 2004



Note: $m_c \geq \Lambda_{\text{QCD}} \rightarrow$
 Large $1/m$ corrections in
 effective theories

PDG LATTICE AVERAGE

$$\overline{m}_c = (1.26 \pm 0.13 \pm 0.20) \text{ GeV}$$

2) THE BOTTOM QUARK MASS

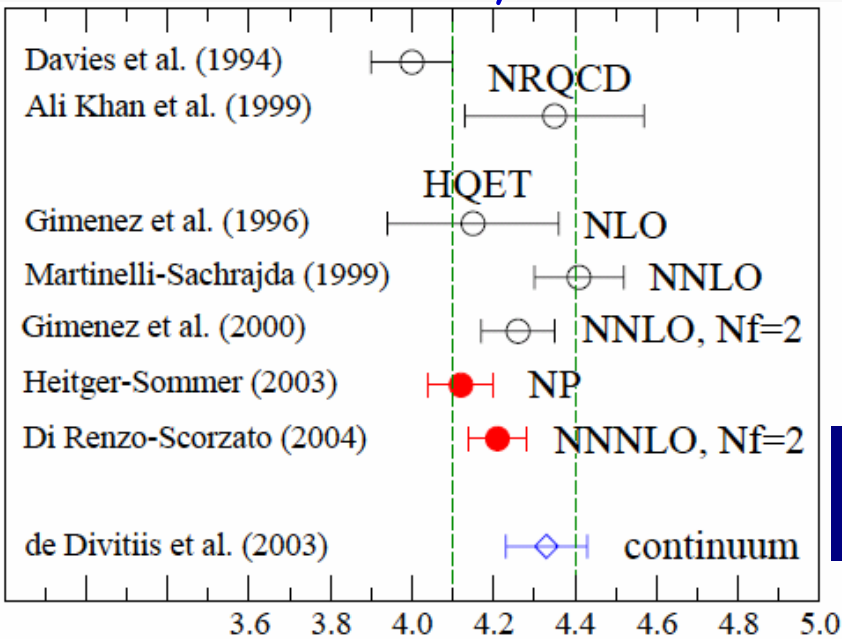
$$m_b \gg a^{-1}$$

The b quark cannot be directly simulated on the lattice

$$m_b \gg \Lambda_{\text{QCD}}$$

Effective theories on the lattice: **HQET, NRQCD, ...**

From S. Hashimoto, ICHEP 2004



N.B. In effective theories on the lattice perturbative renormalization effects are important

PDG LATTICE AVERAGE

$$\overline{m}_b = (4.26 \pm 0.15 \pm 0.15) \text{ GeV}$$

3

CKM MATRIX, UNITARITY AND CP VIOLATION

- I. First row, unitarity and the Cabibbo angle
- II. The Unitarity Triangle Analysis
- III. Search for New Physics

I. First row, unitarity and the Cabibbo angle

Unitarity of the CKM matrix implies that the sum of the squares of the magnitudes of the elements in the first row is equal to 1:

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1$$

III Search for New Physics

The most stringent unitarity test:

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1$$

PDG 2002 quotes a 2.2σ deviation from unitarity:

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 - 1 = -0.0043 \pm 0.0019$$

$|V_{ud}| = 0.9734 \pm 0.0008$ Superallowed and neutron β -decay

$|V_{us}| = 0.2196 \pm 0.0026$ $K \rightarrow \pi l \nu$

$|V_{ub}| = 0.0036 \pm 0.0010$ $b \rightarrow u$ inclusive and exclusive

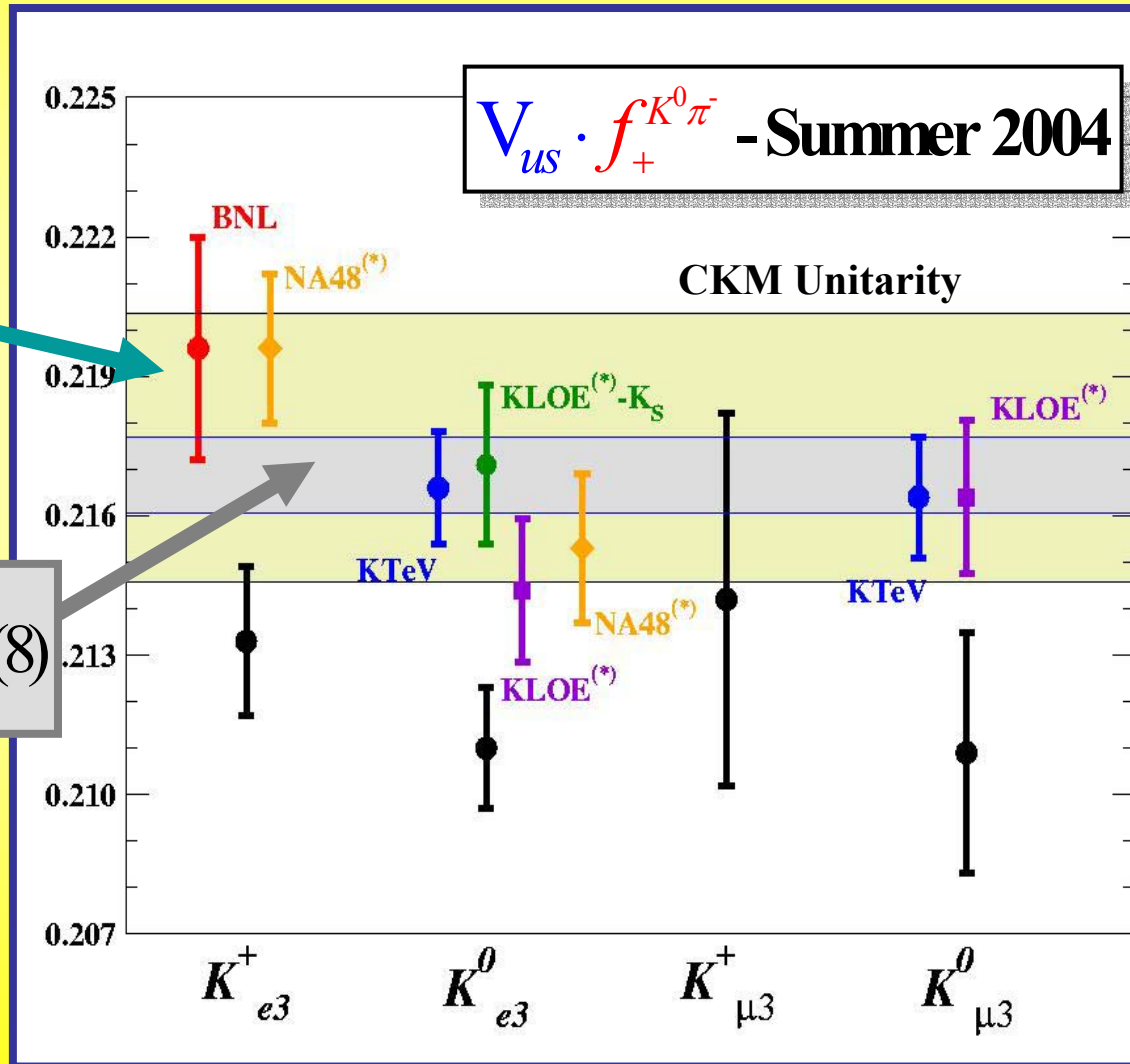
$|V_{us}|$ relies on old experimental and theoretical results of $Kl3$ \longrightarrow Examine $Kl3$ decays

The NEW experimental results

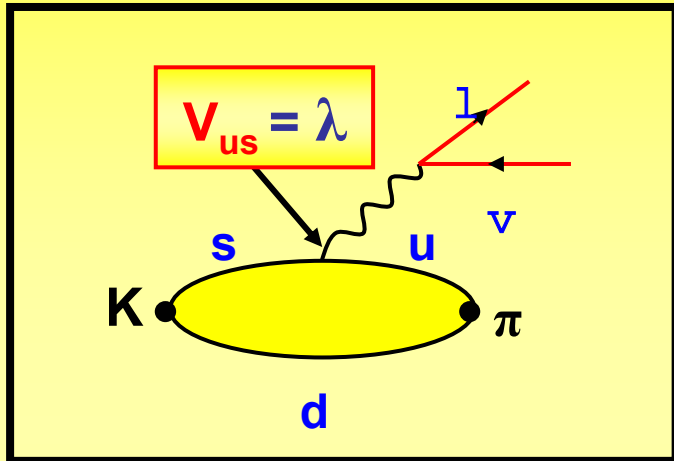
From F. Mescia,
ICHEP 2004

$$V_{us}^{uni} \cdot f_+^{LR} = 0.2175(29)$$

$$\left(V_{us} \cdot f_+^{K^0 \pi^-} \right)_{\text{KTeV-E865}}^{\text{Exp-Average}} = 0.2169(8)$$



Theoretical description



$$\Gamma_{K13} = C^1 \frac{G_F^2 |V_{us}|^2 M_K^5}{192 \pi^3} S_{EW} (1 + \delta_K^1) I_K^1 f_+(0)^2$$

THE LARGEST UNCERTAINTY IS DUE TO THE FORM FACTOR AT ZERO MOMENTUM TRANSFER: $f_+(0)$

ChPT:

$$f_+(0) = 1 + f_2 + f_4 + O(p^8)$$

Vector Current Conservation

$f_2 = -0.023$
Independent of L_i
(Ademollo-Gatto)

THE LARGEST UNCERTAINTY

“Standard” estimate:

Leutwyler, Roos (1984)
(QUARK MODEL)

$$f_4 = -0.016 \pm 0.008$$

ChPT: The complete $O(p^6)$ calculation

Post, Schilcher (2001), Bijnens, Talavera (2003)

$$f_4 = \Delta_{\text{loops}}(\mu) - \frac{8}{F_\pi^4} [C_{12}(\mu) + C_{34}(\mu)] (M_K^2 - M_\pi^2)^2$$

$C_{12}(\mu)$ and $C_{34}(\mu)$ can be determined from the **slope** and the **curvature** of the **scalar form factor**. Experimental data, however, are not accurate enough.

... and **models**

Jamin et al., $f_4^{\text{LOC}} = -0.018 \pm 0.009$ [Coupled channel dispersive analysis]

Cirigliano et al., $f_4^{\text{LOC}} = -0.012$ [Resonance saturation]

Cirigliano et al., $f_4^{\text{LOC}} = -0.016 \pm 0.008$ [QM, Leutwyler and Roos]

$\mu = ???$ $\Delta_{\text{loops}}(1\text{GeV}) = 0.004$ $\Delta_{\text{loops}}(M_\rho) = 0.015$ $\Delta_{\text{loops}}(M_\eta) = 0.031$

Cirigliano et al., $f_+^{K^0\pi^-}(0) = 0.981 \pm 0.010$

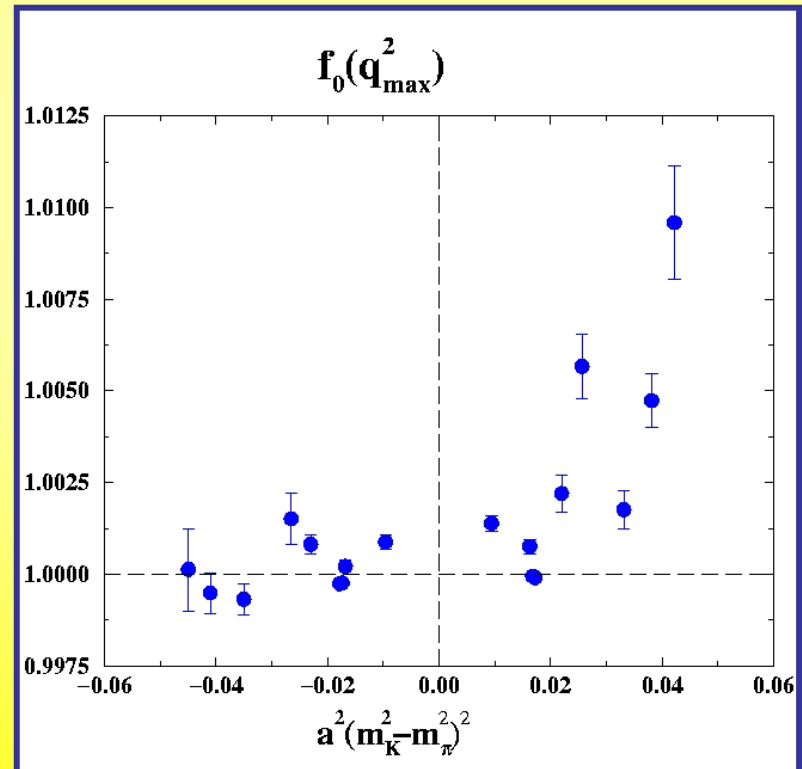
The Lattice QCD calculation

1) Evaluation of $f_0(q_{MAX}^2)$

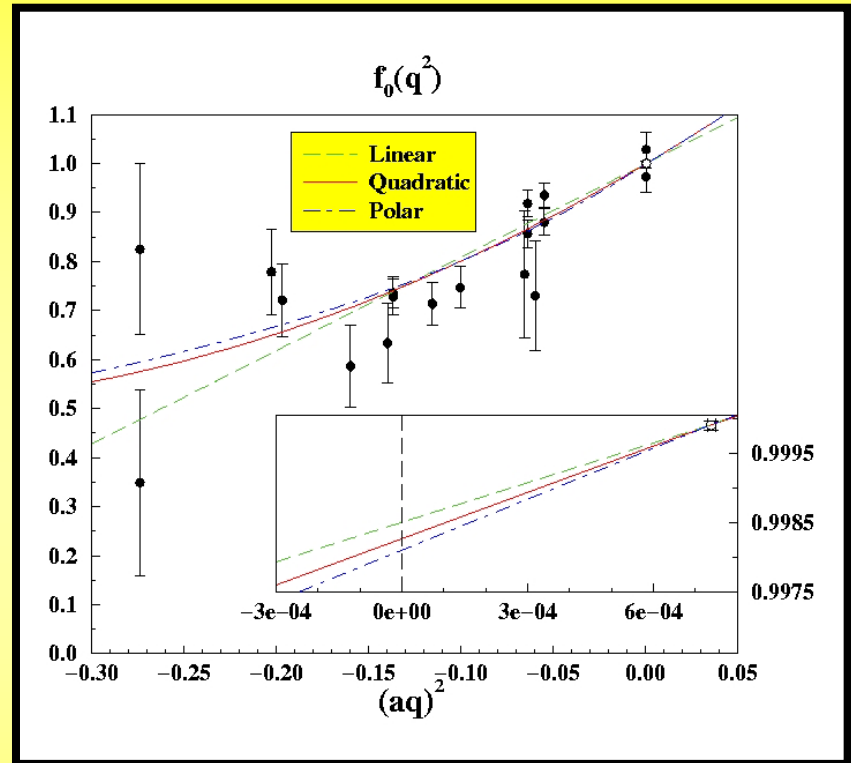
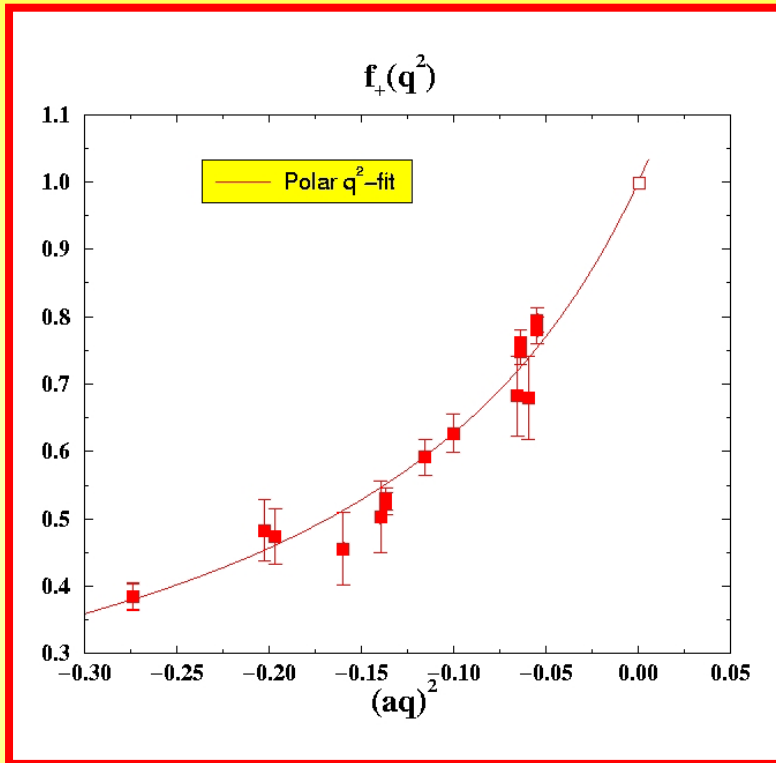
The basic ingredient is a **double ratio** of correlation functions:

$$R = \frac{\langle \pi | \bar{s} \gamma_0 u | K \rangle \langle K | \bar{u} \gamma_0 s | \pi \rangle}{\langle \pi | \bar{u} \gamma_0 u | \pi \rangle \langle K | \bar{s} \gamma_0 s | K \rangle}$$
$$= \frac{(M_K + M_\pi)^2}{4M_K M_\pi} f_0(q_{max}^2)^2$$

[FNAL for B→D*]



2) Extrapolation of $f_0(q_{MAX}^2)$ to $f_0(0)$



Comparison of polar fits:

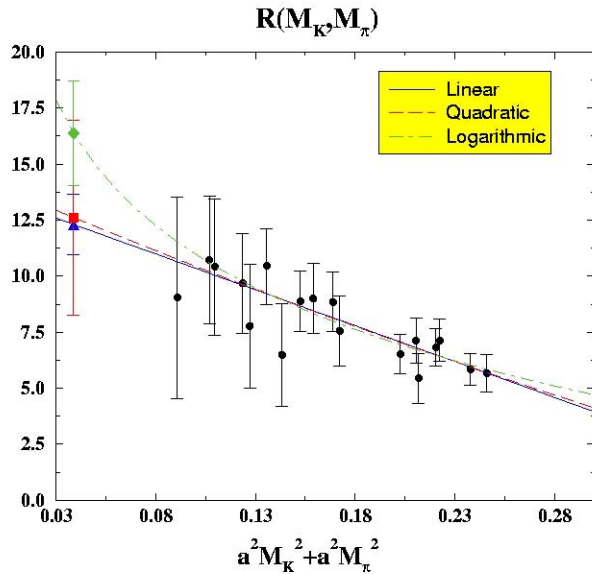
LQCD: $\lambda_+ = (25 \pm 2) 10^{-3}$

$\lambda_0 = (12 \pm 2) 10^{-3}$

KTeV: $\lambda_+ = (24.11 \pm 0.36) 10^{-3}$

$\lambda_0 = (13.62 \pm 0.73) 10^{-3}$

3) Chiral extrapolation



$$R = \frac{f_+(0) - 1 - f_2^{\text{QUEN}}}{(M_K^2 - M_\pi^2)^2}$$

Computed in Quenched-ChPT

The dominant contributions to the **systematic error** come from the uncertainties on the **q²** and **mass dependencies** of the form factor



$$f_+^{K^0\pi^-}(0) = 0.960 \pm 0.005_{\text{stat}} \pm 0.007_{\text{syst}}$$

[Quenching error is not included]

In agreement with LR!!

II. The Unitarity Triangle Analysis



Collaboration

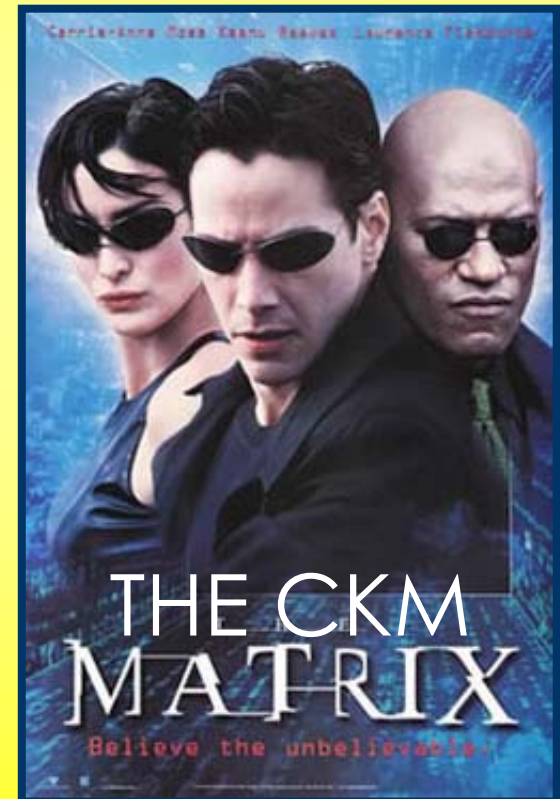
M.Bona, M.Ciuchini, E.Franco,

V.L., G.Martinelli, F.Parodi,

M.Pierini, P.Roudeau, C.Schiavi,

L.Silvestrini, A.Stocchi

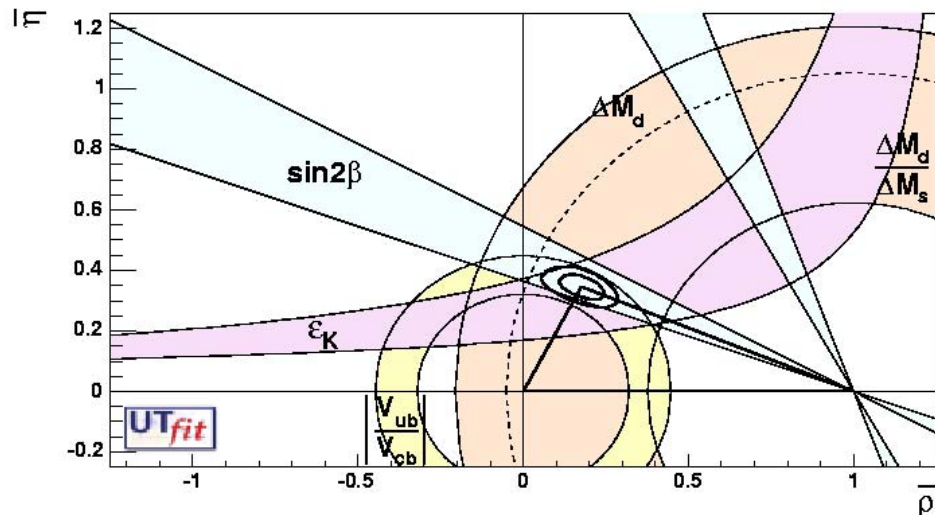
Roma, Genova, Torino, Orsay



www.utfit.org

THE UNITARITY TRIANGLE ANALYSIS

$$V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$$



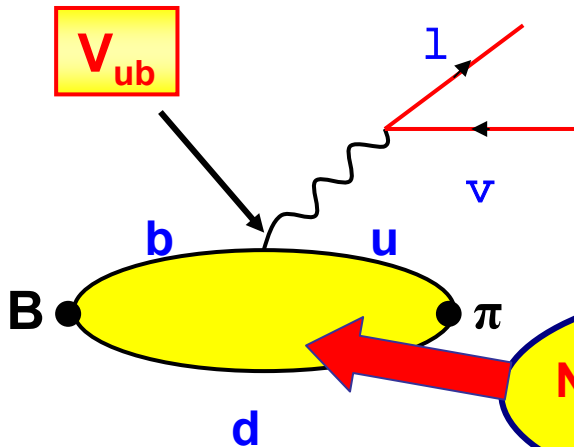
5 CONSTRAINTS
2 PARAMETERS

Hadronic Matrix
Elements from
LATTICE QCD

$(b \rightarrow u)/(b \rightarrow c)$	$\bar{\rho}^2 + \bar{\eta}^2$	$f_+, F(1), \dots$
ε_K	$\bar{\eta} [(1 - \bar{\rho}) + P]$	B_K
Δm_d	$(1 - \bar{\rho})^2 + \bar{\eta}^2$	$f_{B_d}^2 B_{B_d}$
$\Delta m_d / \Delta m_s$	$(1 - \bar{\rho})^2 + \bar{\eta}^2$	ξ
$A(J/\psi K_S)$	$\sin 2\beta(\bar{\rho}, \bar{\eta})$	—



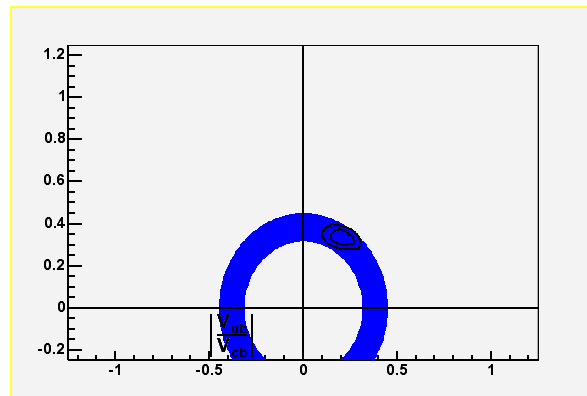
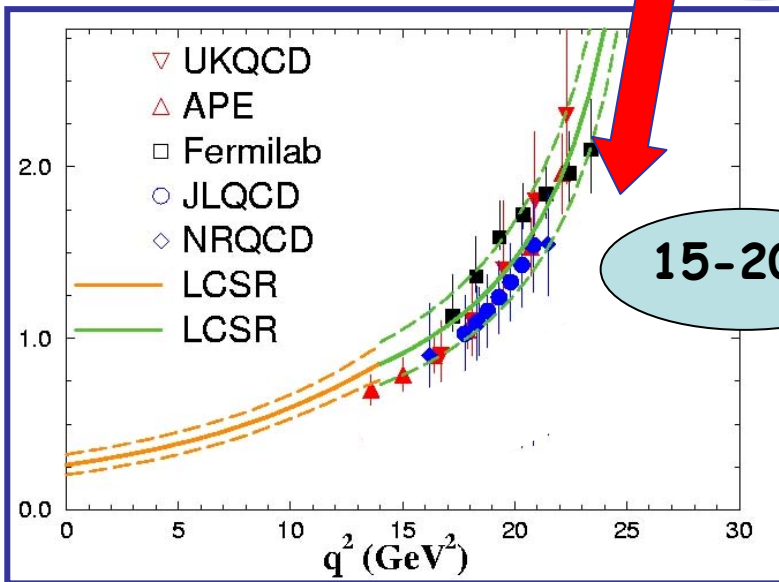
V_{ub} FROM B-MESON SEMILEPTONIC DECAYS



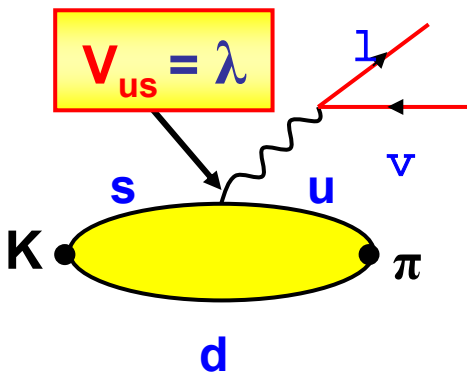
$$\Gamma(B \rightarrow \pi l \bar{\nu}) = \frac{G_F^2 |V_{ub}|^2}{192 \pi^3} \int dq^2 \lambda(q^2)^{3/2} |f_+(q^2)|^2$$

NON-PERTURBATIVE PHYSICS

EXPERIMENTS:
CLEO, BaBar, Belle, ...

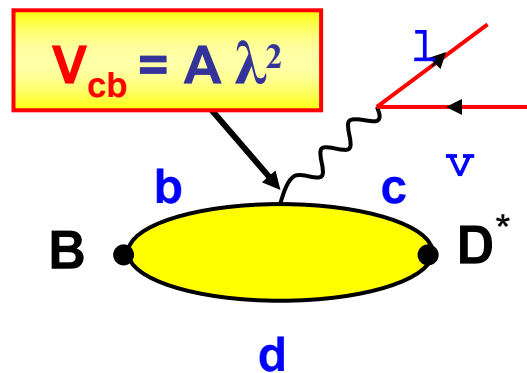


PRECISION FLAVOUR PHYSICS ON THE LATTICE



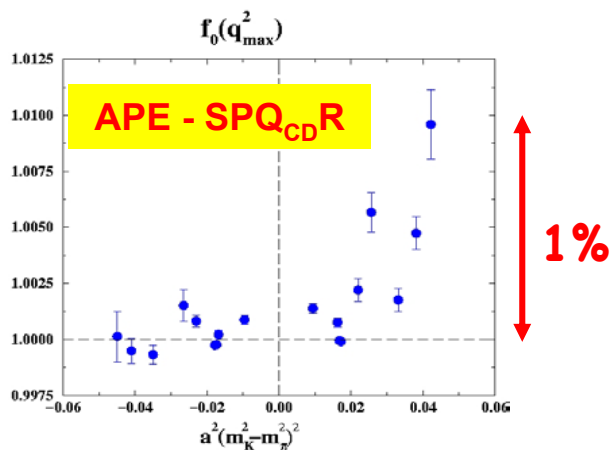
$$f_+(0) = 1 - O(m_s - m_u)^2$$

Ademollo-Gatto theorem

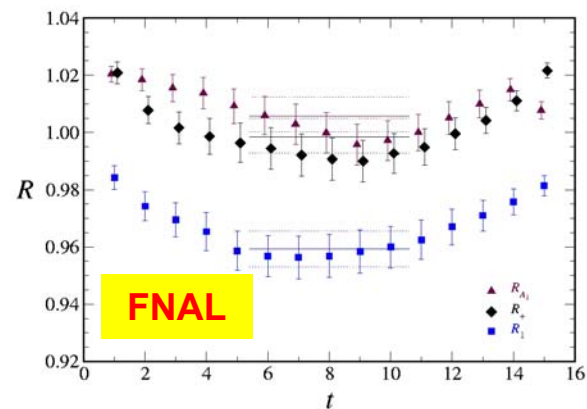


$$F_{B \rightarrow D^*}(1) = \eta_A [1 - O(1/m_b, 1/m_c)^2]$$

Luke theorem



$$f_+(0) = 0.960 \pm 0.005 \pm 0.007$$

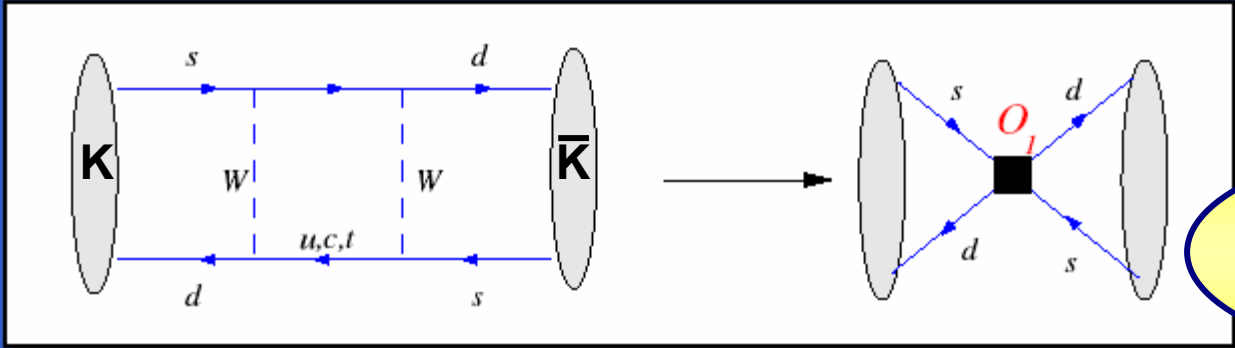
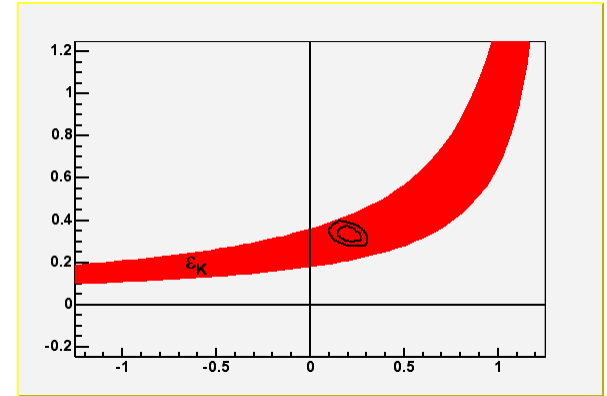


$$F_{B \rightarrow D^*}(1) = 0.913^{+0.024 + 0.017}_{-0.017 - 0.030}$$

K - \bar{K} Mixing: ϵ_K and B_K

$$K_L \sim \overset{CP=-1}{(K^0 - \bar{K}^0)} + \epsilon_K \overset{CP=+1}{(K^0 + \bar{K}^0)}$$

CP Violation



NON-PERTURBATIVE PHYSICS

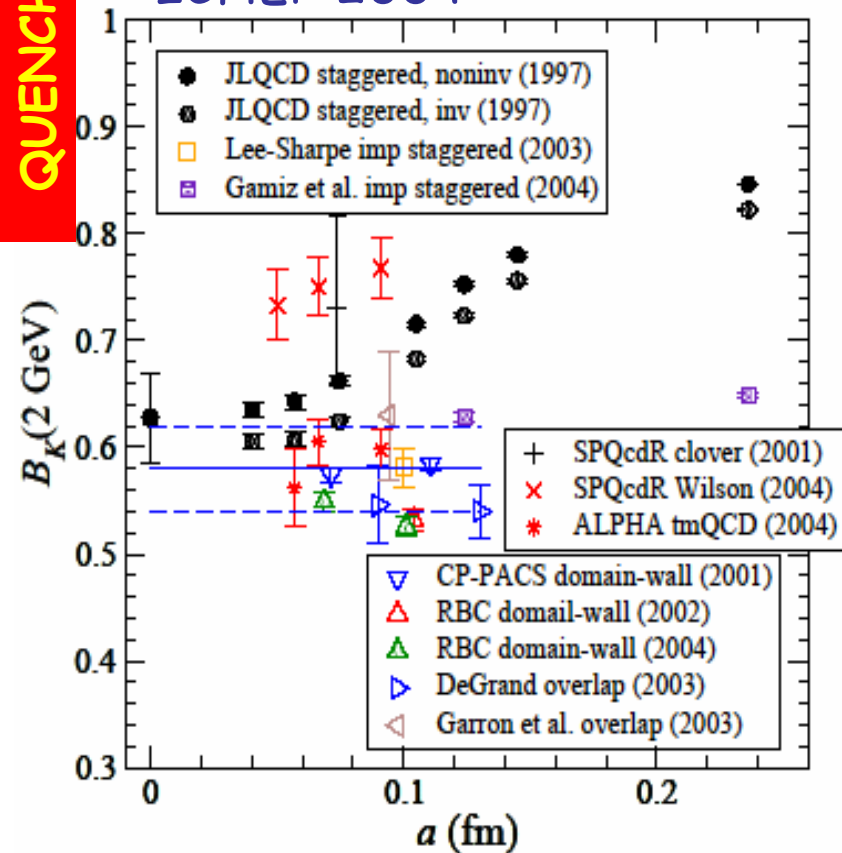
$$\epsilon_K \sim \langle \bar{K}^0 | \mathcal{H}_{\text{eff}}^{\Delta S=2} | K^0 \rangle$$

$$\langle \bar{K}^0 | Q(\mu) | K^0 \rangle = \frac{8}{3} f_K^2 m_K^2 B_K(\mu)$$

Lattice Results for B_K

QUENCHED

From S. Hashimoto
ICHEP 2004



- ✓ High level of accuracy
- ✓ Discretization effects not negligible
- ✓ Estimate of quenching error from ChPT $\leq 15\%$ (Sharpe)

QUENCHING ERROR

$$\hat{B}_K = 0.86 \pm 0.06 \pm 0.14$$

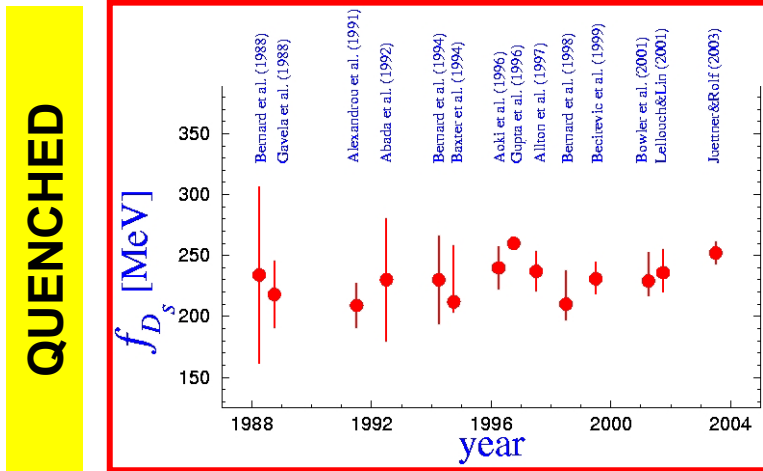
LATTICE PREDICTION (!)

$$\hat{B}_K = 0.90 \pm 0.20$$

[Gavela et al., 1987]

$B_{B_{d/s}} - \bar{B}_{B_{d/s}}$ Mixing: $f_{B_{d/s}} \sqrt{B_{B_{d/s}}}$

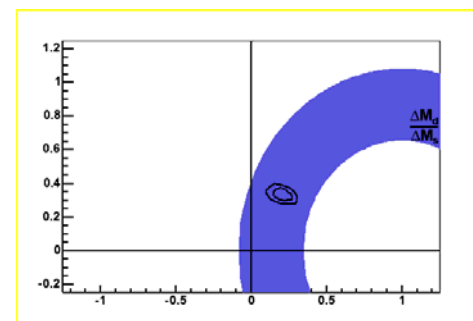
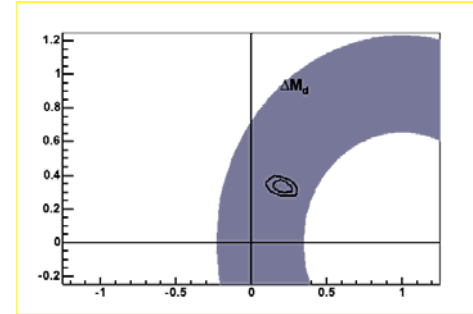
THE D_s -MESON DECAY CONSTANT A long history of lattice calculations...



$$f_{D_s}^{N_f=2} / f_{D_s}^{N_f=0} = 1.08 \pm 0.05 \quad (\text{CP-PACS, MILC})$$

$$f_{D_s} = 265 \pm 14 \pm 13 \text{ MeV} \quad \text{LQCD Average}$$

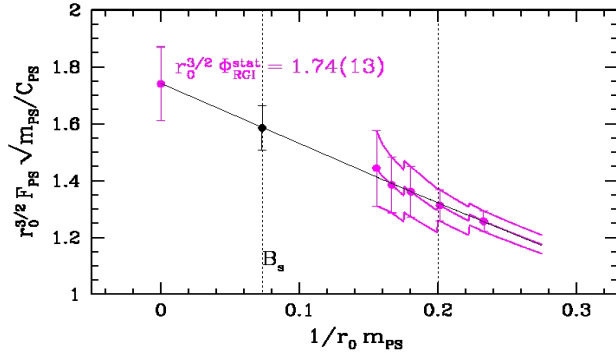
$$f_{D_s} = 285 \pm 19 \pm 40 \text{ MeV} \quad \text{EXP. PDG 2002}$$



New results from
CLEO-c

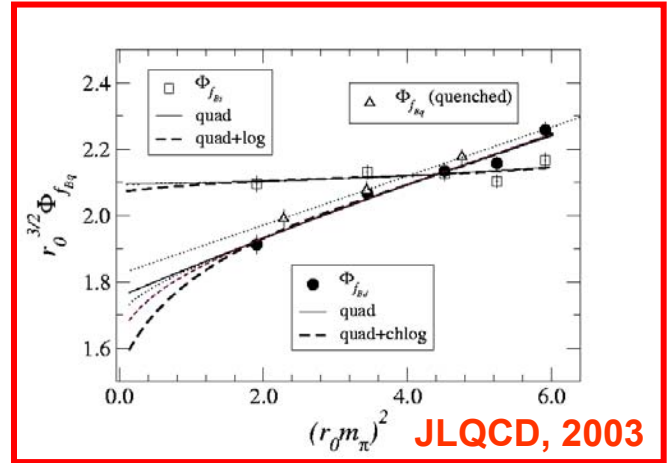
From f_{D_s} to f_{B_d}

J.Heitger, EPS-HEP 2003



$$m_Q \ll 1/a$$

- Extrapolations from $m_Q \sim m_c$ to m_b
- Effective theories: HQET, NRQCD, "FNAL", ...
- Combine the two approaches
- Finite size approach, APE-Tov



$$m_\pi \gg 1/L$$

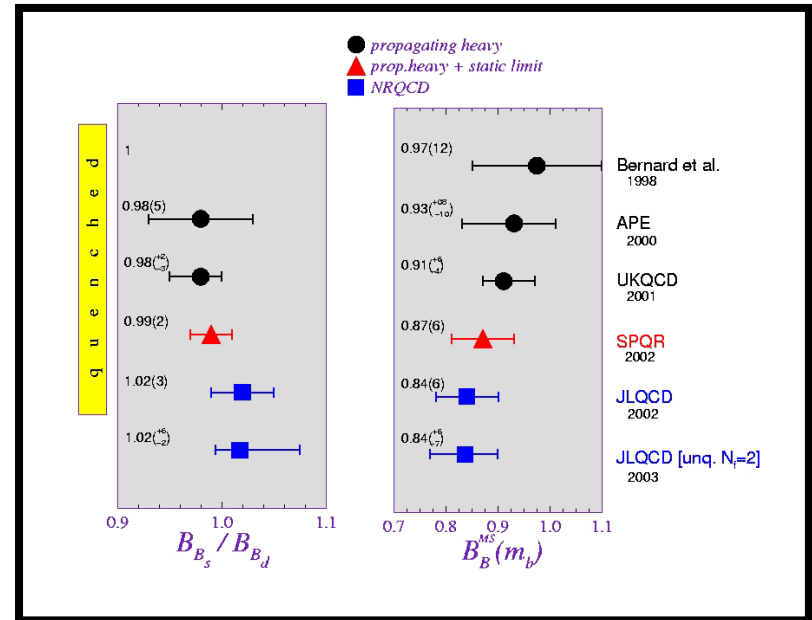
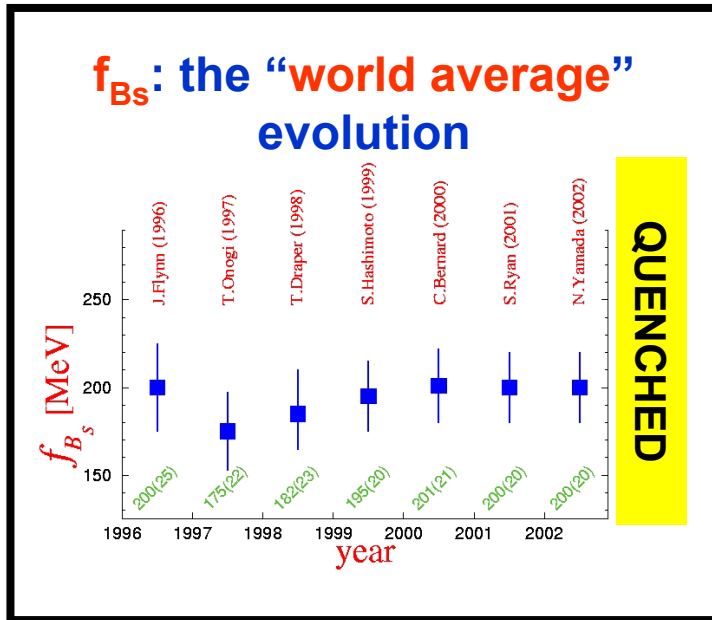
- Extrapolations from m_q to $m_{u,d}$ using ChPT as a guidance:

$$\frac{f_{B_s} \sqrt{m_{B_s}}}{f_{B_d} \sqrt{m_{B_d}}} = 1 + \frac{1 + 3\hat{g}^2}{4(4\pi f)^2} \chi \log s + C$$

Orsay

Use $\frac{f_{B_s}/f_{B_d}}{f_K/f_\pi}$, Becirevic et al.

$B_{B_{d/s}} - \bar{B}_{B_{d/s}}$ Mixing: $f_{B_{d/s}}$ and $B_{B_{d/s}}$



$$f_{B_s}^{N_f=2} / f_{B_s}^{N_f=0} = 1.12 \pm 0.05$$

(CP-PACS, MILC)

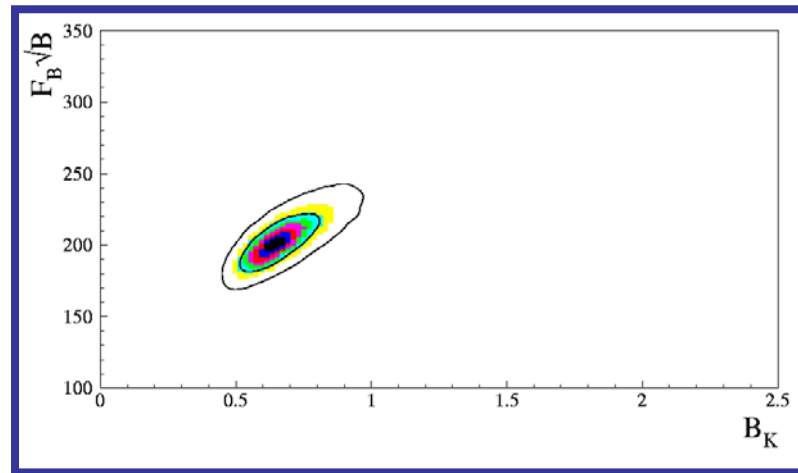
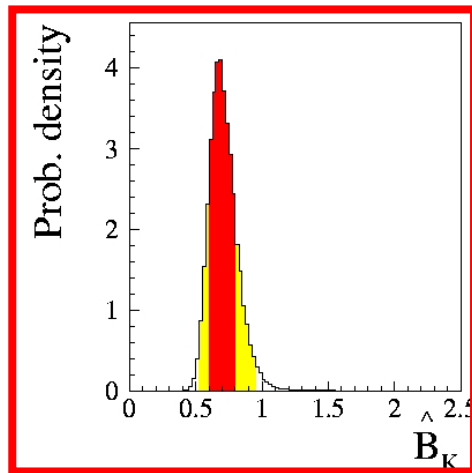
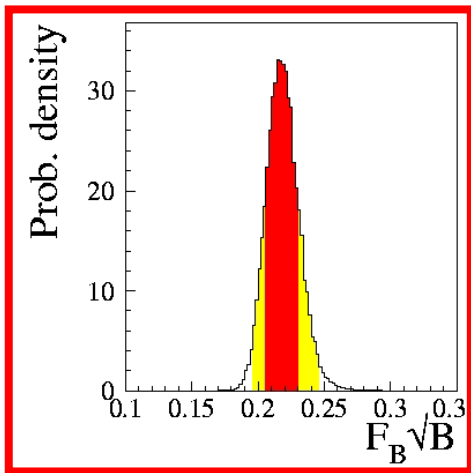
In other quantities (f_{B_s}/f_{B_d} , B_{B_d} , B_{B_s}/B_{B_d}) quenching effects are smaller

**LATTICE
AVERAGES**

$$f_{B_s} \sqrt{B_{B_s}} = 276 \pm 38 \text{ MeV},$$

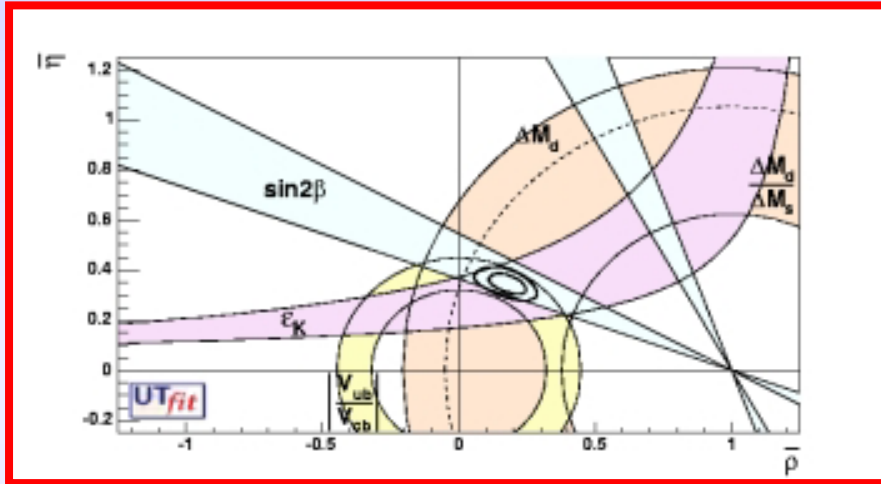
$$\xi = 1.24 \pm 0.04 \pm 0.06$$

Lattice QCD vs UT FITS



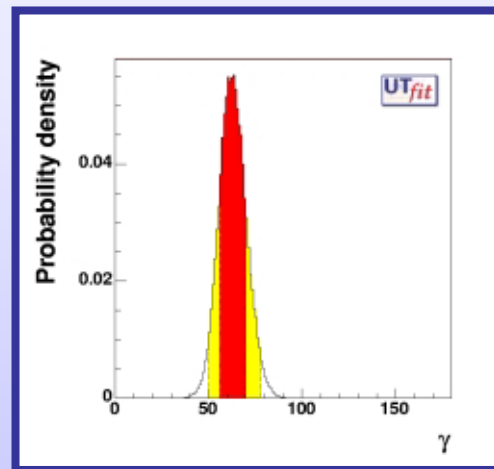
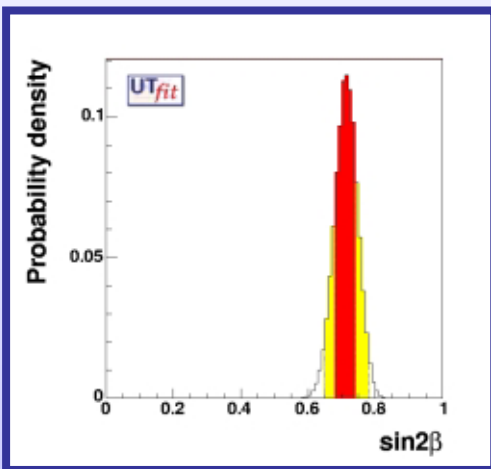
	LATTICE QCD	UT FIT
$f_B \sqrt{B_B}$	$223 \pm 33 \pm 12$ MeV	217 ± 12 MeV
B_K	$0.86 \pm 0.06 \pm 0.14$	0.71 ± 0.11

FIT RESULTS



$$\bar{\rho} = 0.174 \pm 0.048$$

$$\bar{\eta} = 0.344 \pm 0.027$$



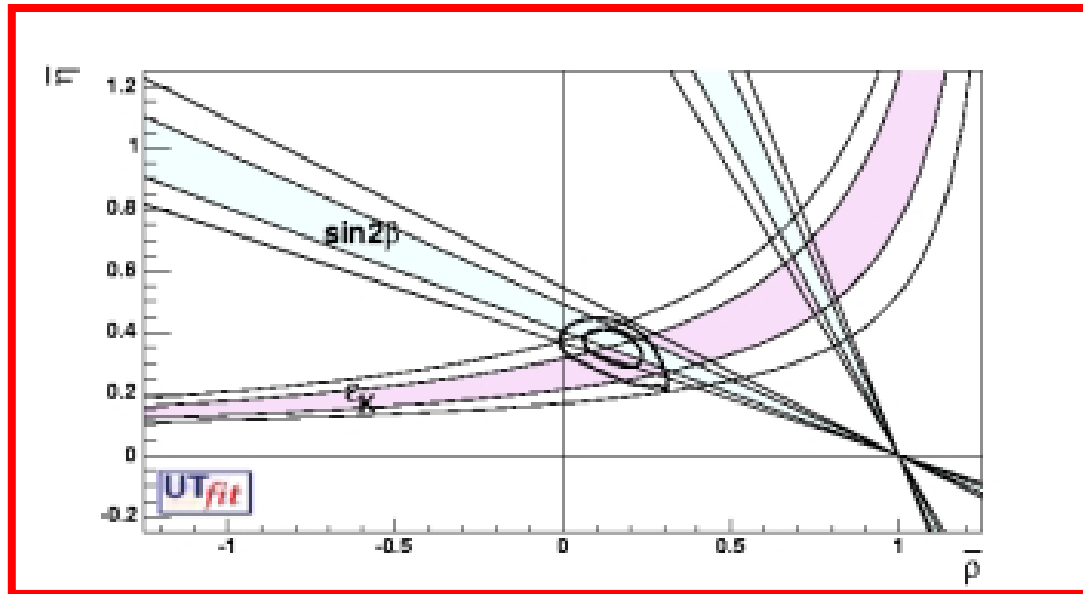
$$\text{Sin}2\alpha = -0.14 \pm 0.25$$

$$\text{Sin}2\beta = 0.697 \pm 0.036$$

$$\gamma = (61.9 \pm 7.9)^\circ$$

INDIRECT EVIDENCE OF CP VIOLATION

3 FAMILIES → - Only 1 phase - Angles from Sides



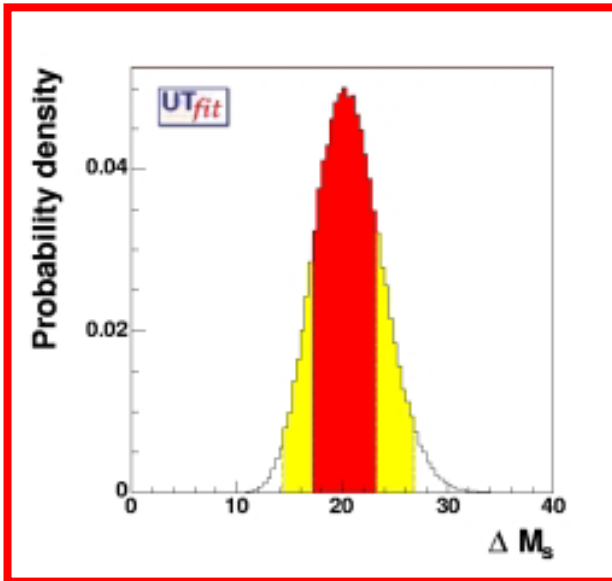
$$\text{Sin}2\beta_{\text{UT Sides}} = 0.685 \pm 0.047$$

$$\text{Sin}2\beta_{\text{J}/\psi \text{ Ks}} = 0.739 \pm 0.048$$

Prediction (Ciuchini et al., 2000): $\text{Sin}2\beta_{\text{UTA}} = 0.698 \pm 0.066$

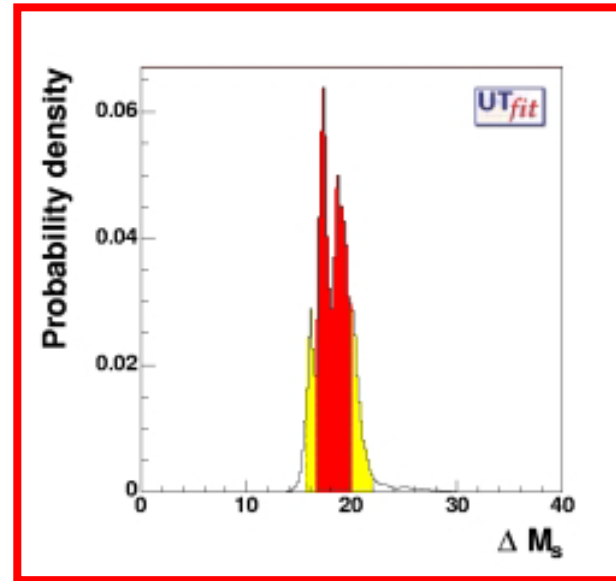
Prediction for Δm_s

Δm_s NOT USED



$$\Delta m_s = (20.5 \pm 3.2) \text{ ps}^{-1}$$

WITH ALL CONSTRAINTS



$$\Delta m_s = (18.0 \pm 1.6) \text{ ps}^{-1}$$

A measurement is expected at FERMILAB

IMPACT OF IMPROVED DETERMINATIONS

$$B_K = 0.86 \pm 0.06 \pm \cancel{0.14}$$

$$f_{B_s} \sqrt{B_{B_s}} = 276 \pm \textcircled{38} \text{ MeV} \xrightarrow{14}$$

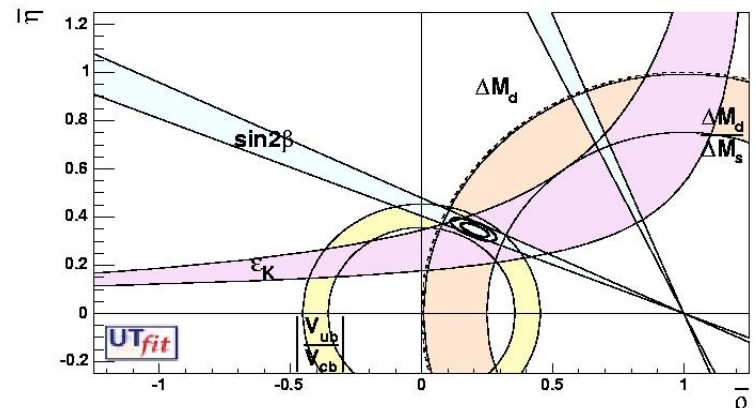
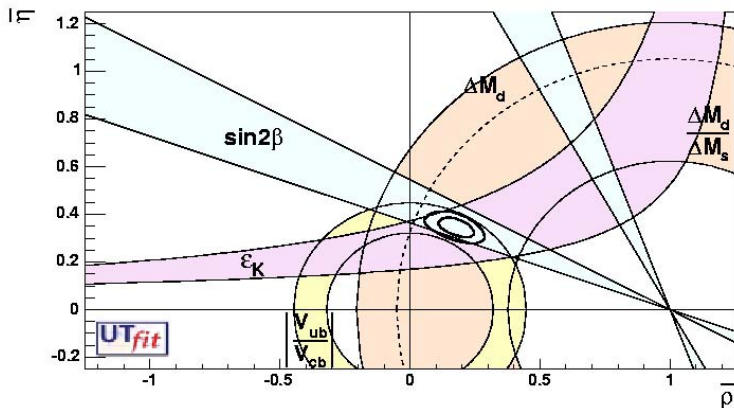
$$\xi = 1.24 \pm 0.04 \pm \cancel{0.06}$$

$$\sin 2\beta = 0.734 \pm \textcircled{0.054} \xrightarrow{21}$$

$$V_{ub} = (\cancel{32.4 \pm 2.4 \pm 4.6}) 10^{-4} \text{ (exclusive only)}$$

TODAY

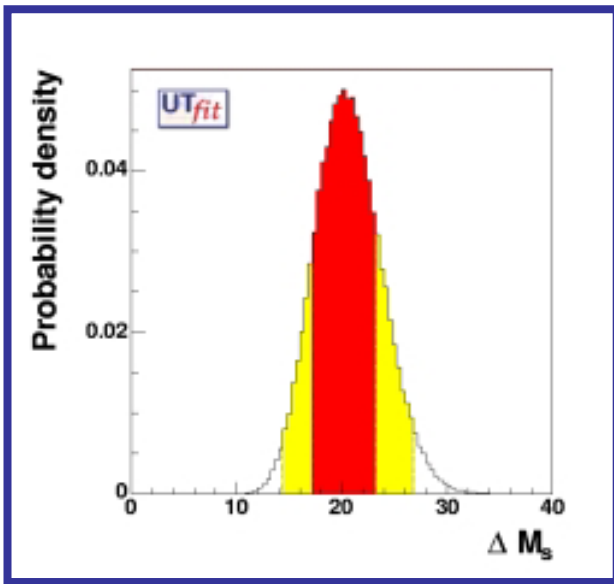
NEXT YEARS



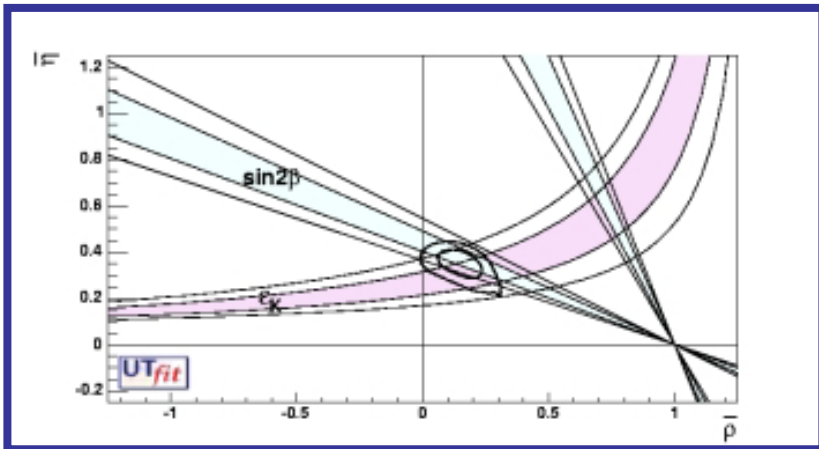
$$\Delta \bar{\rho} = 28\% \rightarrow 17\% (-40\%)$$

$$\Delta \bar{\eta} = 7.8\% \rightarrow 5.2\% (-33\%)$$

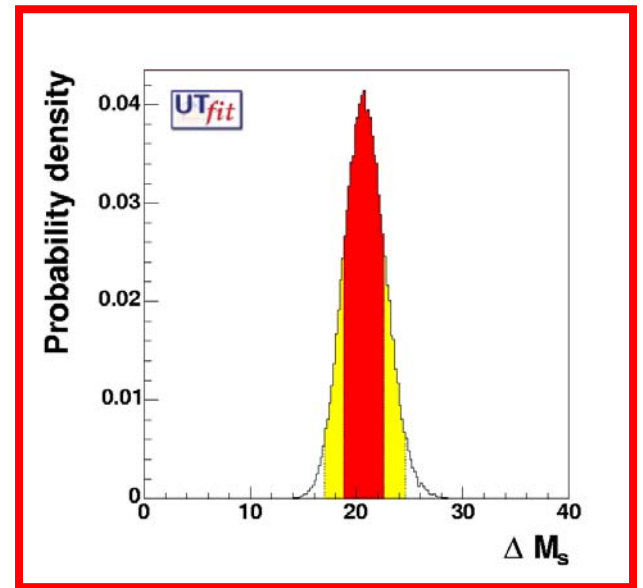
TODAY



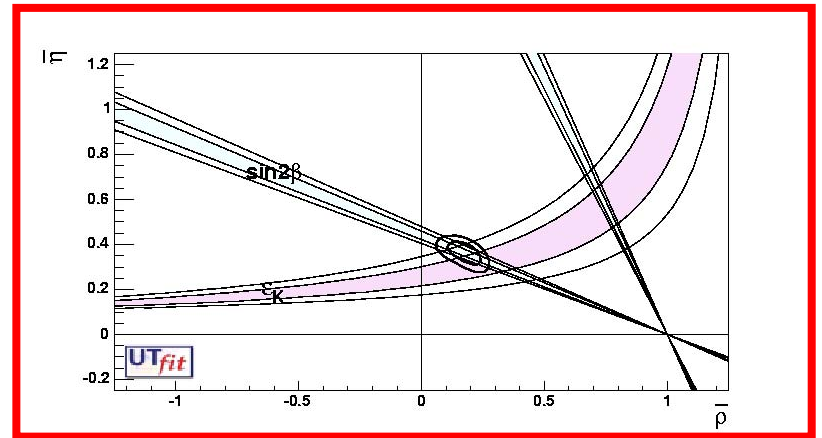
$$\Delta m_s = (20.5 \pm 3.2) \text{ ps}^{-1}$$



NEXT YEARS



$$\Delta m_s = (20.7 \pm 1.9) \text{ ps}^{-1}$$



III. Search for New Physics

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SEARCH FOR NEW PHYSICS

2) "Given the present theoretical and experimental constraints, to which extent the UTA can still be affected by **New Physics** contributions?"

An interesting case:

New Physics in $B_d-\bar{B}_d$ mixing

The New Physics mixing amplitudes can be parameterized in a simple general form:

$$M_d = C_d e^{2i\varphi_d} (M_d)^{\text{SM}}$$



$$\Delta m_d = C_d (\Delta m_d)^{\text{SM}}$$
$$A(J/\psi K_S) \sim \sin 2(\beta + \varphi_d)$$

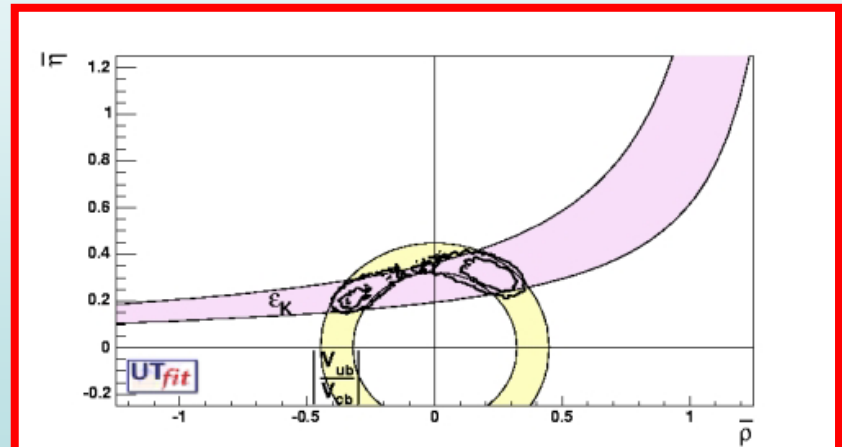
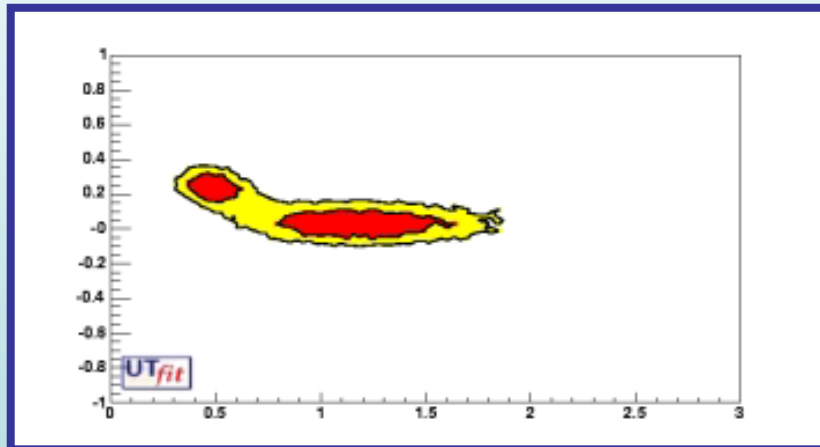
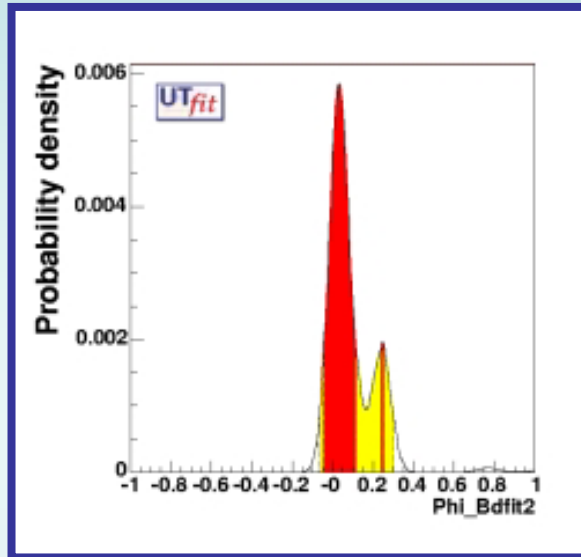
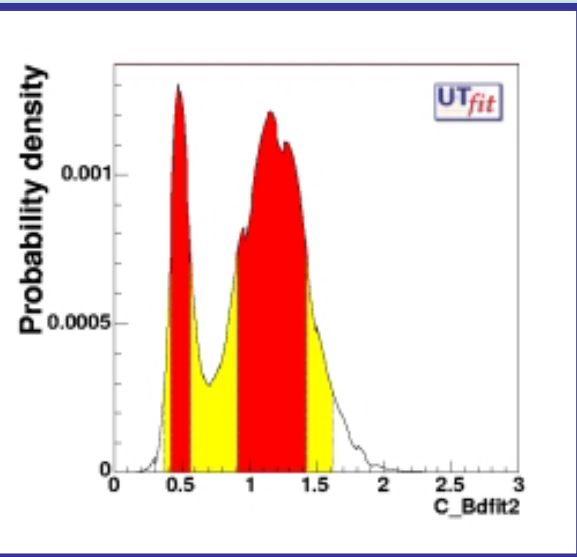
TWO SOLUTIONS:

Standard Model
solution:

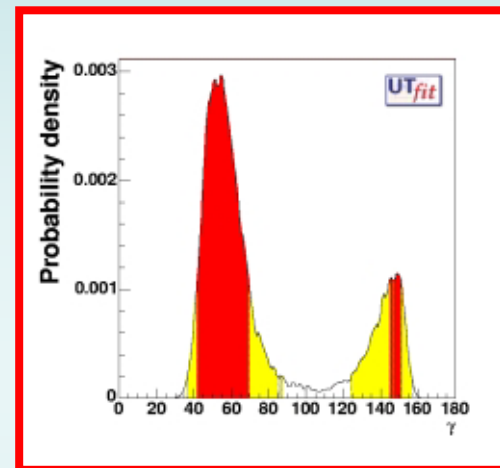
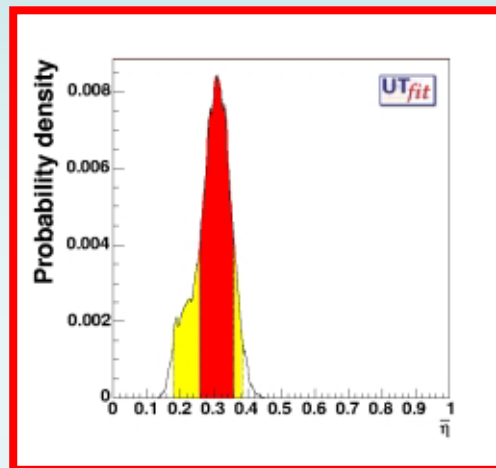
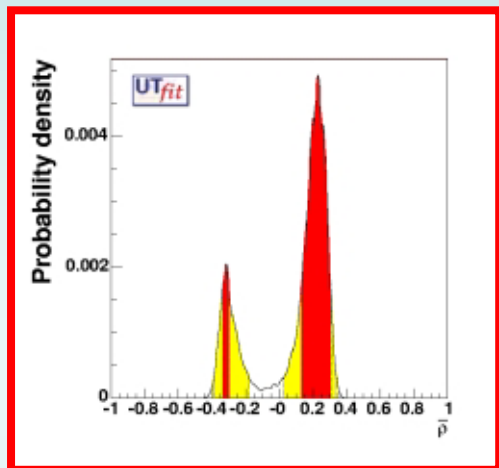
$$C_d = 1 \quad \varphi_d = 0$$

φ_d can be only determined up to a trivial twofold ambiguity:

$$\beta + \varphi_d \rightarrow \pi - \beta - \varphi_d$$



HOW CAN WE DISCRIMINATE BETWEEN THE TWO SOLUTIONS?



~~$\Delta m_s, \eta [K_L \rightarrow \pi \nu \bar{\nu}], \gamma [B \rightarrow DK], |V_{td}| [B \rightarrow \rho \gamma], \dots$~~

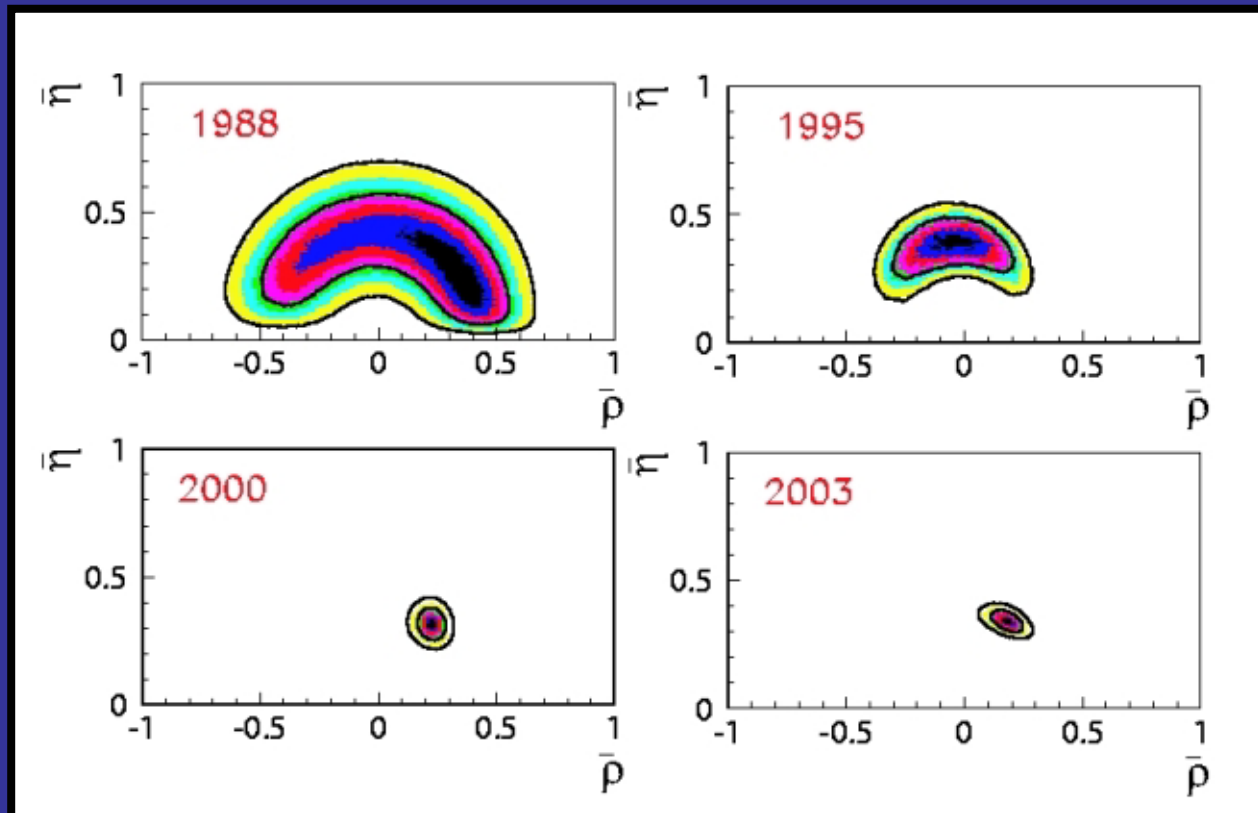


$\gamma = 81^\circ \pm 19^\circ \pm 13^\circ \text{ (syst)} \pm 11^\circ \text{ (mod)}$
 Belle Independent of NP

Belle preliminary
 + LQCD

Coming back to the Standard Model:

15 YEARS OF $(\bar{\rho}-\bar{\eta})$ DETERMINATIONS



CLOSING REMARKS

● THE STANDARD MODEL OF PARTICLE PHYSICS PROVIDES AN EXTREMELY SUCCESSFUL DESCRIPTION OF FUNDAMENTAL INTERACTIONS UP TO THE FERMI SCALE (THE WHOLE ENERGY REGION EXPLORED SO FAR).

● NEVERTHELESS, THE STANDARD MODEL IS A LOW-ENERGY EFFECTIVE THEORY, AND WE ALREADY HAVE SEVERAL PHENOMENOLOGICAL INDICATIONS OF NEW PHYSICS

● FLAVOR PHYSICS IS "REPRODUCED" BUT NOT EXPLAINED IN THE STANDARD MODEL (MANY FREE PARAMETERS IN THIS SECTOR!). IT REPRESENTS A WINDOW OPEN ON NEW PHYSICS.

● IN OUR INVESTIGATION OF FLAVOR PHYSICS LATTICE QCD IS PLAYING, AND IT IS STILL EXPECTED TO PLAY, A FUNDAMENTAL ROLE.