

# 3D Topological States of Matter and Spin-Charge Separation

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P. Sodano, C.A. Trugenberger and MCD, arXiv:1104.2485 to appear in NJP; C.A.

Trugenberger and MCD, arXiv:1105.5375, Phys. Rev. B 84 (2011) 094520;

arXiv:1112.3281

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- Low energy effective theories are topological field theories: background independent, ground state degeneracy; quasiparticles have fractional statistics

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- Which is the topological field theory that describes this new phase of matter? **Topological BF action**

# Wen's idea

- Excitations over top. ground states described by conserved matter currents :  $2D j_\mu \propto \epsilon_{\mu\nu\alpha} \partial_\nu b_\alpha =$  charge matter current,  $b_\mu$   $U(1)$  gauge field.

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- gauge invariance:  
 $a_\mu \rightarrow a_\mu + \partial_\mu \xi$  ,  $b_{\mu\nu} \rightarrow b_{\mu\nu} + \partial_\mu \eta_\nu - \partial_\nu \eta_\mu$  .



- $$S_{TM} = S_{BF} + \int d^4x \left[ \left( \frac{1}{4e^2\lambda} f_{ij}^2 + \frac{1}{4e^2\eta} f_{0i}^2 \right) + \left( \frac{1}{2g^2\eta} g_0^2 + \frac{1}{2g^2\lambda} g_i^2 \right) \right]$$

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- where:**  $f_{\mu\nu} = \partial_\mu a_\nu - \partial_\nu a_\mu$ ;  $g_\mu \equiv \frac{1}{6} \epsilon_{\mu\nu\alpha\beta} g_{\nu\alpha\beta}$ ,  
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- PT invariant; ground state degeneracy; provides a generalization of fractional statistics to 3D (**Semenoff et al**); supports edges excitations (**Balachandran et al**; **Moore·Hansson**)

- What are  $\lambda$  and  $\eta$ ? Coupling to an external e.m. field  $iej_\mu A_\mu$ , induced action:  $S(A) = \int d^4x \frac{1}{2} \left[ \frac{1}{\lambda} \mathbf{E}^2 + \frac{1}{\eta} \mathbf{B}^2 \right]$

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- The model describes strong topological insulator

(Moore)

# 3D description of fractionalization

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- Massive vectors from SSB carry three degrees of freedom
- **BF mechanism** describe a vector particle in 3D with a gauge invariant mass: two helicity degrees of freedom, ideal candidates to describe spin fields.
- Charge fields must be described by a single scalar degree of freedom; in 3D described by antisymmetric gauge potential  $b_{\mu\nu}$  with gauge invariance under the transformations:  $b_{\mu\nu} \rightarrow b_{\mu\nu} + \partial_\mu \eta_\nu - \partial_\nu \eta_\mu$

# Phases of BF model in (3+1) dimensions

- $U(1) \times U(1)$  gauge symmetry  $\Rightarrow$  the dual field strengths contain singularities (Polyakov):
  - **chargeons** (electric topological defects): world-line described by a (singular) current  $J_\mu$  and coupling  $ika_\mu J_\mu$  ;
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- $T = 0$  quantum phase structure ( $\alpha = ml \geq O(1)$ ,  $l =$  lattice spacing):
  - $\epsilon \gg \frac{k^2 e^2}{\alpha^2}$ ,  $\mu \ll \frac{\pi^2}{e^2}$ ; chargeons condensation phase  $\rightarrow$  **top. superconductor**
  - **intermediate regime**; no condensation  $\rightarrow$  **top. insulator**
  - $\epsilon \ll \frac{k^2 e^2}{\alpha^2}$ ,  $\mu \gg \frac{\pi^2}{e^2}$ ; spinons condensation phase  $\rightarrow$  **top. confinement**

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- **Julia-Toulouse mechanism (Quevedo and Trugenberger)**: the condensation of topological defects in solid state media generates new hydrodynamical modes for the low-energy effective theory
- These new modes are the long wavelength fluctuations of the continuous distribution of topological defects that get promoted to a continuous two-form antisymmetric



# Chargeons condensation phase

- Spinons are diluted; chargeons get promoted to a continuous two-form antisymmetric field  $B_{\mu\nu}$ :

$$S_{\text{eff}}^{TS} = \int d^4x \, i\pi k \, B_{\mu\nu} \epsilon_{\mu\nu\alpha\beta} F_{\alpha\beta} + \frac{1}{4} F_{\mu\nu} F_{\mu\nu} \\ + \frac{1}{12\Lambda^2} H_{\mu\nu\alpha} H_{\mu\nu\alpha}$$

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- Mass arises as a consequence of quantum mechanical condensation of topological excitations: **mechanism of topological superconductivity** (Allen, Bowick, Lahiri)

# Spinons condensation phase

- Spinons get promoted to a continuous two-form:

$$S_{\text{eff}}^{TC} = \int d^4x \left[ \frac{i\theta}{32\pi^2} (B_{\mu\nu} - F_{\mu\nu}) \epsilon_{\mu\nu\alpha\beta} F_{\alpha\beta} + \frac{1}{4} (B_{\mu\nu} - F_{\mu\nu}) (B_{\mu\nu} - F_{\mu\nu}) + \frac{1}{12\Lambda^2} H_{\mu\nu\alpha} H_{\mu\nu\alpha} \right],$$

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- Area-law  $\Rightarrow$  linear potential between charges:  
topological matter with a compact BF term can realize  **$U(1)$  confinement** via the Stückelberg mechanism.

- 3 marginal term can still be added:  $b_{\mu\nu}b_{\mu\nu}$ ,  $b_{\mu\nu}f_{\mu\nu}$  and  $b_{\mu\nu}\epsilon_{\mu\nu\alpha\beta}b_{\alpha\beta}$  to  $S_{TM}$

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- these terms, taken one by one, break the gauge invariance; however:

$$S = \int d^4x \left[ \frac{ik^2}{2\theta} \left( b_{\mu\nu} + \frac{\theta}{4\pi k} f_{\mu\nu} \right) \epsilon_{\mu\nu\alpha\beta} \left( b_{\alpha\beta} + \frac{\theta}{4\pi k} f_{\alpha\beta} \right) + \frac{4\pi^2 k^2}{e^2 \theta^2} \left( b_{\mu\nu} + \frac{\theta}{4\pi k} f_{\mu\nu} \right) \left( b_{\mu\nu} + \frac{\theta}{4\pi k} f_{\mu\nu} \right) \right],$$

is gauge invariant if

$$b_{\mu\nu} \rightarrow b_{\mu\nu} + \partial_\mu \eta_\nu - \partial_\nu \eta_\mu, a_\mu \rightarrow a_\mu - \frac{4\pi k}{\theta} \eta_\mu.$$

- Absorb  $f_{\mu\nu}$  into  $b_{\mu\nu}$ :

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- $k$  falls completely out of the action and is replaced by the factor  $\theta/\pi$ , that takes over the role of charge unit.
- The original gauge symmetry appears as broken; the scalar longitudinal polarization "eats up" two transverse polarizations:  $a_\mu$  and  $b_{\mu\nu}$  merge into a single massive tensor, 3 degrees of freedom.

- $a_\mu$  and  $b_{\mu\nu}$  merge into a single massive tensor  $\Rightarrow$   
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$$S_{eff} = \int d^4x \frac{1}{4e^2} F_{\mu\nu} F_{\mu\nu} + \frac{i\theta}{16\pi^2} F_{\mu\nu} \tilde{F}^{\mu\nu} +$$

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- The closed boundaries of the open surfaces represent the world-lines of composite chargeon-spinon fluctuations: charge  $\theta/\pi$  , current  $J_\mu = (1/2\pi) \partial_\nu \Phi_{\mu\nu}$ .

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- If  $\theta/\pi = 1$  this topological term is just another representation of **the spin factor of a point particle with spin 1/2 (Pawelczyk)**: in this confinement phase **chargeons and spinons recombine into a single particle with charge 1 and spin 1/2.**

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- BF mechanism describes fractionalization in (3+1) dimensions
- The quantum phase structure is governed by three parameters that drive the condensation of fractionalized quasi-particles: the BF coupling, the electric permittivity and the magnetic permeability of the material
- 3 possible phases: topological superconductor, topological insulator and charge confinement
- No SSB, photon acquires a topological mass through the BF mechanism or it becomes a massive antisymmetric tensor via the Stückelberg mechanism