

Lectures on Supersymmetric Gauge Theories III:

Recent developments

(Dijkgraaf-Vafa hep-th/0208048, Cachazo-Douglas-Seiberg-Witten hep-th/0211170)

K. Konishi

- Chiral Rings of Operators
- Generalized Konishi anomaly and Determination of W_{eff}
- Confinement Index
- $\mathcal{N} = 2$ vs $\mathcal{N} = 1$ approaches
- Phases and Multiplication Maps

Veneziano-Yankielovicz Eff. Action

- $\mathcal{N} = 1$ susy $SU(N)$ Yang-Mills ($W_\alpha = -i\lambda + \frac{i}{2} (\sigma^\mu \bar{\sigma}^\nu)_\alpha^\beta F_{\mu\nu} \theta_\beta + \dots$)

$$\mathcal{L}^{bare} = \int d^2\theta \frac{1}{g_0^2} WW = \int d^2\theta \frac{1}{g_0^2} S$$

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$$S \equiv W^\alpha W_\alpha = -\lambda\lambda + \dots - \frac{1}{2} F_{\mu\nu}^2 - i\lambda\sigma^\nu \mathcal{D}_\nu \bar{\lambda} +$$

$$\mathcal{L}^{VY} = kin.term - \int d^2\theta S \left[\log \frac{S^N}{\Lambda^{3N}} - N \right] + h.c. \quad (\&)$$

- 1-loop renormalization

$$\left[\frac{1}{g_0^2} + b_0 \log \frac{M}{S^{1/3}} \right] S = \frac{1}{g(S)^2} S = b_0 S \log \frac{S^{1/3}}{\Lambda}, \quad b_0 = 3N$$

- $\mathcal{L}^{VY} \rightarrow \langle S \rangle = \Lambda^3 \exp 2\pi i k/N$, with $k = 1, 2, \dots, N$ ($Z_{2N} \subset U_A(1)$ broken to Z_2)

- Under $\lambda \rightarrow e^{i\alpha} \lambda$

$$\Delta \mathcal{L}^{VY} = 2N \alpha F_{\mu\nu} \tilde{F}^{\mu\nu}$$

- $\int e^{iS}$ invariant under Z_{2N} while ($\&$) not invariant under Z_{2N} !?!

→ Chirally symmetric vacuum (Kovner, Shifman) ? No.

Chiral Rings in Theory with adjoint field Φ

- $\mathcal{N} = 1$ susy $U(N)$ gauge theory

$$\mathcal{L} = \frac{1}{8\pi} \text{Im} \tau_{cl} \left[\int d^4\theta \Phi^\dagger e^V \Phi + \int d^2\theta \frac{1}{2} W W \right] + \int d^2\theta \mathcal{W}(\Phi) + h.c.$$

$$\Delta\mathcal{L} = \int d^2\theta \mu \text{Tr} \Phi^2 + h.c., \quad \tau_{cl} \equiv \frac{\theta_0}{\pi} + \frac{8\pi i}{g_0^2}$$

- ($\mathcal{N} = 1$) multiplets $\Phi = \phi + \sqrt{2}\theta\psi + \dots$; $W_\alpha = -i\lambda + \frac{i}{2}(\sigma^\mu \bar{\sigma}^\nu)_\alpha^\beta F_{\mu\nu} \theta_\beta + \dots$

$$\mathcal{W}(\Phi) = \sum_{k=0}^n \frac{g_k}{k+1} \text{Tr} \Phi^{k+1}. \quad (1)$$

- Gauge inv. chiral composites, modulo $\{\bar{Q}, \dots\} \rightarrow$

$$\{ \text{Tr} \Phi^k, \quad \text{Tr} W_\alpha \Phi^k, \quad \text{Tr} W^\alpha W_\alpha \Phi^k \} \quad (\%)$$

- Perturbatively (for $k > N$), e.g.,

$$\text{Tr} \Phi^k = \mathcal{P}(\{u_i\}), \quad u_i = \text{Tr} \Phi^i, \quad j \leq N,$$

$$\frac{\partial}{\partial \Phi} \mathcal{W}(\Phi) = \bar{D}^2(\dots) = 0, \quad S^N = 0, \quad (\$)$$

Problem

- Classically $\{a_i\} = \text{eigenvalues of } \Phi$,

$$\mathcal{W}'(z) = g_n \prod_i^n (z - a_i) \quad \rightarrow \quad U(N) \Rightarrow \prod_i U(N_i)$$

- Low-energy eff. degrees of freedom are

$$S_i = \frac{1}{16\pi^2} \text{Tr } W_i^\alpha W_{\alpha i}, \quad w_{\alpha i} = \frac{1}{4\pi} \text{Tr } W_{\alpha i} :$$

$$\mathcal{L}_{eff} = \int d^2\theta \mathcal{W}_{eff}(S_i, w_{\alpha i}, g_k) + \dots$$

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$$\int \mathcal{D}\Phi e^{iS} = e^{i\int \mathcal{L}_{eff}} = \exp i \int d^6z \mathcal{W}_{eff}(S_i, w_{\alpha i}, g_k)$$

- **Problem:** Compute $\mathcal{W}_{eff}(S_i, w_{\alpha i}, g_k)$

- **Idea**

$$\frac{\partial}{\partial g_k} \mathcal{W}_{eff}(S_i, w_{\alpha i}, g_k) = \left\langle \text{Tr} \frac{\Phi^{k+1}}{k+1} \right\rangle, \quad (2)$$

etc. Determine all chiral condensates as fns of $S_i, w_{\alpha i}, g_k$

Symmetries

Fields	Δ	Q_Φ	Q_R	Q_θ
Φ	1	1	$\frac{2}{3}$	0
W_α	$\frac{3}{2}$	0	1	1
g_l	$2 - l$	$-(l + 1)$	$\frac{2}{3}(2 - l)$	2
Λ^{2N}	$2N$	$2N$	$\frac{4N}{3}$	0

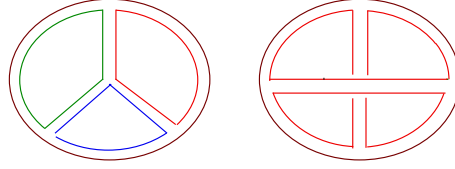
- $U_\Phi(1)$: $\Phi \rightarrow e^{i\alpha} \Phi$ is anomalous at one loop only \Rightarrow for ≥ 2 loops

$$\mathcal{W}_{eff} = W_\alpha^2 F(g_k W_\alpha^{k-1} / g_1^{(k+1)/2}), \quad \text{or}$$

$$\left[\sum_k (2 - k) g_k \frac{\partial}{\partial g_k} + \frac{3}{2} W_\alpha \frac{\partial}{W_\alpha} \right] \mathcal{W}_{eff} = 3 \mathcal{W}_{eff}$$

- Index loops (L), vertices (k_i), genus (g)

$$L = 2 - 2g + \frac{1}{2} \sum_i (k_i - 1)$$



- \therefore Only planar diagrams contribute to \mathcal{W}_{eff}
(Proof of the conjecture by Dijkgraaf-Vafa)
- $U(1) \subset U(N)$ is free: $\mathcal{W}_{eff}(S_i, w_{\alpha i}, g_k)$ inv under

$$W_\alpha \rightarrow W_\alpha - 4\pi\psi_\alpha$$

\Rightarrow General form of \mathcal{W}_{eff}

$$U(N) \Rightarrow \prod_i U(N_i),$$

$$\begin{aligned} \mathcal{W}_{eff} &= \sum N_i \frac{\partial \mathcal{F}_p(S_k, g_k)}{\partial S_i} + \frac{1}{2} \sum_{i,j} \frac{\partial^2 \mathcal{F}_p(S_k, g_k)}{\partial S_i \partial S_j} w_{\alpha i} w_j^\alpha. \\ &= \int d^2\psi \mathcal{F}_p(\mathcal{S}_i, g_k); \end{aligned}$$

$$\mathcal{S}_i = -\frac{1}{2} \text{Tr} \left(\frac{1}{4\pi} W_{\alpha i} - \psi_\alpha \right) \left(\frac{1}{4\pi} W_i^\alpha - \psi^\alpha \right) = S_i + \psi_\alpha w_i^\alpha - N_i \psi_\alpha \psi^\alpha \quad (3)$$

- (2) \Rightarrow

$$\begin{aligned} \frac{\partial \mathcal{W}_{eff}}{\partial g_k} &= \int d^2\psi \frac{\partial \mathcal{F}_p(\mathcal{S}_i, g_k)}{\partial g_k} = \left\langle \frac{\Phi^{k+1}}{k+1} \right\rangle_{\Phi} \\ &= -\frac{1}{2(k+1)} \int d^2\psi \left\langle \text{Tr} \left(\frac{1}{4\pi} W_{\alpha} - \psi_{\alpha} \right)^2 \Phi^{k+1} \right\rangle_{\Phi} \end{aligned}$$

- So

$$\frac{\partial \mathcal{F}_p(\mathcal{S}_i, g_k)}{\partial g_k} = -\frac{1}{2(k+1)} \int d^2\psi \left\langle \text{Tr} \left(\frac{1}{4\pi} W_{\alpha} - \psi_{\alpha} \right)^2 \Phi^{k+1} \right\rangle_{\Phi}$$

- Problem: find the right hand side

Generalized Konishi Anomaly

- Konishi Anomaly

$$\bar{D}^2 \text{Tr}\{\bar{\Phi} e^V \Phi\} = \text{Tr} \Phi \frac{\partial \mathcal{W}}{\partial \Phi} + \frac{1}{32\pi^2} \text{Tr} (ad W_\alpha ad W^\alpha) \quad (4)$$

$$\bar{D}^2 \text{Tr}\{\bar{\Phi} e^V \Phi\} = \text{Tr} \Phi \frac{\partial \mathcal{W}}{\partial \Phi} + \frac{N}{16\pi^2} \text{Tr} (W_\alpha W^\alpha) - \frac{1}{16\pi^2} \text{Tr} W_\alpha \text{Tr} W^\alpha$$

- Supersymmetrized form of $U_\Phi(1)$ anomaly

- Taking the VEVs

$$\langle \text{Tr} \Phi \frac{\partial \mathcal{W}}{\partial \Phi} \rangle = -\frac{N}{16\pi^2} \langle \text{Tr} (W_\alpha W^\alpha) \rangle.$$

But

$$L.H.S. = \langle \text{Tr} \sum_k g_k \Phi^{k+1} \rangle = \sum_k (k+1) g_k \frac{\partial}{\partial g_k} \mathcal{W}_{eff}$$

- (4) à la Fujikawa (Shizuya-Konishi) $\delta\Phi = \alpha\Phi$.

- **Generalization** ($J_f = \text{Tr}\{\bar{\Phi}e^V f(\Phi, W_\alpha)\}$):

$$\delta\Phi = f(\Phi, W_\alpha) \quad (5)$$

$$\bar{D}^2 J_f = \text{Tr} f(\Phi, W_\alpha) \frac{\partial \mathcal{W}}{\partial \Phi} + \frac{1}{32\pi^2} \sum_{ij} [W_\alpha, [W^\alpha, \frac{\partial f}{\partial \Phi_{ij}}]]_{ij} \quad (6)$$

$$\langle R.H.S. \rangle = 0.$$

- **Define**

$$\begin{aligned} \mathcal{R}(z, \phi) &= -\frac{1}{2} \text{Tr} \left(\frac{1}{4\pi} W_\alpha - \psi_\alpha \right)^2 \frac{1}{z - \Phi}, \\ &= R(z) + \psi_\alpha w^\alpha(z) - \psi^1 \psi^2 T(z), \end{aligned} \quad (7)$$

where generating functions are

$$T(z) = \text{Tr} \frac{1}{z - \Phi}, \quad w^\alpha = \frac{1}{4\pi} \text{Tr} W_\alpha \frac{1}{z - \Phi},$$

$$R(z) = -\frac{1}{32\pi^2} \text{Tr} W_\alpha W^\alpha \frac{1}{z - \Phi},$$

- **By choosing $f(\Phi) = W_\alpha W^\alpha \frac{1}{z-\Phi}$ in (5):**

$$\left\langle -\frac{1}{32\pi^2} \sum_{ij} [W_\alpha, [W^\alpha, \frac{\partial}{\partial \Phi_{ij}} (W_\beta W^\beta \frac{1}{z-\Phi})]]_{ij} \right\rangle = \left\langle \text{Tr} \left[\frac{\partial \mathcal{W}}{\partial \Phi} W_\alpha W^\alpha \frac{1}{z-\Phi} \right] \right\rangle.$$

By identity

$$\sum_{ij} [\chi_1, [\chi_2, \frac{\partial}{\partial \Phi_{ij}} \frac{\chi_1 \chi_2}{z-\Phi}]]_{ij} = (\text{Tr} \frac{\chi_1 \chi_2}{z-\Phi})^2$$

(valid if $\chi_1^2 = \chi_2^2 = 0$, $[\chi_i, \Phi] = 0$) **one gets**

$$R(z, \psi)^2 = \text{Tr} (\mathcal{W}'(\Phi) R(z, \psi))$$

- **Analogously, with $f(\Phi) = \mathcal{R}$ (r.h.s of (7) without trace)**

$$\mathcal{R}(z, \psi)^2 = \text{Tr} (\mathcal{W}'(\Phi) \mathcal{R}(z, \psi))$$

which can be rewritten as

$$\mathcal{R}(z, \psi)^2 = \text{Tr} (\mathcal{W}'(z) \mathcal{R}(z, \psi)) + \frac{1}{4} f(z, \psi), \quad (8)$$

or

$$R^2(z) = \mathcal{W}'(z)R(z) + \frac{1}{4}f(z);$$

$$2R(z)w^\alpha(z) = \mathcal{W}'(z)w^\alpha(z) + \frac{1}{4}\rho^\alpha;$$

$$2R(z)T(z) + w_\alpha(z)w^\alpha(z) = \mathcal{W}'(z)T(z) + \frac{1}{4}c(z).$$

with

$$\begin{aligned} f(z, \psi) &= \frac{1}{8\pi^2} \text{Tr} \frac{(\mathcal{W}'(z) - \mathcal{W}'(\Phi))(W_\alpha - 4\pi\psi_\alpha)(W^\alpha - 4\pi\psi^\alpha)}{z - \Phi}, \\ &= f(z) + \psi_\alpha \rho^\alpha(z) - \psi_1\psi_2 c(z) \end{aligned}$$

where $f(z)$ is an n th order polynomial in z

- Solving the quadratic equation

$$2\mathcal{R}(z, \psi) = \mathcal{W}'(z) - \sqrt{\mathcal{W}'(z)^2 + f(z, \psi)}$$

or

$$2R(z) = \mathcal{W}'(z) - \sqrt{\mathcal{W}'(z)^2 + f(z)}$$

etc.

- $R(z) = -\frac{1}{32\pi^2} \text{Tr} W_\alpha W^\alpha \frac{1}{z-\Phi}$ determined in terms of f_i where

$$f(z) = \sum_{i=0}^{n-1} f_i z^i$$

- (3) and def of $\mathcal{R}(z, \psi) \Rightarrow$

$$S_i = S_i + \psi_\alpha w_i^\alpha - N_i \psi_\alpha \psi^\alpha = \frac{1}{2\pi i} \oint_{C_i} dz \mathcal{R}(z, \psi)$$

\Rightarrow Relations $\{f_i\} \leftrightarrow (S_i, w_i^\alpha)$

- Finally

$$\begin{aligned} \frac{\partial \mathcal{F}_p(\mathcal{S}_i, g_k)}{\partial g_k} &= -\frac{1}{2(k+1)} \int d^2\psi \langle \text{Tr} \left(\frac{1}{4\pi} W_\alpha - \psi_\alpha \right)^2 \Phi^{k+1} \rangle_\Phi \\ &= -\frac{1}{2(k+1)} \oint dz z^{k+1} \mathcal{R}(z, \phi) \end{aligned}$$

- By integrating over g_k and adding integration constant - g_k independent, 1-loop, contribution (VY), we get \mathcal{W}_{eff} in terms of $(S_i, w_i^\alpha, \Lambda_i)$ ♡

Matrix Model (Dijkgraaf-Vafa)

- Integral over $\hat{N} \times \hat{N}$ Hermitian matrices M
- Free energy (cfr $\mathcal{W}(\Phi)$)

$$\exp -\frac{\hat{N}^2}{g_m^2} F_{m.m.} = \int d^{\hat{N}^2} M \exp -\frac{\hat{N}}{g_m} \text{Tr} \mathcal{W}(M)$$

- $\delta M = \epsilon M^{n+1} \rightarrow 0 = \int d^{\hat{N}^2} M e^{-\frac{\hat{N}}{g_m} \text{Tr} \mathcal{W}(M)} \left[\text{Tr} \frac{\partial}{\partial M} M^n - \frac{\hat{N}}{g_m} \text{Tr} \mathcal{W}' M^n \right]$

$$\langle R_m(z)^2 \rangle = \langle W'(z) R_m(z) \rangle + \frac{1}{4} f_m(z), \quad \text{where} \quad R_m(z) = \frac{g_m}{\hat{N}} \left\langle \text{Tr} \frac{1}{z - M} \right\rangle$$

- Take now $\hat{N} \rightarrow \infty$: \rightarrow factorization

$$\langle R_m(z) \rangle^2 = \langle W' \rangle \langle R_m(z) \rangle + \frac{1}{4} f_m(z),$$

: relation identical to Eq. (6) !!!

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$$S_i = \frac{1}{2\pi i} \oint_{C_i} R_m(z) dz \quad \frac{\partial F_{m.m.}}{\partial g_k} = \left\langle \frac{\text{Tr} M^{k+1}}{k+1} \right\rangle$$

$F_{m.m.}(S_i, g_k) \Rightarrow$ identify with $\mathcal{F}_p(\mathcal{S}_i, g_k) \rightarrow \mathcal{W}_{eff}(S_i, w_i^\alpha, \Lambda_i)$

Further development

(Cachazo-Seiberg-Witten, hep-th/0301006)

$$\mathcal{L}^{U(N)} = \frac{1}{8\pi} \text{Im} \tau_{cl} \left[\int d^4\theta \Phi^\dagger e^V \Phi + \int d^2\theta \frac{1}{2} W W \right] + \int d^2\theta \mathcal{W}(\Phi)$$

where $\mathcal{W}(\Phi) = \sum_{r=0}^k \frac{g_r}{r+1} \text{Tr} \Phi^{r+1}$ (**superpotential**)

- $\mathcal{W}(\Phi) = 0 \Rightarrow \mathcal{N} = 2 \Rightarrow G \sim U(1)^{N-1}$ on a generic point of QMS.
- Special points where some $N - n$ monopoles become massless (**condensation and Higgs mech. for $N - n$ dual gauge bosons**) $\Rightarrow G \sim U(1)^n$
- Curve factorizes (**cond. on QMS**)

$$y^2 = P_N^2(x) - 4\Lambda^2 = F_{2n} H_{N-n}^2(x) \quad (9)$$

- Classically $\{a_i\} = \text{eigenvalues of } \Phi$,

$$\mathcal{W}'(z) = g_k \prod_i^k (z - a_i) \quad \text{diag } \Phi = \{a_1, \dots, a_1, a_2, \dots, \dots, a_n, \dots, a_n\}$$

$$U(N) \Rightarrow \prod_i^n U(N_i) \Rightarrow U(1)^n \quad n \leq k \quad (10)$$

- **Problem:** find QM'ly the relation

$$\text{Vacua (10)} \iff \mathcal{W}(\Phi)$$

- **Ans:** the Factorization condition (9) with (for $k = n$)

$$F_{2n}(x) = \frac{1}{g_n^2} \mathcal{W}'(x)^2 + f_n(x)$$

$f_n(x) = O(x^{n-1})$ with n unknown coefficients.

- **Generalized Konishi anomaly (electric variables) from $\mathcal{N} = 2$ curves (whose singularities related magnetic variables)**
- **Use of Konishi anomaly in $\mathcal{N} = 2$, $SU(2)$ theory with $n_f = 1 \iff$ the knowledge of the curve (Gorsky-Vainshtein-Yung)**
- **Detailed knowledge about the decoupling of Φ**
Vacua of $\mathcal{N} = 2$ theory \rightarrow Vacua of $\mathcal{N} = 1$ theory

Confinement Index

\equiv Smallest possible $r \in Z_N^{(E)}$ for which Wilson loop displays no area law

- $SU(N)$ YM: $r = N$ completely confining; $r = 1$ totally Higgs
- $r = 1$ in a theory with

$$SU(N) \rightarrow SU(N-1) \times U(1)$$

- $\mathcal{N} = 1$ Susy $SU(N)$ theory broken (by adjoint VEV) as

$$SU(N) \rightarrow SU(N_1) \times SU(N_2) \times U(1)$$

Then

$$r = l.c.d \{N_1, N_2, r_1 - r_2\}$$

where

$$r_1 = 0, 1, 2, \dots, N_1 - 1, \quad r_2 = 0, 1, 2, \dots, N_2 - 1$$

label the vacua in which $(n_m, n_e) = (1, r_1)$ and $(n_m, n_e) = (1, r_2)$ are condensed

Multiplication Map

$U(N) \Leftrightarrow U(tN)$ with the same superpotential $\mathcal{W}(\Phi)$

- **Vacua with $\prod_{i=1}^n U(N_i)$ of $U(N) \Leftrightarrow$ vacua with $\prod_{i=1}^n U(tN_i)$ of $U(tN)$;**
- **Confinement Index r gets simply multiplied by t ;**
- **All confining vacua with $r = t$ in the $U(tN)$ theory, arise from the Coulomb vacua of $U(N)$ theory**
- **Map of the chiral condensates**

$$\left\langle \text{Tr} \frac{1}{x - \Phi} \right\rangle = t \left\langle \text{Tr} \frac{1}{x - \Phi_0} \right\rangle$$

- **$USp(N) \Leftrightarrow U(N + 2n)$ map in the theory with order $n + 1$ $\mathcal{W}(\Phi)$ (Cachazo)**

$$\prod_{i=1}^n USp(N_i) \Leftrightarrow \prod_{i=1}^n U(N_i + 2) :$$

$$\left\langle \text{Tr} \frac{1}{z - \Phi} \right\rangle = \left\langle \text{Tr} \frac{1}{z - \Phi_U} \right\rangle - \frac{d}{dz} \log(W'(z)^2 + f(z))$$

Authors

- Matrix model

Dijkgraaf-Vafa, Cachazo-Intrilligator-Vafa, Argurio-Campos-Ferretti-Heise, Suzuki.H, Bena-Roiban, Tachikawa, T. Itoh, Kraus-Ryzkov-Shigemori, Abaspur-Imaanpur-Parvizi, Berenstein, Gorsky, ...;

- Field Theory

Cachazo-Douglas-Seiberg-Witten, Cachazo-Seiberg-Witten, Alday-Cirafici, Brandhuber-Ita-Nieder-Oz-Römelsberger, Eguchi-Sugawara, Matone, Feng, Ahn-Ookouchi, Feng, Huang-Naqvi, Ahn-Nam, Shih, Svrcek, Merlatti, Gripaos-Wheater, David-Gava-Narain,

Summary

- \mathcal{W}_{eff} of $U(N)$ theory with superpotential $\mathcal{W}(\Phi)$ in terms of $(S_i, w_i^\alpha, \Lambda_i)$
- Only planar diagrams contribute to the effective superpotential
- Complete set of Chiral ring relations (Ward-Takahashi Identities)
- e.g. for $SU(N)$ SYM

$$S^N = \Lambda^{3N} + \{\bar{Q}_{\dot{\alpha}}, X^{\dot{\alpha}}\}$$

as an *operator relation* \rightarrow No chirally symmetric vacuum with $\langle \lambda\lambda \rangle = 0$.

- Whole results elegantly summarized by matrix model bookkeeping
- Addition of matter; other gauge groups ($SO(N), USp(2N)$)
- More precise relations $\mathcal{N} = 2$ vs $\mathcal{N} = 1$ theories
(Generalized K anomaly relations from $\mathcal{N} = 2$!)
- Phases, new duality (continuous and discrete maps among the vacua of the same or different theories), etc.

Symmetry, Quantization, Phase Factor

~ Melodies of Theoretical Physics of the 20th Century

C.N. Yang

Do we need something else ?